



Technical Proposal

Net Zero Plan

Fort Lauderdale City

2023

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Presentation letter

Procurement Services Division

100 N. Andrews Avenue,
Suite 619 Fort Lauderdale,
Florida 33301

Dear Administration Department

The CAPSUS - ClimateView team is pleased to present our proposal for the RFP for the Net Zero Plan for the City of Fort Lauderdale as requested. In this document you will find information about our organizations, our experiences, our suggestions for reaching the goals stated in the RFP, the proposed methodology and work plan, and the proposed staff. We submit our financial proposal in a separate document as required.

Our association is made up of the following organizations:

CAPSUS. An international^[1] mission-oriented consulting firm initiated in 2009 to promote urban sustainability and transform cities into spaces that offer a higher quality of life to its citizens at a lower cost and lesser environmental impact.

ClimateView. A Swedish climate technology company that helps cities to plan, simulate and execute their transition to a zero carbon economy through an innovative platform for local climate action.

Our association has experience in establishing GHG emission reduction targets, developing inventories of GHG emissions, stakeholder engagement, and developing Climate Action plans.

If you have any further questions or comments regarding this document, please do not hesitate to contact us.

Kind regards,



Guillermo Velasco

General Manager

CAPSUS LLC

guillermo.velasco@capsus.mx

Office: 832.737.0834

Mobile: +52 55 44 31 29 27 (281 746 1809)

^[1] CAPSUS has a US based office in Houston, Texas, established in 2021

A. Executive Summary

CAPSUS consists of a dynamic multidisciplinary core team complemented by a network of strategic allies. This collaborative structure empowers us to tackle diverse tasks that demand a range of specialized expertise. At the helm of our team are two accomplished partners, Guillermo Velasco and Ricardo Ochoa, supported by two diligent coordinators, Daniela Evia and Andrea González. Additionally, we benefit from the collective wisdom and skills of 19 consultants and eight associates. Our team draws from a wealth of experience and knowledge spanning various disciplines, including engineering, biology, urban planning, GIS analysis, architecture, capacity building, social sciences, public policy analysis, information design, and software development. This diverse blend of talents ensures that we can approach projects from multiple angles and provide comprehensive solutions.

<p>CAPSUS Houston, Texas</p>	<p>Address: 5718 Westheimer Road, Suite 1000, Houston, Texas, USA File number / EIN: 804140810 / 87-1630403 Type of organization: Limited Liability Company (LLC)</p> <p>Contacts: Guillermo Velasco guillermo.velasco@capsus.mx +832 737 08 34</p>
<p>CAPSUS Mexico City</p>	<p>Address: Boulevard Manuel Ávila Camacho 118, int 2401 Lomas de Chapultepec, Miguel Hidalgo, Ciudad de México, 11000 NIE/RFC: CAP091117UQ5 Type of organization: Civil (Professional) Society (SC)</p> <p>Contacts: Daniela Evia daniela.evia@capsus.mx +52 (55) 44 31 29 27</p>

ClimateView is a pioneering Swedish climate technology company with offices located in San Francisco, California. Our core mission revolves around expediting cities' transition to zero carbon economies. By harnessing the power of data and conducting comprehensive systematic analysis, we empower cities to effectively plan, manage, and fund their journey towards achieving net zero emissions.

At ClimateView, we firmly believe that when cities possess a holistic understanding of the entire climate landscape and effectively communicate this knowledge, they can make a compelling case for climate action. We recognize that when cities succeed, we all reap the benefits. From our inception, we have been dedicated to democratizing agile planning, advanced modeling, and visually captivating representations, ensuring that these invaluable tools are accessible to cities of all sizes.

Our company equips cities with world-leading tools that enable them to make informed climate mitigation decisions swiftly and effectively. Together, we collaborate to drive tangible progress. Cities across the globe have exemplified the effectiveness of this theory of change.

ClimateView AB Stockholm, Sweden	Address: Vasagatan 28,111 20 Stockholm, Sweden File number / EIN: [AG1] reg. no 559150-4120 Type of organization: Limited company
ClimateView, Inc San Francisco, CA	Address: 100 Montgomery Street, San Francisco, CA 94104[MLI] Type of organization: Limited company

CAPSUS and ClimateView are formed by a multidisciplinary team. This gives us the capacity to tackle tasks that require different fields of expertise. Our team is nurtured by the experience and knowledge of engineers, urban planners, GIS specialists, architects, education experts, sociologists, information designers, and software developers, among others. The team will be led by Guillermo Velasco. We continuously work with renowned institutions such as the World Bank, the Inter-American Development Bank, the GIZ, the European Commission, C40 Cities, and the International Urban Cooperation Program. We also have experience working with subnational government entities in several countries around the world. Hence, we are used to working in different cultural, political, and institutional contexts, at technical and political levels.

The technical approach and methodology of the project involve working closely with local governments and addressing data scarcity and uncertainties. The project follows a collaborative process, involving knowledge exchange sessions with local officials to understand the city's needs and propose tailored solutions. It takes a bottom-up approach by working with municipal technical teams and a top-down approach through interactions with policymakers. The project emphasizes quick adaptation to local needs and cultural

contexts to ensure successful implementation. To overcome data gaps, the project prioritizes gathering data from official sources. If data is not available, remote sensing techniques, data proxies, or statistical estimates are used.

The project aims to develop a Net Zero Plan for Fort Lauderdale, focusing on a low-carbon and resilient development path to reach net-zero emissions by 2050. The methodology involves urban modeling, assessment of planning instruments, diagnostics of greenhouse gas emissions, stakeholder engagement, recommendations, and the development of the Net Zero Plan. The project utilizes a top-down-bottom-up approach, gathering data, analyzing policies and investments, and involving local stakeholders. The Climate View tool is proposed to calculate decarbonization scenarios and monitor climate policies.

Overall, the project aims to provide tailored recommendations for Fort Lauderdale's sustainable and climate-resilient growth, with a focus on community participation and knowledge sharing.

The work plan consists of 6 main tasks: Project Management, Baseline Diagnostic Assessment, Development of GHG Emission Scenarios, Drafting of Net Zero Plan, Stakeholder Engagement, and Branding Strategy.

Task 0, Project Management, aims to set project management standards and communication processes. It includes internal and official kick-off meetings, monthly progress meetings, and a closure and evaluation meeting. The deliverable is an inception report.

Task 1, Baseline Diagnostic Assessment, aims to identify gaps for low-carbon and resilient development. It involves data gathering, policy review, and analysis to understand the city's characteristics and policy framework. The deliverable is a scoping report with a SWOT analysis and modeling tool for GHG emissions.

Task 2, Development of GHG Emission Scenarios, focuses on providing a diagnostic of the region's GHG emissions. It includes calibrating the Climate OS tool, developing emission scenarios, and conducting a comparative assessment workshop with stakeholders. The deliverable is at least 2 future emission scenarios and a presentation of scenario results.

Task 3, Drafting of Net Zero Plan, involves drafting the city's 2050 Net Zero Plan based on modeling results and stakeholder engagement. The deliverables are the Net Zero Plan and a technical briefing presentation.

Task 4, Stakeholder Engagement aims to gather comments and ideas to promote commitment. It includes defining scope and stakeholders, developing knowledge exchange strategies, and implementing them. The deliverables include a stakeholder map, knowledge exchange strategies, and reports on strategy implementation.

Task 5 focuses on creating a branding strategy to promote the city's Net Zero Plan and engage the public effectively. It involves researching climate change-related brands in the city, analyzing their practices, and developing a branding strategy. Deliverables include a branding strategy report and electronic copies of tailored branding materials for future outreach.

B. Experience & Qualifications

About CAPSUS

CAPSUS is an international mission-oriented consulting firm initiated in Mexico and with a branch in Houston, Texas. Since 2009, the firm promotes urban sustainability and the transformation of cities into spaces that offer a higher quality of life to its citizens at a lower cost and lesser environmental impact. In our projects, we propose grounded, innovative, and comprehensive solutions based on evidence that can improve the economic, social, and environmental performance of cities in the short, medium, and long term.

Our methodologies manage existing or new technologies to acknowledge sustainability challenges in the energy, environmental management, and urban sectors, recognizing that sustainable development should embody stakeholders' engagement and effective knowledge exchange.

CAPSUS is formed by a multidisciplinary core team and a group of strategic allies. This gives us the capacity to tackle tasks that require different fields of expertise. The team is led by 2 partners (Guillermo Velasco and Ricardo Ochoa), 2 coordinators (Daniela Evia, and Andrea González), 19 consultants, and 8 associates. Our team is nurtured by the experience and knowledge of engineers, biologists, urban planners, GIS specialists, architects, capacity building and social experts, public policy analysts, information designers, and software developers. For more information, please visit our website www.capsus.mx

CAPSUS Houston, Texas: **Address:** 5718 Westheimer Road, Suite 1000, Houston, Texas, USA. **File number / EIN:** 804140810 / 87-1630403. **Type of organization:** Limited Liability Company (LLC). **Contacts:** Guillermo Velasco, guillermo.velasco@capsus.mx, +832 737 0834

CAPSUS Mexico City: **Address:** Boulevard Manuel Ávila Camacho 118, int 2401 Lomas de Chapultepec, Miguel Hidalgo, Ciudad de México, 11000. NIF/RFC: CAP091117UQ5 **Type of**

organization: Civil (Professional) Society (SC). **Contacts:** Daniela Evia, daniela.evia@capsus.mx , +52 (55) 44 31 29 27

About Climate View:

Climate View is a Swedish climate tech company, with offices in San Francisco, California, that accelerates cities' transition to zero carbon economies. We combine data and systemic analysis to enable cities to plan, manage and fund the transition to net zero. Together with pioneering cities across the globe, the interdisciplinary ClimateView team developed ClimateOS - the leading and most innovative platform for municipal climate action. This platform builds on a new theory of change for climate action, one being used by the Swedish Government and cities across Europe and North America to overcome the #1 barrier to climate action: connecting CO2 to \$\$.

ClimateView is formed by a multidisciplinary core team composed of more than 70 people and a group of strategic allies. Our team comprises software developers, engineers, economists, administrators, capacity-building experts, and project managers.

Climate OS: Climate OS tool is a decision-making platform for an entire city organization. It creates an easy-to-understand, data-driven climate action plan that attracts grants and funding. The ClimateOS platform supports cities, regions and national governments in all important stages of planning and implementing a climate action plan, including the prioritization of climate investment plans. For further information about Climate OS and its functionalities, please visit [Climate OS features](#)

Climate View AB Stockholm, Sweden. **Address:** Vasagatan 28,111 20 Stockholm, Sweden. **File number / EIN:** [AG1] reg. no 559150-4120. **Type of organization:** Limited company. ClimateView Inc. San Francisco, CA. **Address:** 100 Montgomery Street, San Francisco, CA 94104. Type of organization: Limited company

The compounded expertise and technology of CAPSUS and ClimateView will allow us to offer a state of the art Net Zero Plan that will not only comply with local, state, national and international standards, it will offer innovative, and understandable solutions for the government of Fort Lauderdale.

Qualifications

CAPSUS has carried out projects of similar scope and complexity to that requested by the terms of reference. Our principal examples are.

Air Quality Improvement Program of the Mexico City Metropolitan Area 2021-2030

Client: Mexico City's Ministry of the Environment (SEDEMA) and the Megalopolitan Environmental Commission (CAME)

Start and completion date: March 2020 - August 2021

Key Staff : Guillermo Velasco - Project Coordinator, Antares Velázquez – Information and Effective Communication Design

Approx. value of the contract (in USD): \$110,000.00

Description of services provided: The Program comprises an air quality diagnosis carried out in the study area, which included the analysis of the results of an emissions inventory, local stakeholders and governance frameworks, budgetary and operational capacities, and the results of local atmospheric monitoring. Based on the diagnosis, the metropolitan strategy was developed to reduce the emission of local air pollutants, reverse deterioration trends, protect the population's public health, and strengthen monitoring activities. The public policy strategies and measures included in the Program were evaluated and prioritized in terms of emissions reductions and implementation costs.

Contact: Patricia Camacho Rodríguez, pcamachor@sedema.cdmx.gob.mx

Green, Low carbon, and Climate Resilient Pristina, Kosovo

Client: The World Bank

Start and completion date: April 2021 - May 2022

Key Staff : Guillermo Velasco - Project leader, Ricardo Ochoa - Climate change expert, Andrea Gonzalez - Climate change expert, Margaret Scott.

