



COMMISSION AGENDA ITEM  
DOCUMENT ROUTING FORM

2 ✓ 7/13/16

Today's Date: 06/27/2016

DOCUMENT TITLE: HILLTOP SECURITIES INC. -ASSIGNMENT OF CONTRACT

COMM. MTG. DATE: 06/21/2016 CAM #: 16-0647 ITEM #: CM-8 CAM attached: ☒ YES ☐ NO

Routing Origin: CAO Router Name/Ext: J. Larregui/5106 Action Summary attached: ☒ YES ☐ NO

CIP FUNDED: ☐ YES ☐ NO

Capital Investment / Community Improvement Projects defined as having a life of at least 10 years and a cost of at least \$50,000 and shall mean improvements to real property (land, buildings, or fixtures) that add value and/or extend useful life, including major repairs such as roof replacement, etc. Term "Real Property" include: land, real estate, realty, or real.

2) City Attorney's Office # of originals attached: 2 Approved as to Form: ☒ YES ☐ NO

Date to CCO: 6/30/16

PGB/jl  
Initials

2016 JUN 30 PM 4:59

CITY CLERK

5) City Clerk's Office: # of originals: 2 Routed to: Gina Ri/CMO/X5013 Date: 7/1/16

6) City Manager's Office: CMO LOG #: July 4 Date received from CCO: 7/1/16

Assigned to: L. FELDMAN ☒ S. HAWTHORNE ☐ C. LAGERBLOOM ☐  
L. FELDMAN as CRA Executive Director ☐

☐ APPROVED FOR LEE FELDMAN'S SIGNATURE ☐ N/A FOR L. FELDMAN TO SIGN

PER ACM: S. HAWTHORNE (Initial/Date) C. LAGERBLOOM

(Initial/Date) ☐ PENDING APPROVAL (See comments below)

Comments/Questions: \_\_\_\_\_

Forward 2 originals to ☒ Mayor ☐ CCO Date: 7/5/16

7) Mayor/CRA Chairman: Please sign as indicated. Forward \_\_\_\_ originals to CCO for attestation/City seal (as applicable) Date: 7/11/16

INSTRUCTIONS TO CLERK'S OFFICE

City Clerk: Retains 1 original and forwards 1 original(s) to: Linda Blanco/Procurement/5141  
(Name/Dept/Ext)

Attach \_\_\_\_ certified Reso # \_\_\_\_ ☐ YES ☒ NO

Original Route form to CAO

## ASSIGNMENT OF CONTRACT

WHEREAS, the City of Fort Lauderdale, a Florida municipality, ("City"), and First Southwest Company, LLC, a Delaware limited liability company formerly authorized to transact business in the State of Florida, ("Assignor"), entered into an Agreement for Financial Advisory Services dated August 19, 2015, RFP 555-11627, (the "Contract"); and

WHEREAS, the Assignor merged with and into Hilltop Securities Inc., a Delaware corporation authorized to transact business in the State of Florida, ("Assignee"),

NOW, THEREFORE, Assignor and Assignee hereby agree as follows:

1. For and in consideration of the sum of ten dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and subject to the written approval of this Assignment of Contract by the City, Assignor does hereby sell, assign, and transfer to Assignee all of Assignor's rights, duties, obligations, responsibilities, and liabilities under the Contract.

2. Subject to the written consent to this Assignment of Contract by the City, Assignee accepts the foregoing assignment, and Assignee assumes and agrees to perform all of the Assignor's duties, obligations, responsibilities, and liabilities under the Contract.

IN WITNESS WHEREOF, Assignor and Assignee, by and through their respective authorized representatives, execute this Assignment of Contract as follows:

ASSIGNOR:

First Southwest Company, LLC, a Delaware limited liability company formerly authorized to transact business in the State of Florida

Hill A. Feinberg

Hill A. Feinberg

Manager, Chairman, Chief Executive Officer

WITNESSES:

Michael Lyons

Print Name: Michael Lyons

Jorja Williams

Print Name: Jorja Williams

(Seal)

ATTEST:

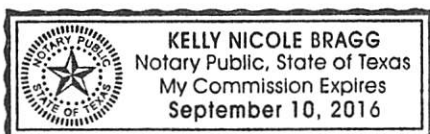
Brian L. Wittneben

Brian L. Wittneben

Secretary, General Counsel

STATE OF Texas  
COUNTY OF Dallas

The foregoing Assignment of Contract was acknowledged before me this 22<sup>nd</sup> day of June, 2016, by Hill A. Feinberg as manager, chairman, and chief executive officer for First Southwest Company, LLC, a Delaware limited liability company formerly authorized to transact business in the State of Florida.



Kelly Bragg  
Signature of Notary Public – State of Texas



Kelly Bragg  
Print, Type or Stamp Commission Name of  
Notary Public

Personally Known ☒ OR Produced Identification \_\_\_\_\_  
Type of Identification Produced \_\_\_\_\_

ASSIGNEE:

Hilltop Securities Inc., a Delaware  
corporation authorized to transact  
business in the State of Florida

Witnesses:

[Signature]  
Print Name: Michael Lyons  
[Signature]  
Print Name: Jorja Williams

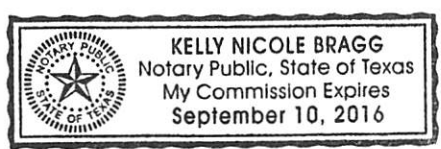
(Corporate Seal)

By: [Signature]  
Robert W. Peterson  
President

Attest: [Signature]  
Brian Wittneben  
Secretary

STATE OF Texas  
COUNTY OF Dallas

The foregoing Assignment of Agreement was acknowledged before me this 22<sup>nd</sup> day of June, 2016, by Robert W. Peterson as president for Hilltop Securities Inc., a Delaware corporation authorized to transact business in the State of Florida.



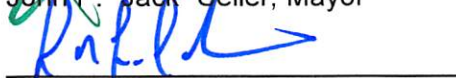
[Signature]  
Signature of Notary Public – State of Texas  
Kelly Bragg  
Print, Type or Stamp Commissioned Name  
of Notary Public

Personally Known ☒ OR Produced Identification \_\_\_\_\_  
Type of Identification Produced \_\_\_\_\_



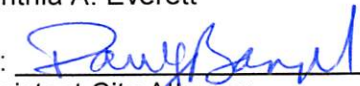
The City of Fort Lauderdale, a Florida municipality, by and through its undersigned Mayor and City Manager, does hereby   X   consent        does not consent to the foregoing Assignment of Contract.

  
\_\_\_\_\_  
John P. "Jack" Seiler, Mayor

  
\_\_\_\_\_  
Lee R. Feldman, City Manager

ATTEST:   
\_\_\_\_\_  
Jeffrey A. Modarelli, City Clerk

Approved as to Form:  
Cynthia A. Everett

By:   
\_\_\_\_\_  
S. Assistant City Attorney