Approx. value of the contract (in USD): \$125,000.00

Description of services provided: The project objective is to support the municipality of Prishtina to plan for low carbon and climate-resilient urban development. The services provided by CAPSUS includes data gathering and processing, assessment of urban growth patterns, analysis of current or draft planning instruments, modelling urban growth scenarios, recommendations, prioritization of investment projects, analysis of financial, institutional, and governance constraints, revision of international best practices, assessment of possible financing mechanism, capacity building activities, information design, dissemination activities.

Contact: Axel E. N. Baeumler, abaeumler@worldbank.org

Technical Guidance in the Development of Climate Action Plans

Client: The International Urban Cooperation (IUC) programme and the European Commission

Start and completion date: August 2019 - November 2020

Key Staff : Guillermo Velasco - Team Coordinator, Daniela Evia - Capacity Building Expert, Antares Velázquez – Information and Effective Communication Design.

Approx. value of the contract (in USD): \$120,000.00

Description of services provided: Five Mexican cities were trained in the preparation of their local Climate Action Plans. The services included the compilation of a GHG emission inventory, a climate risks and vulnerabilities assessment, the development of climate mitigation and adaptation strategies and actions, the evaluation and prioritization of the proposed measures, and communication and stakeholder engagement strategies.

Contact: Eugenia García, egarcia@iuc-na.eu

Social Communication and Participation Strategy for Asunción, Paraguay

Client: Inter-American Development Bank

Start and completion date: September 2019 - May 2021

Key Staff : Daniela Evia - Project Coordinator

Approx. value of the contract (in USD): \$130,000.00

Description of services provided: In collaboration with the IADB to publish a Technical Note about the Social Communication and Participation Strategy, designed on 2019-2021, for a project in Tacumbú, Paraguay. The CAPSUS team worked closely with IADB to create a comprehensive document detailing their previous work in Asuncion, which also contained valuable insights and lessons learned. This document was designed to guide and inform future projects in the region, ensuring that similar endeavors can benefit from the team's experience and knowledge.

Contact: Márcia Casseb, marcias@iadb.org

Assessment of Climate Action Plans of Latin America

Client: The World Bank Group

Start and completion date: November 2021 - March 2022

Key Staff : Guillermo Velasco - Team Coordinator, Andrea González - Climate Change Expert, Antares Velázquez – Information and Effective Communication Design.

Approx. value of the contract (in USD): \$19,000.00

Description of services provided: The overall objective of this project is to analyze 30 Climate Action Plans (CAP) from cities of Latin America and the Caribbean region to foster an analysis that can recognize patterns that could guide in the identification of actions in similarly endowed cities. Activities included: developing a framework for the analysis and comparison among the CAPs, summarizing information of the CAPs, evaluating the methods used, and identifying and cataloging the actions set forth.

Contact: Chandrasekar Ganesan, cdeuskar@worldbank.org

Additionally, there have been no contracts terminated (partially or completely) by clients for

convenience or default within the past seven years.

Proposed team

Guillermo Velasco – Project Manager. Environmental Policy and Urban Climate Specialist.

Education:

Master of Public Policy. Specialization in the environment, energy, and natural resources. Harvard University, Kennedy School of Government, Massachusetts, United States of America. 2003-2005.

BSC of Engineering in Physics. Specialization in energy systems. Universidad Iberoamericana, Ciudad de México, México. 1995- 2000.

Related experiences:

Climate Action Plan of Mexico City (2014-2020). Mexico City. Mexico. The strategy and the Climate Action Plan (2014-2020) for the government of Mexico City were developed in this project. The two products included the evaluation of the previous ones and the development of the new ones. During this project, several consultations were conducted with experts, local groups and public servants from different government units; mitigation potentials and uncertainties were calculated; and an online software program for tracking and automatic calculation tool of emission reductions were developed. Actions included mitigation strategies, attention to vulnerability issues and education. The aim was to reduce the carbon intensity of the City, with special emphasis on the responsibility of the local government. This work was done with the Mario Molina Center.

Technical Guidance in the Development of Climate Action Plans. (2018). Global Covenant of Mayors and the International Urban Cooperation. Mexico. Five Mexican cities were trained in the preparation of their local Climate Action Plans. The process included the compilation of a greenhouse gas emissions inventory, climate risks, and vulnerabilities analysis, the development of climate mitigation and resilience measures and strategies, their prioritization and communication, and community interaction strategies. During this process, the five cities developed their Climate Action Plans, a finance strategy and started implementation of the climate actions and policies. The project was carried out in collaboration with the Global Covenant of Mayors for Climate and Energy (GCoM), and the International Urban Cooperation Program (IUC).

Development of Municipal Climate Action Plans for Tulum and San Cristobal de las Casas (2021-2022). World Bank Group. Mexico. The overall objective of this project is to guide and support the municipalities of Tulum and San Cristobal de las Casas through a process of stakeholder engagement, collection of relevant data/information, and development of a Municipal Climate Action Plan for each city, compliant with the IPCC methodology and other relevant, internationally recognized reporting methodologies.

Languages: Native Spanish, professional fluent English

Andrea González – Climate Change Specialist.

Education:

Master of Environmental Management. DUKE UNIVERSITY, Nicholas School of the Environment. 2019- 2021

BSc. in Sustainable Development. Instituto Tecnológico y de Estudios Superiores de Monterrey (ITESM). 2013- 2016

Related experiences:

Evaluation of the effectiveness of the diesel IEPS accreditation policy (2022). French Development Agency (AFD). Mexico. This program seeks to evaluate: (1) the effectiveness of the tax accreditation policy for the products and services special tax (IEPS) to promote the formality of small businesses in the motor transport sector, (2) the economic concentration of accreditations in the sector, and (3) the environmental impacts generated by the granting of IEPS tax accreditations to diesel. Additionally, potential strategies to reduce costs and emissions in the transport sector will be produced. The results of the evaluation will help the Ministry of Finance and Public Credit (SHCP) to define fiscal policy alternatives for the trucking sector in Mexico.

Development of Municipal Climate Action Plans for Tulum and Cristobal de las Casas, Mexico. (2021-2022). World Bank. Mexico. The overall objective of this project is to guide and support the municipalities of Tulum and San Cristobal de las Casas through a process of stakeholder engagement, collection of relevant data/information, and development of a Municipal Climate Action Plan for each city, compliant with the IPCC methodology and other relevant, internationally recognized reporting methodologies. This includes the organization of workshops with local stakeholders (municipal authorities) to engage with beneficiaries, provide context and direction for local efforts and cooperation, and buy-in for target setting. A second component of the project is to build local capacities in the use of appropriate tools,

templates, and international reporting standards related to GHG inventories and assessments, scenario planning, and regular monitoring.

City Climate Action Plan Analysis in Latin America and the Caribbean (2021-2022). World Bank. Argentina, Brazil, Chile, Colombia, Mexico, Ecuador, Honduras, Jamaica, and Peru. The overall objective of this project is to analyze 30 Climate Action Plans (CAP) from cities in Latin America and the Caribbean region to foster an analysis that can recognize patterns that could guide the identification of actions in similarly endowed cities. The analysis will help categorize the actions identified in each city's CAP, by sector, cost (if available), source of funding, and timeline (short, medium, or long-term).

Languages: Native Spanish, professional fluent English

Daniela Evia – Stakeholder's engagement Specialist.

Education:

Master of Educational Innovation. Universidad Autónoma de Yucatán (UADY). 2011-2013.

BS in Art History. Universidad de las Américas-Puebla. 1999 - 2004.

Related experiences:

Environmental Management Program for Air Quality in the Metropolitan Area of the Valley of Mexico 2021-2030 (ProAire ZMVM) (2020-2021). Ministry of the Environment (SEDEMA). Mexico. Capacity builder and stakeholder engagement specialist. The project objective was to develop the Program to Improve the Air Quality of the Metropolitan Area of the Valley of Mexico 2021-2030, which is a management instrument that contains measures and actions for the short, medium, and long term, to prevent and reverse the trends of deterioration of air quality and protect public health in the Metropolitan Area. An air quality diagnosis was carried out based on the emissions inventory, social and economic factors, institutional arrangements, and the measurements of the atmospheric monitoring stations. Based on the diagnosis, the revision of other planning instruments, and workshops, several sectoral policies, investments, and strategies were formulated. These were then evaluated and prioritized in collaboration with stakeholders in terms of emissions reductions and implementation costs.

Technical Guidance in the Development of Climate Action Plans (2020). The European Commission and Mexican cities. Mexico. Capacity builder and stakeholder engagement specialist. Five Mexican cities were trained in the preparation of their local Climate Action Plans (CAP). The process included the compilation of a GHG emissions inventory, the analysis

of climate risks and vulnerabilities, the formulation, prioritization, and communication of climate mitigation and resilience measures and strategies, as well as on providing recommendations for community interactions. As extensions of the project, the team assisted in the adaptation of a technical and methodological guidebook for CAP development, introducing information relevant to local governments, and identified financial mechanisms to implement the actions contained in the Plans.

Climate change adaptation and mitigation (2016). Amigos de Sian Ka'an.Mexico. Capacity builder and stakeholder engagement specialist. This project included the development of a report on climate change and tourism in the state of Quintana Roo, as well as the participation in workshops that informed this report. Additionally, a revision of a Guide on the conservation of water resources was required.

Languages: Native Spanish, professional fluent English, proficient French.

Ricardo Ochoa – Climate Change Adaptation Specialist.

Education:

Master of Science in Renewable Energy. Universidad Autónoma de Yucatán (UADY). 2011-2013.

BS in Mechanical Engineer. Technological Institute of Higher Studies Monterrey . 1999 - 2005.

Related experiences:

Supporting the Implementation of Nationally Determined Contribution-Cities and Climate Change in South Africa (2022) World Bank. Project coordination. The project supports the Government of the Republic of South Africa to identify urbanization trends and possible actions to improve urban policy in support of a just transition. Through a comprehensive spatial analysis, the project produces key insights to support sustainable urban development, and low-carbon & resilient economic development. The objective is to conduct urban growth analytics to assess the impact of climate change risks and urban growth choices on the overall livability and productivity of urban clusters in South Africa and provide strategic transition pathways & policy levers that are critical for climate resilient and low-carbon urbanization across South Africa. The work is divided into three main activities, focusing on baseline spatial analytics and urban policy diagnostics, future scenario modelling for the medium to long term, and dissemination of the results.

Urban Planning Tools as Agents of Change: Collaborative Spatial Data for Sustainable Urban Development in Indonesia (2019-2020). World Bank. Project coordination. In this project, a web-based spatial information repository (Geoportal) was developed in addition to three urban planning tools integrated into the Geoportal. The tool called "CollabMap" is designed to promote public participation in urban planning processes. The "Urban Hotspots" tool identifies the most suitable location within a city to carry out a specific activity, such as prioritizing investment projects. The "Urban Performance" tool allows users to design and evaluate urban scenarios to compare the impact of investment projects through a set of indicators. The project was a collaboration with the World Bank Group to support various agencies and six cities in Indonesia to improve the evaluation and approval process of their master plans.

New environmental solutions for housing in Mexico (2019 - 2020) Development of analytical methods. An investigation was developed on ecological materials, bioclimatic systems and ecotechnologies not yet certified for the Social Production of Social Housing. Exploratory research was carried out on the components that make up a home and that can achieve savings in water and energy consumption for those who inhabit it. This is to develop a catalogue of technical sheets that expand the range of solutions in the construction industry. Said research consisted of interviews, collection of generated information, estimation of energy and water performance and cost analysis of each of the proposals.

Languages: Native Spanish, professional fluent English

Juan Manuel Gómez Pérez - Mathematician and Programming Expert

Education:

BS in Mathematics at Universidad Autónoma de México

Related experiences:

Possesses expertise in effectively managing vast amounts of information and conducting comprehensive data analysis. His proficiency extends to the development, management, and implementation of sophisticated databases. Notably, he has actively collaborated on prestigious projects affiliated with the National Autonomous University of Mexico, including the Informed Vote initiative. Additionally, he played a pivotal role in crafting a dynamic content management portal dedicated to Mexican museums, a remarkable achievement accomplished in collaboration with the Ministry of Culture. This groundbreaking platform showcases remarkable collections, exhibitions, and events from

some of the nation's most esteemed museums, granting visitors the opportunity to embark on virtual tours and access meticulous technical details about the artworks.

He has also made noteworthy contributions to the development team responsible for creating the Transversality Agenda Information System, an integral component of the Special Program on Climate Change (SIAT-PECC). Furthermore, he has lent his expertise to the programming efforts of the Climate Action Program Monitoring System for Mexico City (SS-PACCM) and the PROAIRE Monitoring System (SS-PROAIRE), both of which have proven instrumental in driving sustainable initiatives within the city. Moreover, he actively participated in the development of the National Air Quality Information System (SINAICA) for INECC, reinforcing his commitment to advancing environmental stewardship through cutting-edge technological solutions.

In the private sector, he has participated in coordinating and developing web and mobile applications for online survey data collection with Shoppers Consulting, as well as the facility monitoring system for Isla Urbana. As a lead programmer, he managed the development of the emissions trading system for the Mexican government, which is a banking system for the administration and monitoring of carbon credits and emission rights.

Louise David – Urban Planner

Education:

PhD in Urban Planning. Université Paris-Est . 2007-2013.

Masters in Public Policy. Political Science Institute of Paris (Sciences Po Paris). 2006-2007.

Masters in Public Policy. Université Paris 1 (La Sorbonne). 2005-2006.

BA in Law . Université de Nantes. 2001- 2005.

Related experiences:

Qualitative Investigation for Urban Plans (2021). Ministry of Urban Development of Mexico's federal government. Project Coordinator. Design, implementation, and synthesis of qualitative investigation to recollect inhabitants' needs and perceptions for the Urban Development Plans of Tuxtla and Tapachula, Chiapas: enquiries, stakeholders interviews, focus groups.

Citizen engagement process for a Metropolitan Plan (2020). Ministry of Urban Development of Mexico's federal government. Project Coordinator. Design, implementation, and synthesis of qualitative investigation to recollect inhabitants' needs and perceptions for the elaboration of the Metropolitan Program of Chetumal, Quintana Roo: enquiries, stakeholders' interviews, focus groups.

Urban challenges and projects in Peru (2015). French Development Bank (AFD). Local consultant Identification of public policies and strategic projects with important urban impact in Peru. Undertaken activities include: stakeholders interviews, public policies document reviews, statistical analysis, synthesis writing.

Languages: Native French, proficient Spanish and English

Margaret Scott – Urban Planner.

Education:

Master in Urban Planning. Harvard University Graduate School of Design. 2015

BA in Political Science and Geography . Macalester College 2010

BS in Environmental Engineering. Instituto Politécnico Nacional. Mexico City, Mexico.

Related experiences:

Enterprise Community Partners – Columbia, MD Knowledge Impact and Strategy | September 2018 – Present. Senior Program Officer Provide technical assistance, including writing, research, and project management, to organizations and governments looking to evaluate and demonstrate their impact on key affordable housing and community development outcomes. Facilitate strategic planning efforts across the organization, including implementing impact measurement and performance tracking.

HR&A Advisors – Washington, D.C. | 2018 Analyst. Consulted on a range of projects focused on affordable housing, inclusive development, and community engagement, including coordination of a disaster recovery technical assistance program for a network of mayors and local governments in Puerto Rico

SIMLab – Washington, D.C. World Bank Group. Maldives | 2016-2017. Project Director. Managed research and technical assistance in seven countries, focused on community engagement in post-conflict police reform. Oversaw grant administration through the

European Commission Horizon 2020 program, including designing pilot initiatives with partners in Kenya and Guatemala.

Languages: Native English, proficient Spanish

Antares Velázquez – Information and Effective Communication Design

Education:

Master in Design Studies (ongoing). CENTRO (Centro de Diseño, Cine y Televisión) . México.

Bachelor degree in Graphic Communication Design. Universidad Autónoma Metropolitana. Mexico.

Related experiences:

City Climate Action Plan Analysis (2021-2022). World Bank Group. Latin America and the Caribbean. Information and Effective Communication Design specialist. The overall objective of this project is to analyze 30 Climate Action Plans (CAP) from cities of Latin America and the Caribbean region to foster an analysis that can recognize patterns that could guide in the identification of actions in similarly endowed cities. The analysis helped categorize the actions identified in each city's CAP, by sector, cost (if available), source of funding, and timeline (short, medium, or long-term).

Climate Resilient Cities (2021). World Bank, Urban Resilience Office (ORU) and the Secretariat of Agrarian, Territorial and Urban Development (SEDATU). Mexico. Information and Effective Communication Design specialist. The project supports the Secretariat of Agrarian, Territorial and Urban Development SEDATU in the development of design and construction guidelines to maximize the mitigation and adaptation benefits of infrastructure investments financed under the PMU, in the context of promoting a green and resilient recovery from the COVID-19 Pandemic. The objective was to develop the general guidelines and design criteria to be adopted in the design, procurement, construction and supervision of the investments financed under the PMU. The guidelines establish the parameters so that the works carried out within the framework of the program meet sustainability criteria in accordance with the different climatic conditions of the project sites in various regions.

Environmental Management Program for Air Quality in the Metropolitan Area of the Valley of Mexico 2021-2030 (ProAire ZMVM 2021-2030) (2020). Mexico City Ministry of the Environment (SEDEMA). Mexico. Information and Effective Communication Design specialist. The Program to Improve the Air Quality of the Metropolitan Area of the Valley of Mexico (ProAire ZMVM) includes the 16 districts of Mexico City, 59 conurbation municipalities of the state of Mexico, and Tizayuca, Hidalgo. The Program comprises an air quality diagnosis carried out in the ZMVM that included the analysis of the results of the emissions inventory, the institutions, the

budgetary and operational capacities, as well as the results of the atmospheric monitoring stations. Based on the diagnosis, the metropolitan strategy was developed to reduce the emission of local air pollutants, reverse deterioration trends, protect the public health of the population and strengthen monitoring activities. The public policy strategies and measures included in the Program were evaluated and prioritized in terms of emissions reductions and implementation costs.

Languages: Native Spanish, proficient English

Consultant's Experience

We believe that our team is suited for the activities requested because we have experience in the following topics:

- a. **Demonstrated experience in the development of comprehensive climate action and low-carbon development plans and programs.** At CAPSUS we believe that scientific information and applied research must be transmitted in an understandable way to make informed decisions. For this reason, at CAPSUS we focus on the preparation of reports for decision-making based on the beneficial and adverse impacts in the economic, environmental, and social spheres of territorial interventions. We use sustainability and urban performance indicators as the basis for developing our solutions and as criteria for evaluating them. **Our experience includes the development of urban policies and strategies based on participatory process and collaborative decision-making** as Climate Action Plans (CAP), Urban Resilience Strategies, Air Quality Programs and the development and assessment of **Territorial Planning Programs and Urban Development Programs; the design, pilot and update of urban planning tools as cities and municipalities master plans; and the integration spatial analysis and scenario projections for adaptive capacity and resilience of cities**, as it relates to socioeconomic, governmental, and institutional, environmental and physical factors, land use, and the provision of infrastructure and utilities.
- b. **Extensive technical experience in the development of assessments of risks and climate change vulnerabilities in the urban context.** CAPSUS has developed more than 10 projects for the identification of hazards and the selection and estimation of vulnerability indicators. Our experience includes the development of compliance and good practices projects – as Environmental Due Diligence, Social and Environmental Impact assessment, and cost-benefit analysis-; research, development, and evaluation of potential investment options for environmental and urban projects; the environmental feasibility to identify potential obstacles and opportunities in investment projects; and the evaluation and proposal of technology tools approaches

for evaluating climate risks and vulnerability according to specific climate stressors and city attributes.

- c. **Demonstrated ability to collect and analyze quantitative and qualitative data** using appropriate statistical modalities and methodologies for city planning and urban policy development. We have supported local governments in the region and several countries in Africa, East Asia and Pacific, Europe & Central Asia, Latin-American and the Caribbean, and the Middle East and North Africa, with technical assistance for urban performance assessments and with the development of tools to ease the replication of urban analytics. Our technical expertise includes quantitative and qualitative urban development and investment planning data analytics, with QGIS and ArcGIS, R, Python, PostgreSQL, and PostGIS; and investment planning and business intelligence with ShinyApps, PowerBi, and Google Data Studio.
- d. **Extensive technical knowledge of municipal spatial data infrastructure in the region.** We have worked closely with CPL in implementing the Municipal Spatial Data Infrastructure approach in cities in Indonesia. We have helped in the development of technical and dissemination materials to guarantee that local governments can gather good quality data, analyze it, and make informed decisions. Our team has experience in creating the MSDI roadmap to identify the best opportunities for the city in each one of the MSDI pillars: Institutions, People, Data, and Systems. This experience allows us to have a good insight into the requirements of the MSDI operationalization according to the Indonesian context.
- e. **Demonstrated ability to conduct technical training** both remotely and in-persona following a rigorous methodology. As CAPSUS, we believe that stakeholder engagement is key for successful implementation. To achieve this we implement communication, training and participatory processes to conduct efficient technical training. Our experience includes on-site training, workshop design, moderation and reporting, organization of working groups and participatory sessions. Our team has also designed training material for remote training, adapted existing printed material for online-training and developed online training platforms (fully customized, via Moodle and Google Classroom). After 2020 our team perfected participatory and communication processes for virtual environments and digital platforms to comply with COVID prevention and mitigation measures.
- f. **International experience in multiple metropolitan areas** in urban analytics. CAPSUS has worked with more than 100 metropolitan areas around the globe and more than 400 cities. On these projects, we have worked with cooperation agencies, and national and local governments, in Indonesia and other regions as well. Previous work from the consulting firm includes metropolitan analytics and capacity building

with local and national governments in Jordan, Palestine, Mongolia, Mexico, Argentina, Paraguay, Côte d'Ivoire, Guyana, Kosovo and Indonesia.

- g. **Demonstrated evidence to deliver stellar products.** At CAPSUS, we believe that effective communication is key for successful projects. Our analytical work is complemented by powerful visualizations and editorial design. A selection of our stellar products includes [Manuals for sustainable self-production of housing in Mexico](#), editorial design for the [Handbook for Gender-Inclusive Urban Planning and Design](#), [Demographic trends and urbanization](#), [Urban Performance for Semarang](#), and [Urban Performance for Denpasar](#).
- h. **Extensive experience working with the World Bank.** CAPSUS has developed methodologies and tools to simplify advanced data analytics with the World Bank Group. Our work has resulted in more efficient and cost-effective analytic processes and has benefitted World Bank teams with high-quality and on-time urban analytics. A selection of our work with the World Bank includes (i) Urban growth scenarios development for Jordan, (ii) Indonesia, (iii) Côte d'Ivoire, and (iv) Kosovo; (v) Metropolitan Profile (37 Metropolitan Areas) in Mexico, (vi) Urban Planning Tools as agents of change for Indonesia, (vii) Climate-smart urbanization in Mongolia, (viii) Gender-inclusive Urban Planning and Design (editorial design), (ix) Household Appliance Replacement Program for Argentina, (x) Affordable Housing Location Suitability for Indonesia, (xi) Development of an online training platform for ETS in Mexico, and (xii) Demographic trends and urbanization analytics in AFRICA, EAP, ECA, LAC, MENA, and SAR.

During the last 10 years, CAPSUS have carried out projects of similar scope and complexity to that requested by the terms of reference.

Green, Low Carbon, and Climate Resilient Prishtina (2021-2022). The purpose of this project was to support the municipality of Prishtina to undertake decisions for a greener, low-carbon, and resilient development. CAPSUS performed an analysis of the current urban and development strategies and trajectories to identify the investment projects at a city and neighbourhood scale. The scope of work included 1) developing low carbon and climate-resilient urban growth analytics, and 2) supporting the identification of location-specific low carbon and climate-resilient investments.

Bulgaria Compact Cities ASA urban growth/shrinkage scenario exercise. The objective was to provide technical assistance to the Government of Bulgaria and selected Bulgarian municipalities to achieve both climate adaptation and mitigation benefits by developing a pragmatic Sustainable Cities approach. CAPSUS conducted an urban and climate risk mapping, through literature review and research of urban spatial modeling, sector plans and climate change in Bulgaria. The analysis forecasted three possible urban growth/shrinkage scenarios to assess accessibility to urban services and employment

opportunities, exposure to climate hazards, climate change mitigation, expansion costs, poverty, and infrastructure coverage. Also, CAPSUS adapted existing dissemination material to develop a dialogue with the stakeholders.

Urban Scenarios for the Urban Resilience Strategy of Colima, México (2019). The main objective of the project was to identify and prioritize strategies to bring Colima into a climate-resilience pathway for the year 2030. For it, the team assessed diverse intervention options using social, environmental and economic indicators. The interventions included three regeneration options for an ex-military area near the center of the city, a partial plan to recover the Colima River at its most deprived section, transit-oriented development strategies combined with public transportation and non-motorized infrastructure projects, modifications to the Master Plans to evaluate the growth boundary of the city. The Colima River Partial Plan included a linear park, improving housing options, public facilities like nurseries and clinics, and job opportunities through commercial establishments and tourism.

Urban Growth Scenarios Palestine: Integrated Cities and Urban Development Project - ICUD (2018-2019). The team worked in the urban areas of Hebron, Ramallah-Al Bireh, Bethlehem, Nablus and Gaza, to help national and local officials analyze policies, urban plans and interventions to promote sustainable development for the region. Several scenarios were modelled to analyze different outcomes. The scenarios assessed different urban public policies in terms of housing availability and affordability, water efficiency, energy consumption, costs-revenues balance and greenhouse gas emissions, thus estimating environmental, social, and economic indicators for each urban growth path option. The project included the creation of urban planning tools and capacity building in each municipality.

Urban Planning Tools as Agents of Change: Collaborative spatial data for sustainable urban development in Indonesia. A web-based spatial information repository (Geoportal) was developed in addition to three urban planning tools integrated into the Geoportal. The tool called “CollabData” is intended to promote public participation in urban planning processes. The “Urban Hotspots” tool identifies the most suitable location within a city to carry out a specific activity, such as the prioritization of investment projects. The “Urban Performance” tool allows users to design and evaluate urban scenarios to compare the impact of investment projects through a set of indicators. **The project was a collaboration with the World Bank Group to support various agencies and six cities in Indonesia to improve the process of evaluating and approving their urban master plans.**

In the following table, CAPSUS presents the projects that are most aligned in scope and complexity to the activities required by this consultancy. For more detail on each of the projects, please take a look at the following pages, where projects are described one by one.

Table 1 Experiences of CAPSUS and their alignment to TDRs scope

Key firm experience/experiences	Low carbon development	Urban planning	Land/property development	Climate risk management	Low carbon and climate resilience	Experience in the region
Supporting the Implementation of Nationally Determined Contribution-Cities and Climate Change in South Africa (March 2022 – April 2023)	X	X	X	X	X	
Facilitation package for emission reduction scenarios - Climate Action Planning in Latin America (Sep 2020 – March 2021)	X	X		X	X	
Green, Low carbon, and Climate Resilient Pristina, Kosovo (Apr 2021-Feb 2022)	X	X	X	X	X	
Social Communication and Participation Strategy for Asunción, Paraguay (2019-2021)		X	X			
Location Suitability of Subsidized Housing in Indonesia (Jul – Dec 2020)	X	X	X	X	X	X

Key firm experience/experiences	Low carbon development	Urban planning	Land/property development	Climate risk management	Low carbon and climate resilience	Experience in the region
Urban Planning Tools as Agents of Change: Collaborative spatial data for sustainable urban development in Indonesia (Sep 2018 – Sep 2019)	X	X	X	X	X	X
Urban Scenarios for the Urban Resilience Strategy of Colima (May 2018 – Oct 2019)	X	X	X	X	X	
Urban Growth Scenarios Palestine (March 2018 – Apr 2019)	X	X	X	X	X	
Sustainable Local Development Guide (Apr – Oct 2011)	X	X	X	X	X	
Urban Planning Tool for the reduction of emission of air pollutants (Sep 2018 – Dec 2019)	X	X			X	
Growth Model for Cities: Towards Compact Cities - Abidjan, Semarang and Denpasar (Sep 2017 - Dec 2018)	X	X	X	X	X	

Key firm experience/experiences	Low carbon development	Urban planning	Land/property development	Climate risk management	Low carbon and climate resilience	Experience in the region
Urban Growth Model and Sustainable Urban Expansion for the Hashemite Kingdom of Jordan (Apr-Dec 2017)	X	X	X	X	X	
Regional Guidebook for Climate Action Planning in Mexico (July 2020-February 2021)	X	X		X	X	
Metropolitan Urban Planning Tool Mexico (Aug 2017-Jun 2018)	X	X		X	X	
Technical Guidance in the Development of Climate Action Plans (Aug 2019 - Nov 2020)	X	X		x	x	
Mexico City's Resilience Strategy (Jan 2014 - Apr 2014)	X	X		X	X	
Climate Action Plan for Mexico City (Sep 2012-Oct 2013)	X	X		X	X	
Guides for the Evaluation and Design of Local Climate Action Plans (Oct 2012-2013)	X	X		X	X	

Table 2 Supporting the Implementation of Nationally Determined Contribution-Cities and Climate Change in South Africa

Supporting the Implementation of Nationally Determined Contribution-Cities and Climate Change in South Africa	
Assignment name: Supporting the Implementation of Nationally Determined Contribution-Cities and Climate Change in South Africa (2022)	Approx. value of the contract (in current US\$): USD 60,000.00
Country: South Africa Location within the country:	Duration of assignment (months): 14 months
Name of Client: World Bank	Total No. of staff-months of the assignment: 9-month/person
Contact Person, Title/Designation, Tel. No./Address: Devika Rajkumar +1 202-458-5858 corporateprocurement@worldbank.org	
Start date (month/year): Completion date (month/year): March / 2022 April / 2023	No. of professional staff-months provided by your consulting firm/organization or your sub-consultants: 9-month/person
Name of associated Consultants, if any: N/A	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed (e.g. Project Director/Coordinator, Team Leader): Ricardo Ochoa - Project coordinator Andrea González - Scenario modelling leader Dante Zayas - Urban sustainability specialist Guillermo Velasco - Public policy leader Socorro Román - Spatial data specialist Renta Rocha - Climate investment specialist Antares Velazquez - Information design specialist
Description of Project: The project supports the Government of the Republic of South Africa to identify urbanization trends and possible actions to improve urban policy in support of a just transition.	
Description of actual services provided by your staff within the assignment:	

Through a comprehensive spatial analysis, the project produces key insights to support sustainable urban development, and low-carbon & resilient economic development. The objective is to conduct urban growth analytics to assess the impact of climate change risks and urban growth choices on the overall livability and productivity of urban clusters in South Africa and provide strategic transition pathways & policy levers that are critical for climate resilient and low-carbon urbanization across South Africa. The work is divided into three main activities, focusing on baseline spatial analytics and urban policy diagnostics, future scenario modelling for the medium to long term, and dissemination of the results.

Table 3 Facilitation package for emission reduction scenarios - Climate Action Planning in Latin America

Facilitation package for emission reduction scenarios - Climate Action Planning in Latin America	
Assignment name: Facilitation package for emission reduction scenarios - Climate Action Planning in Latin America	Approx. value of the contract (in current US\$): \$25,000
Country: Argentina Location within the country: Buenos Aires	Duration of assignment (months): 6 months
Country: Brazil Location within country: Curitiba, Río de Janeiro, Salvador y São Paulo	
Country: Colombia Location within the country: Medellín	
Country: Ecuador Location within the country: Quito	
Country: México Location within the country: Guadalajara	
Country: Perú Location within the country: Lima	
Name of Client: C40 Cities	
Contact Person, Title/Designation, Tel. No./Address:	

Omar Saracho Aguilar, Technical Adviser, Climate Action Planning - Latin America Climate Action Planning Programme - C40, osaracho@c40.org	
Start date (month/year): September 2020 Completion date (month/year): March 2021	No. of professional staff-months provided by your consulting firm/organization or your sub-consultants: 12
Name of associated Consultants, if any: Laura Janka, external consultant	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed (e.g. Project Director/Coordinator, Team Leader): Guillermo Velasco - Team Coordinator Daniela Evia - Participatory Processes Sebastian Reyes - Climate Change Expert
Description of Project: The project scope covered the integration of a lessons-learned and recommendations report, based on the experience of nine Latin American cities during the planning and development of emission reduction scenarios within the mitigation component of Climate Action Planning.	
Description of actual services provided by your staff within the assignment: The services included: A recommendations report intended to inform the remaining planning processes in the region and beyond, and further climate action implementation, including the use of Pathways, C40's tool for the development of emission scenarios. Additionally, a facilitation package was designed to help city teams facilitate discussions and participatory processes during the development of emission reduction scenarios, without the need for additional external support. The Facilitation Package covered the following topics: 1) gathering political and technical support, 2) definition of GHG reduction targets, 3) data collection activities, 4) identification and definition of actions to be included in emission reduction scenarios, 5) developing and validating implementation assumptions to estimate the impact of mitigation actions in terms of GHG emission reductions, and 6) presentation and validation of scenario results.	

Table 4 Air Quality Improvement Program of the Mexico City Metropolitan Area 2021-2030

Air Quality Improvement Program of the Mexico City Metropolitan Area 2021-2030	
Assignment name: Air Quality Improvement Program of the Mexico City Metropolitan Area 2021-2030 (ProAire ZMVM 2021-2030)	Approx. value of the contract (in current US\$): \$110,000.00
Country: Mexico Location within the country: Mexico City	Duration of assignment (months): 17
Name of Client: Mexico City's Ministry of the Environment (SEDEMA) and the Megalopolitan Environmental Commission (CAME)	Total No. of staff-months of the assignment: 30
Contact Person, Title/Designation, Tel. No./Address: Patricia Camacho Rodríguez Directora de Proyectos de Calidad del Aire Secretaría de Medio Ambiente Gobierno de la Ciudad de México pcamachor@sedema.cdmx.gob.mx	
Start date (month/year): March 2020 Completion date (month/year): August 2021	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 30
Name of associated Consultants, if any: Jorge Macías - Environmental Economist Roberto de la Maza - Environmental Lawyer	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: Guillermo Velasco - Project Coordinator Sebastián Reyes - Air Quality Expert
Description of Project: The Air Quality Improvement Program of the Mexico City Metropolitan Area includes the 16 districts of Mexico City, 59 con-urban municipalities of the state of Mexico and the municipality of Tizayuca, Hidalgo. Its objective is to define coordinated actions between three levels of government and 3 states to improve the air quality in the metropolitan area of the Mexican Capital. The public policies are designed based on scientific evidence (an emission inventory must be developed, and air quality diagnosed), and public participation from the relevant academia, international organizations, local governments and other experts. The Program expands from 2021 to 2030 and includes a public policy toolkit that includes more than 100 activities (ranked by cost-benefit	

analysis), command and control actions, economic incentives, regulatory reforms, new basic research and a public health agenda.

Description of actual services provided by your staff within the assignment:

The Program comprises an air quality diagnosis carried out in the study area, which included the analysis of the results of an emissions inventory, local stakeholders and governance frameworks, budgetary and operational capacities, and the results of local atmospheric monitoring. Based on the diagnosis, the metropolitan strategy was developed to reduce the emission of local air pollutants, reverse deterioration trends, protect the population's public health, and strengthen monitoring activities. The public policy strategies and measures included in the Program were evaluated and prioritized in terms of emissions reductions and implementation costs.

Table 5 Green, Low carbon, and Climate Resilient Pristina, Kosovo

Green, Low carbon, and Climate Resilient Pristina, Kosovo	
Assignment name: Green, Low carbon, and Climate Resilient Pristina, Kosovo	Approx. value of the contract (in current US\$): \$125,000
Country: Kosovo Location within the country: Pristina	Duration of assignment (months): 10
Name of Client: The World Bank Group	Total No. of staff-months of the assignment: 20
Contact Person, Title/Designation, Tel. No./Address: Axel E. N. Baeumler, Senior Infrastructure Economist, abaeumler@worldbank.org	
Start date (month/year): April 2021 Completion date (month/year): February 2022	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 20
Name of associated Consultants, if any: Sole Consultant	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: <ul style="list-style-type: none"> • Guillermo Velasco, Project leader • Carmen Valdez, Project manager • Ricardo Ochoa, Climate change expert • Sebastián Reyes, Air quality and GHG emissions • Socorro Román, GIS specialist • Antares Velazquez, Information design specialist • Binak Beqaj, Local Team Leader • Vlora Aliu, Local Data Gathering Specialist • Modest Gashi, Local Urban Planning Expert

Description of Project:

The objective of the project is to support the municipality of Pristina to plan for low carbon and climate resilient urban development. The first component of the study is at the “macro” city-wide scale and it consists in undertaking analytics of current urban development patterns and policies, developing scenarios around future growth and development trajectories, and identifying appropriate strategies and investment projects for the city. The second component brings these strategies to a “micro” neighborhood scale by applying the approach of Component 1 in a selected area of the city.

Description of actual services provided by your staff within the assignment:

Data gathering and processing, assessment of historic urban growth patterns, analysis of current or draft planning instruments, modelling urban growth scenarios, recommendations, prioritization of investment projects, analysis of financial, institutional and governance constraints, revision of international best practices, assessment of possible financing mechanism, capacity building activities, information design, dissemination activities.

Table 6 Social Communication and Participation Strategy for Asunción, Paraguay

Social Communication and Participation Strategy for Asunción, Paraguay	
Assignment name: Social Communication and Participation Strategy for Asunción, Paraguay	Approx. value of the contract (in current US\$): \$127,118
Country: Paraguay Location within the country: Asunción	Duration of assignment (months): 5 months
Name of Client: InterAmerican Development Bank (IADB)	Total No. of staff-months of the assignment: 10
Contact Person, Title/Designation, Tel. No./Address: Marcia Casseb, Urban Development and Sanitation Lead Specialist in the Housing and Urban Development Division. - C40, marcias@iadb.org	
Start date (month/year): August 2019 Completion date (month/year): January 2021	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 15
Name of associated Consultants, if any: Beatriz Bogado y Ana Aquino as Local Consultants for deploying the social participation strategy. Lilia González Moreno, conflict resolution. Mónica Villamayor local legal analysis	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed (e.g. Project Director/Coordinator, Team Leader): Daniela Evia – Team Leader Dinorah León - Participatory Processes Antares Velazquez – Communication expert Juan Manuel Gómez – Software engineer
Description of Project: Conduct participatory management of the project by implementing a participation system that enables social inclusion and incorporates the perspectives of key stakeholders in the project's relevant actions during its execution. Resolve potential controversies through dialogue. Establish a mechanism for the operation and development of the project, which includes designing and operating a platform, as well as building local capacity to implement the strategy."	

Description of actual services provided by your staff within the assignment:

A social communication and participation Toolkit was designed and implemented in Tacumbú, Asunción, Paraguay. This Toolkit had three mechanisms 1) Social communication, 2) Public consultation, and 3) Grievance redressal. These mechanisms are supported by a digital platform named CollabMap. The strategy addressed communication management, social inclusion, attention to complaints, and social participation around a Housing Program and related projects for neighborhood consolidation. Additional urban planning tools were implemented to gather field information to advance informed decision-making for vulnerable groups during the COVID-19 crisis and recovery stage.

Table 7 Location Suitability of Subsidized Housing in Indonesia

Location Suitability of Subsidized Housing in Indonesia	
Assignment name: Location Suitability of Subsidized Housing in Indonesia	Approx. value of the contract (in current US\$): \$65,000
Country: Indonesia Location within country: National	Duration of assignment (months): 6
Name of Client: The World Bank Group	Total No. of staff-months of the assignment: 18
Contact Person, Title/Designation, Tel. No./Address: Dao Harrison is the Senior Housing Specialist for the World Bank, dharrison1@worldbank.org	
Start date (month/year): July 2020 Completion date (month/year): December 2020	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 16
Name of associated Consultants, if any: Sole Consultant	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: <ul style="list-style-type: none"> • Ricardo Ochoa, Project leader • Socorro Román, GIS specialist • Antares Velazquez, Information design specialist
Description of Project: The main objective of this assessment is to assess the location suitability of the KPR subsidy built between 2015 and 2019 for 20 cities/regencies (Kota and Kabupaten) and provide location-guidance recommendations for different housing typologies based on geospatial, economic, zoning and environmental risk criteria.	

Description of actual services provided by your staff within the assignment:

The outcomes of this project include (i) a suitability tool for the 20 cities/regencies using relevant open data; (ii) mapping of credit-linked subsidy housing built-in 20 Kota and Kabupaten between 2015-2019 against the land suitability tool and (iii) recommendations for location guidance that would enable the Government to fine-tune its housing policy toward suitable location characteristics for landed houses versus those for alternative typologies (low-rise vertical, multi-story, and others).

Table 8 Urban Planning Tools as Agents of Change: Collaborative spatial data for sustainable urban development in Indonesia

Urban Planning Tools as Agents of Change: Collaborative spatial data for sustainable urban development in Indonesia	
Assignment name: Urban Planning Tools as Agents of Change: Collaborative spatial data for sustainable urban development in Indonesia	Approx. value of the contract (in current US\$): \$246,000
Country: Indonesia Location within country: National	Duration of assignment (months): 12
Name of Client: The World Bank Group	Total No. of staff-months of the assignment: 80
Contact Person, Title/Designation, Tel. No./Address: Gayatri Singh, Senior Urban Development Specialist, gsingh9@worldbank.org	
Start date (month/year): September 2018 Completion date (month/year): September 2019	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 80
Name of associated Consultants, if any: Sole Consultant	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: <ul style="list-style-type: none"> • Ricardo Ochoa, Project leader • Socorro Román, GIS specialist • Antares Velazquez, Information design specialist • Dante Zayas, Capacity Building • Amilcar González, Spatial data infrastructure specialist

Description of Project:

A web-based spatial information repository (Geoportal) was developed in addition to three urban planning tools integrated into the Geoportal. The tool called “CollabData” is intended to promote public participation in urban planning processes. The “Urban Hotspots” tool identifies the most suitable location within a city to carry out a specific activity, such as the prioritization of investment projects. The “Urban Performance” tool allows users to design and evaluate urban scenarios to compare the impact of investment projects through a set of indicators.

Description of actual services provided by your staff within the assignment:

Tool development and improvement. Data gathering and processing. The project was a collaboration with the World Bank Group to support various agencies and six cities in Indonesia to improve the process of evaluating and approving their urban master plans.

Table 9 Urban Scenarios for the Urban Resilience Strategy of Colima

Urban Scenarios for the Urban Resilience Strategy of Colima	
Assignment name: Urban Scenarios for the Urban Resilience The strategy of Colima, Mexico, as part of the 100 Resilient Cities initiative.	Approx. value of the contract (in current US\$): \$31,500.00
Country: Mexico Location within the country: Colima	Duration of assignment (months): 5
Name of client: ARUP and Resilience Department of Colima	Total No. of staff-months of the assignment: 6
Contact Person, Title/Designation, Tel. No./Address: Larissa Pérez, Urban Design and Planning Coordinator, llarissa.perez@ipco.gob.mx	
Start date (month/year): May 2018 Completion date (month/year): October 2019	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 6
Name of associated Consultants, if any: N/A	Name of senior professional staff involved, and designation and/or functions performed: • Carmen Valdez, Team leader

Description of Project:

The main objective of this project was the identification and prioritization of strategies to bring Colima into a climate-resilience pathway for the year 2030. To complete this task, the team assessed diverse intervention options using social, environmental and economic indicators. The interventions included three regeneration options for an ex-military area near the center of the city, a partial plan to recover the Colima River at its most deprived section, transit oriented development strategies combined with public transportation and non-motorized infrastructure projects, modifications to the Master Plans to evaluate the growth boundary of the city. The Colima River Partial Plan included a linear park, improving housing options, public facilities like nurseries and clinics, and job opportunities through commercial establishments and tourism.

Description of actual services provided by your staff within the assignment: Collection, revision and integration of proposed resilience proposals identified by the local Resilience Office and the Municipal Planning Institute of Colima in previous workshops and consultations done as part of the Colima Resilience Strategy. The gathering of spatial and numeric data to assess the proposed projects and policies, was done with the assistance of the Resilience Office and staff from the municipality. Workshop with key stakeholders to validate the list of interventions and the indicators to assess them. Calculation of preliminary and final results. Results discussion workshop with municipal authorities and private sector representatives. Urban and environmental policy analysis, writing reports and dissemination workshops.

Table 10 Urban Growth Scenarios Palestine

Urban Growth Scenarios Palestine	
Assignment name: Urban Growth Scenarios Palestine: Integrated Cities and Urban Development Project (ICUD)	Approx. value of the contract (in current US\$): \$486,956
Country: Palestine Location within the country: Hebron, Ramallah, Bethlehem, Nablus and Gaza	Duration of assignment (months): 12
Name of Client: Municipal Development and Lending Fund of Palestine (MDLF), funded by the World Bank	Total No. of staff-months of the assignment: 100
Contact Person, Title/Designation, Tel. No./Address: Shuruq A. Jaber, Technical Supervisor, Ministry of Local Government, palshuruq@yahoo.com	

<p>Start date (month/year): March 2018 Completion date (month/year): April 2019</p>	<p>No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 100</p>
<p>Name of associated Consultants, if any: Sole Consultant</p>	<p>Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed:</p> <ul style="list-style-type: none"> • Guillermo Velasco, Team leader • Carmen Valdez, Urban policy expert • Daniela Evia, participatory processes expert
<p>Description of Project:</p> <p>The team worked in the urban areas of Hebron, Ramallah-Al Bireh, Bethlehem, Nablus and Gaza, to help national and local officials analyze policies, urban plans and interventions to promote sustainable development for the region. Several scenarios were modelled to analyze different outcomes. The scenarios assessed different urban public policies in terms of housing availability and affordability, water efficiency, energy consumption, costs-revenues balance and greenhouse gas emissions, thus estimating environmental, social, and economic indicators for each urban growth path option. The project included the creation of urban planning tools and capacity building in each municipality.</p>	
<p>Description of actual services provided by your staff within the assignment:</p> <p>The services include methodology design, coordination of data gathering and mining to obtain the information needed for the project, data analysis, indicators design and construction, policy analysis and evaluation, scenarios conformation and assessment, local engagement and capacity building activities, information design, and results dissemination. All services are carried out entirely by the staff of CAPSUS with the support of the ICUD Team.</p>	

Table 11 Sustainable Local Development Guide

Sustainable Local Development Guide	
Assignment name: Sustainable Local Development Guide	Approx. value of the contract (in current US\$): \$100,000.00
Country: Mexico Location within country: National	Duration of assignment (months): 6
Name of client: Mexican Ministry of Social Development and the World Bank Group	Total No. of staff-months of the assignment: 9
Contact Person, Title/Designation, Tel. No./Address: Almudena Suárez, General Adjunct Director Ministry of Social Affairs, Mexican National Government almudenasuarez@gmail.com	
Start date (month/year): April 2011 Completion date (month/year): October 2011	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 9
Name of associated Consultants, if any: Jorge Urdapilleta, Social Expert	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: Guillermo Velasco, Project Coordinator
Description of Project: CAPSUS was hired by the Mexican Ministry of Social Development and the World Bank Group to draft a guide that would help local authorities to develop their communities sustainably. The Guide includes practical advice and tools that can help local communities and governments of rural communities foster sustainable development with scarce resources.	
Description of actual services provided by your staff within the assignment: The guide includes sections on climate change, water, air, energy, soils, biodiversity and waste; it addresses the importance of social participation, proposes a methodology to analyze specific projects to increase their sustainability, and provides an analysis of experiences in Mexico and other nations; it also includes a checklist to assess local sustainability and a series of eco-technologies applicable to marginalized localities.	

Table 12 Urban Planning Tool for the reduction of emission of air pollutants

Urban Planning Tool for the reduction of emission of air pollutants

Assignment name: Urban Planning Tool for the reduction of emission of air pollutants	Approx. value of the contract (in current US\$): \$15,000.00
Country: Mexico Location within the country: Mexico City	Duration of assignment (months): 3
Name of client: Mexico City's Ministry of the Environment (SEDEMA)	Total No. of staff-months of the assignment: 2
Contact Person, Title/Designation, Tel. No./Address: Oscar Vásquez, Climate Change Director, Mexico City's Ministry of the Environment ovazquez@cdmx.gob.mx	
Start date (month/year): September 2018 Completion date (month/year): December 2018	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 2
Name of associated Consultants, if any: None	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: Guillermo Velasco - Project Coordinator Tania Guerrero - Urban analysis Expert Daniela Evia, participatory processes expert
Description of Project: The objective of the project was to identify urban policies and investments that can mitigate the emission of air contaminants in Mexico City. To help the decision-making process, the work consisted in making a set of scenarios with a battery of environmental and economic indicators that could help the government of Mexico City decide on the best urban policies to promote in a manner to reach a Climate Smart Urban development.	
Description of actual services provided by your staff within the assignment: The services provided included the development of scenarios through a proprietary software tool that can forecast the environmental performance of a city and the associated economic and social indicators, depending on the Business-as-Usual Scenario, or different scenarios with diverse public policies and urban investments. In addition to doing the consultancy, the team developed the capacity of the local government team and installed tools that could be used to diagnose and analyze different policies and urban interventions. It should be noted that a year afterwards, the same tool was used by the Ministry of Transportation of Mexico City to define their strategies to minimize air pollutants in the metropolitan area.	

Table 13 Growth Model for Cities: Towards Compact Cities - Abidjan, Semarang and Denpasar

Growth Model for Cities: Towards Compact Cities - Abidjan, Semarang and Denpasar	
Assignment name: Growth Model for Cities: Towards Compact Cities - Abidjan, Semarang and Denpasar (and Palau as an extension)	Approx. value of the contract (in current US\$): \$297,870
Country: Indonesia and Côte d'Ivoire Location within the country: Semarang, Denpasar and Palau (Indonesia), Abidjan (Côte d'Ivoire)	Duration of assignment (months): 15 months (Indonesia), 8 months (Côte d'Ivoire)
Name of Client: World Bank Group	Total No. of staff-months of the assignment: 43
Contact Person, Title/Designation, Tel. No./Address: Gayatri Singh, Senior Urban Development Specialist, gsingh9@worldbank.org	
Start date (month/year): September 2017 Completion date (month/year): December 2018	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 43
Name of associated Consultants, if any: Bureau National d'Etudes Techniques et de Développement (BNETD) - National Office of Technical and Development Studies.	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: <ul style="list-style-type: none"> • Guillermo Velasco, Project Coordinator • Ricardo Ochoa, Team leader for Indonesia • Tania Guerrero, Team leader for Côte d'Ivoire • Daniela Evia, participatory processes expert
Description of Project: Urban growth scenarios were developed to visualize the impacts of different public policies on the environmental, social and economic dimensions. The scenarios assessed different urban public policies in terms of housing availability and affordability, water efficiency, energy consumption, costs-revenues balance and greenhouse gasses emissions, thus estimating environmental, social, and economic indicators for each urban growth path option. Additionally, the benefits and drawbacks of different combinations of public policies, projects and conditions were assessed to reach a consensus about the best development path. Stakeholders were instructed on the use of the developed urban planning tools through several capacity-building workshops. Areas for population settlement relocation were proposed for Palau after the earthquake of 2018.	

Description of actual services provided by your staff within the assignment:

The services include methodology design, coordination of data gathering and mining to obtain the information needed for the project, data analysis, indicators design and construction, policy analysis and evaluation, scenarios conformation and assessment, local engagement and capacity building activities, information design, and results dissemination. All services are carried out entirely by the staff of CAPSUS with the support of the World Bank team.

Table 14 Urban Growth Model and Sustainable Urban Expansion for the Hashemite Kingdom of Jordan

Urban Growth Model and Sustainable Urban Expansion for the Hashemite Kingdom of Jordan	
Assignment name: Urban Growth Model and Sustainable Urban Expansion for the Hashemite Kingdom of Jordan	Approx. value of the contract (in current US\$): \$105,000
Country: Jordan Location within the country: Amman, Zarqa, Russeifa, Irbid, Mafrag	Duration of assignment (months): 8
Name of Client: World Bank Group	Total No. of staff-months of the assignment: 60
Contact Person, Title/Designation, Tel. No./Address: Ellen Hamilton, Lead Urban Specialist, ehamilton@worldbank.org	
Start date (month/year): April 2017 Completion date (month/year): December 2017	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 60
Name of associated Consultants, if any: Joe Distefano, Calthorpe Analytics	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: <ul style="list-style-type: none"> • Ricardo Ochoa, Project Coordinator • Guillermo Velasco, Environmental Specialist • Carmen Valdez, Team Leader • Tania Guerrero, Senior Urban Specialist • Daniela Evia, participatory processes expert

Description of Project:

The project includes the generation of different urban growth scenarios for four similar cities in Jordan. The scenarios will assess different urban public policies in terms of housing availability and affordability, water efficiency, energy consumption, costs-revenues balance and greenhouse gas emissions, thus estimating environmental, social, and economic indicators for each urban growth path option. A series of workshops, to be held in each city, will be crucial to ground the policies and scenarios according to relevant urban concerns, and to enforce local engagement and capacity building.

Description of actual services provided by your staff within the assignment:

The services included methodology design, coordination of data gathering and mining to obtain the information needed for the project, data analysis, indicators design and construction, policy analysis and evaluation, scenarios conformation and assessment, local engagement and capacity building activities, information design, and results dissemination. All services are carried out entirely by the staff of CAPSUS with the support of a local geographer hired directly by the World Bank to help collect the data required by CAPSUS.

Table 15 Regional Guidebook for Climate Action Planning in Mexico

Regional Guidebook for Climate Action Planning in Mexico	
Assignment name: Regional Guidebook for Climate Action Planning in Mexico	Approx. value of the contract (in current US\$): 14,000.00
Country: Mexico Location within country: National	Duration of assignment (months): 7
Name of Client: Joint Research Centre, European Commission	Total No. of staff-months of the assignment: 2
Contact Person, Title/Designation, Tel. No./Address: Silvia Rivas, Project Officer Joint Research Centre, European Commission silvia.rivas-calvete@ec.europa.eu	
Start date (month/year): July 2020 Completion date (month/year): February 2021	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 2

Name of associated Consultants, if any: None	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed (e.g. Project Director/Coordinator, Team Leader): Guillermo Velasco - Climate Change Expert
Description of Project: The Project consisted of adapting the European Guide for Climate Action to the Mexican context. The work required a high level of expertise in Climate Change Planning in Mexico and a deep understanding of the context of the Mexican municipalities. Using the experience from the experts and the European guidelines, a Regional Guidebook was created with references to local tools, databases, and other relevant resources. The Guidebook will be used by the Global Covenant of Mayors to promote Technically Solid, Feasible and Ambitious Climate Action Planning in Mexican cities.	
Description of actual services provided by your staff within the assignment: The services included preparing a guidebook with resources and tools relevant to local climate action planning in Mexican municipalities. This included the adaptation of existing guidance from the European Commission for mitigation (GHG inventories, target setting, action planning) and adaptation (risk and vulnerability assessment, goal setting, action planning). The guidebook also considers the local legal and governance framework and the particularities of municipalities in Mexico, to provide best practices, case study examples and other relevant information for local governments to develop their local action plans.	

Table 16 Metropolitan Urban Planning Tool Mexico

Metropolitan Urban Planning Tool Mexico	
Assignment name: Metropolitan Urban Planning Tool (version 2) and Application to Mexican Cities	Approx. value of the contract (in current US\$): \$210,000
Country: Mexico Location within the country: 37 metropolitan areas within the country	Duration of assignment (months): 10
Name of Client: World Bank Mexico	Total No. of staff-months of the assignment: 38
Contact Person, Title/Designation, Tel. No./Address: Horacio Terraza, htterraza@worldbankgroup.org	

<p>Start date (month/year): August 2017 Completion date (month/year): June 2018</p>	<p>No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 38</p>
<p>Name of associated Consultants, if any: Sole Consultant</p>	<p>Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed:</p> <ul style="list-style-type: none"> • Guillermo Velasco, Project Coordinator. • Ricardo Ochoa, Team Leader • Carmen Valdez, Scenario modelling specialist • Tania Guerrero, Urban specialist
<p>Description of Project: Update an I.T. tool that generates urban growth scenarios and evaluates environmental, social, and economic indicators that urban public policies might cause. Conduct general simulations for 37 cities and in-depth analysis for 2 cities. Training of local authorities to use, maintain and update the tool.</p>	
<p>Description of actual services provided by your staff within the assignment: The services included methodology design, coordination of data gathering and mining to obtain the information needed for the project, data analysis, indicators design and construction, policy analysis and evaluation, scenarios development and assessment, local engagement and capacity building activities, information design, and results dissemination. All services are carried out entirely by the staff of CAPSUS with the support of the World Bank team.</p>	

Table 17 Technical Guidance in the Development of Climate Action Plans

Technical Guidance in the Development of Climate Action Plans	
Assignment name: Technical Guidance in the Development of Climate Action Plans	Approx. value of the contract (in current US\$): \$120,000.00
Country: Mexico Location within the country: Bahía de Banderas, Ciudad Madero, Culiacán, Juárez and Zapopan	Duration of assignment (months): 16
Name of Client: The International Urban Cooperation (IUC) programme and the European Commission	Total No. of staff-months of the assignment: 20
Contact Person, Title/Designation, Tel. No./Address: Eugenia García, Country Coordinator International Urban Cooperation - North America, European Union egarcia@iuc-na.eu	
Start date (month/year): August 2019 Completion date (month/year): November 2020	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 20
Name of associated Consultants, if any: Global Covenant of Mayors for Climate and Energy (GCOM), and the International Urban Cooperation (IUC) programme.	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed (e.g. Project Director/Coordinator, Team Leader): Guillermo Velasco -- Team Coordinator Daniela Evia -- Capacity Building Expert Sebastian Reyes -- Climate Change Expert
Description of Project: Five Mexican cities were trained in the preparation of their local Climate Action Plans. The process included the compilation of a greenhouse gas emissions inventory, climate risks and vulnerabilities analysis, the development of climate mitigation and resilience measures and strategies, their prioritization and communication and community interaction strategies. The project was carried out in collaboration with the Global Covenant of Mayors for Climate and Energy (GCoM), and the International Urban Cooperation Program (IUC).	
Description of actual services provided by your staff within the assignment: The services included the compilation of a GHG emission inventory, a climate risks and vulnerabilities assessment, the development of climate mitigation and adaptation strategies and	

actions, the evaluation and prioritization of the proposed measures, and communication and stakeholder engagement strategies.

Table 18 Mexico City's Resilience Strategy

Mexico City's Resilience Strategy	
Assignment name: Mexico City's Resilience Strategy	Approx. value of the contract (in current US\$): 60,000
Country: Mexico Location within the country: Mexico City	Duration of assignment (months): 3
Name of Client: Ministry of the Environment, Mexico City Government	Total No. of staff-months of the assignment: 10
Contact Person, Title/Designation, Tel. No./Address: Tanya Muller Minister of the Environment, Mexico City	Plaza de la Constitución No. 1, 3er Piso, Col. Centro, Del. Cuauhtémoc, C.P. 06000 53458176 ext. 109
Start date (month/year): January 2014 Completion date (month/year): April 2014	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 1
Name of associated Consultants, if any: A911 TOA	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: Guillermo Velasco, Project Review and Environmental Lead.
Description of Project: Work on the conceptual development of the resilience strategy of Mexico City was done, by defining the main vulnerabilities (environmental and social) and prioritizing actions. The work triggered the creation of the Office of Resiliency in Mexico City and the Resiliency Plan of the city. The project was done in association with A911 and the Environmental Operations Workshop (TOA).	
Description of actual services provided by your staff within the assignment: Review the drafts of the strategy, and provide advice regarding urban-environmental issues.	

Table 19 Climate Action Plan for Mexico City

Climate Action Plan for Mexico City	
Assignment name: Subcontractor in: Climate Action Plan for Mexico City	Approx. value of the contract (in current US\$): \$200,000.00
Country: Mexico Location within the country: Mexico City	Duration of assignment (months): 12
Name of client: Government of Mexico City	Total No. of staff-months of the assignment: 40
Contact Person, Title/Designation, Tel. No./Address: Oscar Vázquez, Climate Change Director, Mexico City's Ministry of the Environment ovazquez@cdmx.gob.mx	
Start date (month/year): September 2012 Completion date (month/year): October 2013	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 8
Name of associated Consultants, if any: The main contract was given to the Mario Molina Centre, another subcontractor was NotLand for the development of Information Technology Tools.	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: Guillermo Velasco - Project Coordinator
Description of Project: The City Strategy and the Climate Action Plan (2014-2020) for Mexico City's government were developed in this project. The two products started with the evaluation of the previous instruments and used this information as a base to design the Strategy and the Climate Action Plan. During this project, several consultations were conducted with experts, local groups, and public officials from different government units. The work included the calculation of mitigation potentials and associated uncertainties. The deliverables also included an online software program to monitor, report and track the Plan's activities. The project was done in collaboration with Notland and the Mario Molina Center.	
Description of actual services provided by your staff within the assignment: The staff coordinated the efforts of different consultants and experts and provided quality assurance of the methodologies, analysis and results.	

Table 20 Guides for the Evaluation and Design of Local Climate Action Plans

Guides for the Evaluation and Design of Local Climate Action Plans	
Assignment name: Subcontractor in: Guides for the Evaluation and Design of Local Climate Action Plans	Approx. value of the contract (in current US\$): \$50,000.00
Country: Mexico Location within the country: Mexico City	Duration of assignment (months): 4
Name of client: National Council of Science and Technology (CONACYT)	Total No. of staff-months of the assignment: 4
Contact Person, Title/Designation, Tel. No./Address: Oscar Vázquez Climate Change Director Mexico City's Ministry of the Environment ovazquez@cdmx.gob.mx	
Start date (month/year): October 2012 Completion date (month/year): February 2013	No. of professional staff-months provided by your consulting firm/organization or your sub consultants: 1
Name of associated Consultants, if any: Sofia Viguri	Name of senior professional staff of your consulting firm/organization involved and designation and/or functions performed: Guillermo Velasco - Climate Change Expert
Description of Project: Two separate guides were developed to help local governments (Municipalities and States) to (1) conduct a review of their Climate Action Plans and (2) design their Climate Action Plans. The methodology was piloted in Mexico City.	
Description of actual services provided by your staff within the assignment: The Expert co-authored the guide and provided quality assurance of the methodologies, analysis, and results.	

C. Approach to Scope of Work

A) Technical approach and methodology

CAPSUS general approach

Working closely with city governments

Working closely with local governments is key to ensuring an effective knowledge transfer and tailoring the recommended solutions to the contexts and interests of the cities. To do so, we follow a collaborative process along the whole project cycle. Our working sessions are organized and explained in a knowledge-exchange format, where local officials share knowledge about the status of the city, urban concerns and ideas to tackle them, and we share evidence about the status and forecast of the city, and knowledge about best practices for green, low carbon and climate resilient urban development.

Starting with problem identification, we understand the metropolitan area's needs, to propose innovative and effective solutions backed up by robust technical knowledge, which are the best fit for the local conditions. Then we analyze the results and communicate them to the local officials and key stakeholders.

A bottom-up approach is taken, working closely with municipal technical teams, and a top-down angle is done through interactions with high-level national, regional and local policymakers. This two-end approach ensures not only the effective implementation of the projects but also their impact on a bigger scale and the possibility of replication.

At CAPSUS we believe that a quick adaptation to local needs, culture and language is key to the successful implementation of our projects. This has allowed us to produce high-quality outputs and significant knowledge transfer in different environments, such as Latin America, the Middle East and Asia.

Dealing with scarce data environments

We understand that working in developing countries frequently requires additional efforts in dealing with scarce data. At CAPSUS we have implemented a range of solutions to overcome data gaps. Our priority is to gather data from local official sources. If data is available, we move it to the validation and calculation stages. Based on the characteristics of each dataset, we recommend a validation method, but the most frequent technique consists of selecting a representative sample of random observations and cross-validating them with known observations (satellite imagery, for example). If data is not available, we use remote sensing techniques (e.g. LANDSAT or Sentinel imagery processing with GE Engine), data proxies (e.g. nighttime lights and provincial GDP data to estimate economic clusters) or perform statistical estimates (e.g. Small Area Estimates).

Dealing with data uncertainties

We know that working in scarce data environments might lead to increased uncertainty. At the same time, local governments depend on the best possible data to support informed decisions. At CAPSUS we have implemented a range of solutions to ensure that the project outcomes are not affected by uncertainties. These solutions frequently include the implementation of structured sensitivity analysis or performing Monte Carlo Simulations.

Flexibility and resilience

Working in a rapidly changing world requires flexibility. At CAPSUS we believe that maintaining a highly organized team is the base for successful projects and that the team should remain flexible to adapt and overcome adverse conditions. The COVID-19 pandemic might be the best example of rapid changes that have challenged us. In early 2020, Indonesia entered a national lockdown. This happened days before field work in Semarang Municipality. It seemed that the project was going to be delayed for at least one year. However, our team coordinated with the World Bank staff and adapted the project and methodology to help the Municipality understand the socio-economic impacts of COVID-19 and accelerate recovery. With this change, we were able to effectively adapt our work to the local conditions, make the technical assistance relevant and useful for the city and finalize our project on time and with high-quality standards. More information about this work is available at [The World Bank Data Blog](#).

Technical Approach and Methodology

Background

Fort Lauderdale is a coastal city located in the state of Florida. Due to its location, the city faces ongoing climate challenges particularly sea-level rise that need to be addressed. To improve its overall performance towards a low-carbon and climate-resilient future, the city must develop a Roadmap to Net Zero Plan.

The city's current urban development trajectory may soon lead to various challenges, including increased pressure on resource management, unequal distribution of opportunities, and neglect of basic needs and services. The negative consequences of urban decay, climate change, and environmental degradation directly impact the well-being of the population, exacerbating social, economic, and environmental risks and vulnerabilities in Fort Lauderdale.

It is crucial to prioritize climatic vulnerability in urban planning. Given Fort Lauderdale's low elevations and proximity to water bodies, it is likely to face frequent disasters such as floods. Addressing these vulnerabilities requires implementing security mechanisms, developing robust plans, and coordinating measures to enhance resilience and prioritize preparedness strategies over recovery procedures. Key steps toward sustainable development include the

preservation of green areas, investment in sustainable infrastructure, climate-smart urban planning, and a strong commitment to reaching carbon neutrality.

To reach its goals, the city launched a successful program in 2010 with its first Sustainability Action Plan and greenhouse gas (GHG) emissions inventory with the goal of reducing 20% of the cities emissions by 2020. As of 2019 the city was successful in completing 66% of the actions listed in the Sustainability Action Plan.

The Roadmap to Net Zero Plan will provide a set of policies, projects and actions that the city must undertake to meet the goals set in Fort Lauderdale Comprehensive Plan and the City Commission to reach net zero emissions by 2050.

Objective

We understand that the objective of the project is to develop a Net Zero Plan for Fort Lauderdale to help prepare the city for the challenges that climate change will impose and make the city part of the solution by focusing on a low-carbon and resilient development path that aims to reach net-zero emissions by 2050.

The objective will be achieved through a deep assessment of the current policies and characteristics of Fort Lauderdale, coupled with policy and investment recommendations based on international best practices that are adjusted to the context of the city. The information obtained will be used to develop emission scenarios that will be compared to identify the environmental, social, and economic tradeoffs of each urban growth trajectory path. The exercise will facilitate city-level planning, policy, and investment choices. Knowledge sharing and capacity building will be central pillars for this project to foster appropriation and replication of the methodological approach.

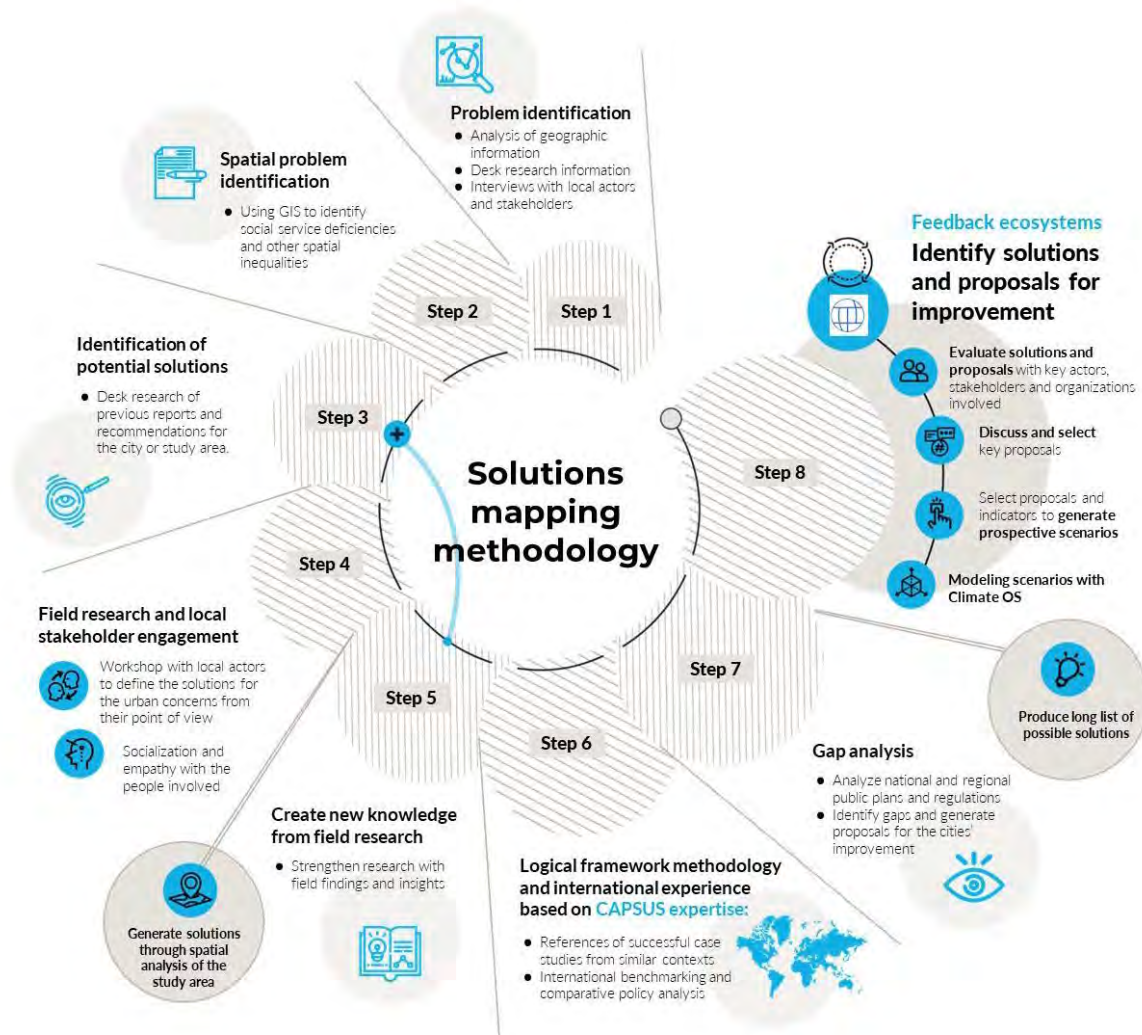
Project Concept

To achieve the project's goal, we propose to do an urban modeling exercise and assessment that will help define and compare two distinct scenarios (BAU and CAP) of the City. These scenarios will help form consensus around strategies and investments that could be integrated into Fort Lauderdale's Net-Zero Plan, and will help in the community discussions to portray the tradeoffs between no action and Climate Action.

The methodology involves the establishment of a baseline, a complete overview of the situation, including highlighted implementation inconsistencies, public policies, planned investments, functionality gaps, framework review, and recognition of improvement opportunities focusing on governance constraints for leveling-up efforts.

Once the team has analyzed the future trends of the city, the team will use the documents provided by the City, its own research, expert opinions, and its engagement with the public officials of the city to define the possible solutions that can be implemented in the city. Once these strategies are defined the team will measure its costs and benefits, and forecast the

future performance of the city to fine-tune the best policies and investments for Fort Lauderdale. This information will be used to develop the Net Zero Plan for the city.



Project methodology

Based on previous work developed by the team members on Climate Action Plans we propose a top-down-bottom-up approach. We will pursue a bottom-up approach through interactions with the community, local stakeholders and city officials, including virtual and in-person stakeholder engagement activities and communications, site visits, and the review of the city's plans and policies. This includes workshops to define a vision, identify urban concerns and possible solutions that will be part of the analysis, working closely with local stakeholders. The top-down approach involves assessing current GHG emissions, and

growth dynamics and trends of the city through the lens of sustainability and resilience, providing recommendations based on academic literature and international best practices, and using specialized tools to assess scenarios and prioritize investments and policies. This two-end approach will ensure the successful implementation of the project and its positive impact on Fort Lauderdale. The methodology will be based on national and international guides to develop Climate Action Plans, with the help of the Geographic Information Systems and the ClimateView tool, explained further down.

The project process will follow the following steps:

1. Data gathering. This step refers to the collection of research papers, plans, spatial and numerical data, reports and publications relevant for a deep assessment of the city of Fort Lauderdale. Regarding data, we will prioritize the gathering of official information provided by local and national authorities to increase the accuracy of the scenarios. However, if that is not available, there are alternative solutions that we can use: international sources of data such as WorldPop, Global Urban Footprint, Global Human Settlements Layer, Night lights radiance, OpenStreetMaps, among others, or we generate spatial data through remote sensing techniques (e.g. LANDSAT or Sentinel imagery processing with GE Engine) or statistical estimates (e.g. Small Area Estimates).

2. Assessment of planning instruments. We will compile the most recent relevant municipal policies, plans and strategies of Fort Lauderdale, including but not limited to:

- Fast Forward 2035 Vision Plan
- Press Play 2024 Strategic Plan
- Advance Fort Lauderdale 2040 Comprehensive Plan
- Net Zero greenhouse gas goals
- 2019 GHG inventory
- Neighbor surveys
- Public Works Master Plans
- Transportation Plans
- Urban Design Master Plans
- Design and Construction Manual
- Parks Master Plan
- SFRCC Regional Climate Action Plan 3.0

CAPSUS will analyze their spatial, environmental, climate, social and economic implications, their level of compliance and their alignment with a climate resilient and low carbon growth. During this step, CAPSUS will examine the existing data and technical studies related to the city's profile such as past and projected settlement patterns, economic development, poverty and inequity, and GHG emission footprints of relevant sectors such as energy, transport, waste and land use change. The assessment will also cover the progress of the Sustainability Action Plan and the ongoing and planned investments in low carbon and resilient growth.

The analysis will cover aspects such as compact and smart cities, low-carbon transport, transit-oriented-development, renewable energy generation, energy efficiency and climate risk management to identify lessons learned and implementation gaps.

3. Diagnostics. Capsus will conduct a revision of Fort Lauderdale’s most recent GHG Inventory to identify main emission sources and knowledge gaps. Once the GHG Inventory review is complete, CAPSUS will use the inventory and forecast data such as demographic, energy, and economic outlooks to produce a Future Emission Profile for the city. This forecast will be used to compare the Business as Usual (BAU) scenario to the Climate Action (CAP) scenario and identify the expected emission reductions that will be achieved in the future. In addition to the BAU scenario, CAPSUS will also model an emission reduction scenario to showcase possible emission reduction pathways. The emission reduction scenario will include target emission reductions for the years 2030, 2040, and 2050.

4. Engagement and Knowledge Exchange Activities. CAPSUS will design a strategy to engage a wide range of stakeholders, which includes the City Commission, the Sustainability Advisory Board, Council of Civic Associations, the Commission District and community stakeholders. This strategy will provide guidelines and tools for all the meetings and events to foster participation and commitment. The purpose is to build consensus and to gather ideas, concerns, and comments about the possible actions for mitigation and adaptation in the city. Participation from the local stakeholders is essential to promote a plan that is accepted, owned, and implemented. Each meeting and event will be planned according to its objective and audience. Therefore, the format, setting, and collateral materials to be shared will vary. These activities are of the foremost importance due to the required awareness and participation of all sectors in the community to ensure the success of implementation.

5. Recommendations. We will discuss the results of the urban scenarios with the city and select the best recommendations to steer the development of Fort Lauderdale towards a sustainable and climate-resilient path. We propose to carry out these discussions in an iterative manner along the process of modeling the scenarios. CAPSUS will use a multicriteria analysis to identify the investment projects or policies that should be a priority for Fort Lauderdale for low carbon and resilient growth. We propose the following criteria (these could be defined along the project): GHG emissions mitigation potential, reduction of exposure to climate risks, implementation costs, running costs, energy efficiency potential, accessibility to city services, and other expected benefits.

6. Developing Fort Lauderdale’s Net Zero Plan. Based on the scenarios modeled and the previous work, the community interactions and other research, CAPSUS will draft the Net Zero Plan and policy brief summarizing key findings. This plan will list investment options endorsed by stakeholders and evaluated through the Climate OS tool and methodology explained in the next section.

Climate View Methodology

As mentioned before, we propose to model the possible recommendations for mitigation GHG using the Climate View tool. Climate View is a platform where cities can build Living Climate Action Plans (LCAP), an example can be seen in the city of Cincinnati web page or following link: [Cincinnati Climate View](#). ALCAP will respond dynamically to the city's changing needs. Using Climate View it is possible to a) calculate decarbonisation scenarios based on policy constraints and socio-economic conditions b) monitor climate policies and c) communicate progress on climate policies. The results are supported by quantitative evidence, which makes it easier to understand their impacts. These policies can range from transport or infrastructure investment plans to land-use changes and housing policies.

The steps used by the tool to establish a GHG Emissions Baseline and performing scenario simulations to evaluate mitigation pathways are described below.

Scope definition

To establish clear goals the city must first decide which emissions will be accounted for in their climate planning. For example, a city might select to include only direct emissions or to also include a selection of indirect emissions. City's can also choose which emission sources will be accounted for.

Baseline city economic and physical activity

This set includes the identification of a city's physical and socio-economic profile to identify where GEI are emitted and why. It includes a collection of physical and socioeconomic activities that take place in the city to maintain the status quo. Examples of this include public and private transportation, heating and cooling buildings, etc. The combination of activity data (miles traveled, sqft heated), emission factors, and energy intensity (mpg, HVAC efficiency) result in a comprehensive emission inventory.

Transition Elements

Transition elements are all activities that are or will be shifted from a higher carbon state to a lower carbon state while still satisfying city needs. Transition elements are modular, this allows users to "activate" one or more different transition elements at a time. Because they are based on mathematical modeling they allow for the calculation of carbon abatement.

Primary & proxy indicators

Each transition element has a set of primary and proxy-indicators. Indicators are used to measure activity changes (i.e. the amount of CE cars shifted to electric cars). When primary indicators are not accessible proxy indicators are used to estimate activity changes. For example if the amount of miles driven by electric vehicles is unknown, registration numbers of electric vehicles can be used as a proxy to estimate activity shifts.

Behavioral drivers

Once transition elements and their respective indicators have been defined it is necessary to identify how to influence transition elements. Behavioral drivers are universal descriptions of parameters that influence decision making and include costs, quality and accessibility.

Actions

Behavioral drivers are then used to define specific goal oriented actions that can have an influence on the defined transition elements. This includes the implementation of policies, prohibitions, incentives and investments.

Work Plan

Task 0. Project Management

Objective of the task: Set project management standards and communication processes to implement the project efficiently.

Participants: CAPSUS

Description: This is a parallel task that we propose to guarantee the adequate flow of the project and to serve better the needs of Fort Lauderdale. This task considers a monthly project management progress meeting with the Fort Lauderdale's Implementing Unit to present progress, align visions and coordinate the actions that need to be carried out jointly. Agendas and minutes for all meetings will be prepared by CAPSUS.

Deliverable 1: Inception report with updated methodology, scope, project management approach and project timeline.

0.1. Set up

The project will start with an internal kick-off meeting between CAPSUS and the Fort Lauderdale Implementing Unit to deepen the understanding of the context and objective of the assignment and clarify the expected outcomes, communication protocols, milestones, important dates, and relationships with counterparts. This internal meeting will be followed by an official kick-off meeting with the Fort Lauderdale's Implementing Unit and other

relevant key stakeholders proposed by the city and CAPSUS. The objectives of this kick-off meeting will be to present the work plan, and define focal points, communication channels, and key stakeholders to be involved at the different stages of the project, including a local technical team. Based on the outcomes the consulting team will update the methodology that will be submitted in week 4.

Products: Meeting minute

0.2. Monthly meeting

We propose to hold monthly virtual meetings that allow, on the one hand, to update the level of progress of the consultancy, receive feedback on the activities carried out, and share specific needs required by the consulting team. After each monthly meeting, the team will share a minute resuming the most relevant information.

Products: Monthly meeting minutes

0.3. Closure and evaluation meeting.

During this session, the results of the consultancy will be presented.

Product: Executive PowerPoint file for the final presentation of the project

Task 1. Baseline diagnostic assessment

Objective of the task: This task aims to identify gaps that should be addressed to accelerate the city's low-carbon and resilient development.

Participants: CAPSUS, with the help of Fort Lauderdale's point of contact for information gathering.

Description:

Task 1 includes data gathering, policy review and bibliographic research. This task will help the team understand the characteristics of the city and the policy framework. During this time, the team will set the basis for the urban analysis and the development of the Net Zero Plan. Also, CAPSUS will perform a stakeholder analysis to develop a map that shows the level of interest and involvement from public and private sectors (this is part of Task 4 as explained below).

Deliverable 2: A brief scoping report and presentation (PowerPoint slides and other visual aids) describing the past trajectories of GHG emissions, summarizing the state of knowledge regarding the City's sustainability and resilience growth challenges and opportunities and lessons learned of past and ongoing efforts. This document will include the following information:

- Review of pertinent and existing reports, documents, and regulations
- Review of past GHG emission inventories
- SWOT analysis of the City's plans, policies, and procedures related to GHG emissions

- Modeling tool that provides both historical and projected levels of GHG emissions by sources through milestone years.

1.1. Data gathering. CAPSUS will collect the relevant data, including proxies such as population, population densities, urban footprints, the spatial distribution of climate risks, key social infrastructure (such as hospitals and schools), key economic areas (such as industrial zones), mobility (if data is available), road network, GHG emissions, green spaces, long term master plans, energy production and consumption, and employment. CAPSUS will use local primary or secondary information, international databases and additional data provided by Fort Lauderdale. If data is not available, CAPSUS can propose alternative data sources such as OSM, WorldPop, GHSL, GEE, IEA, IRENA, EDGAR or other sources. The missing information will be complemented through estimations using a diversity of information sources to complement the data needs.

CAPSUS will create a database that will be used for the modeling and analysis of information.

Product: Initial request for information and Database

1.2. Desktop review. CAPSUS will conduct a desktop review of relevant local policies, plans and strategies to highlight major policy gaps that should be addressed for the city to accelerate its low-carbon and resilient development.

Product: Virtual library

1.3. Analysis. The team will review the progress made in the City of Fort Lauderdale and examine existing data and technical studies related to the city's GHG profile of relevant sectors. The diagnosis will allow the identification of the main levers of lower-carbon urbanization and the key climate change adaptation needs to estimate the future impacts of various interventions on emissions and resilience, with the added estimation of benefits. Also, a stakeholders' map will be produced in this process. The team will identify the sectors and their representatives that should be included as part of the Net Zero Plan and its future implementation and engage directly with them to fact-check the diagnosis. The diagnosis will help the team evaluate long-term GHG mitigation potential to develop the Net Zero Plan toward a low-carbon city. The objective will be to recommend potential areas of improvement, investments and policies which could be used by the cities to build resilience, address climate vulnerabilities, and reduce their carbon footprint, energy consumption and GHG emissions, setting them on a greener and more resilient development path.

Product: Report

1.4 Initial Workshop CAPSUS will conduct an on-site initial Workshop. The purpose of the workshop will be to i) foster local stakeholder engagement, ii) present the Project, and iii)

perform the initial data gathering related to GHG emissions. The workshop will have a one-day duration. The workshop will consist in presenting the project's objectives, project plan, data needs, and expected outcomes to the local stakeholders under the context of the Paris Agreement and Country and State reduction goals. The attendees' list should ideally include representatives of the local government, technical staff of the related departments, members of the academia, and private institutions.

1.5. Workshop analysis. CAPSUS' local team will review the workshop summary and other relevant information regarding its development and (possibly) conduct some interviews (12 visits to Fort Lauderdale are budgeted), according to the stakeholders map to research and identify other major public, private and civil society stakeholder groups that should be mobilized to achieve net zero development by 2050.

Products: Stakeholder engagement plan

Task 2. Development of GHG Emission Scenarios

Objective of the task: This task aims to provide a solid diagnostic of the region's GHG current and future emission sources

Participants: CAPSUS team

Description: This is the main task of the project. During this phase, CAPSUS will calibrate the Climate OS tool for Fort Lauderdale, assess the base GHG inventory and develop the future scenarios. This stage will also include a stakeholder engagement workshop to review diagnostic results and lay the groundwork for the selection of climate actions.

Deliverable 3: Emission Inventory for Fort Lauderdale with projections, and alternative policy scenarios of urban transition. The inventory will also be set on the Climate OS platform.

A2.1 Calibration of Climate OS

CAPSUS will use the data gathered in the previous task and the analysis conducted to set up the Climate OS modeling tool. The model will be fed with local GHG emission inventory data such as emission factors, emissions activity data such as electricity and fuel use by sectors, total waste and wastewater generated, characteristics of solid waste management sites, regional land use change and transport fleet characteristics, and modal distribution of transportation, among others. Once the system is complete, the team will conduct a backcasting exercise to calibrate the model and finish the set up.

Products: Calibrated model

A2.2 Development of scenarios

Once calibrated, CAPSUS will use Climate View to forecast scenarios, a trend or business as usual (BAU) scenario, and one alternate policy scenario that will show possible low carbon paths for Fort Lauderdale. The Business as Usual (BAU) scenario will estimate Fort Lauderdale's performance by 2050 as measured by GHG emissions. This scenario will provide the quantified impact of the various dimensions of climate change mitigation, highlighting the challenges that would support the overall narrative on establishing a call for action. The BAU scenario will show the cost of inaction that the city may incur, based on ongoing trends and provide supporting analytics.

The Low-Carbon scenarios will include a projection to 2030, 2040 and 2050 with key policies and investments for transitioning to a low-carbon urban development path and will explore the GHG emissions implications of alternative urban growth pathways. The project will show the multiple benefits associated with low-carbon and resilience growth options. These transition elements, actions and investments will be defined through local stakeholder engagement, the results from the modeling and international best practices.

Product: Presentation with the results of the emission scenarios

A.2.3 Comparative assessments across scenarios

CAPSUS will conduct a participative workshop where the stakeholders will be given a presentation of the results of the current status of the city and the scenarios. The workshop will be used to identify the opinions of the stakeholders regarding the proposed policies and investments. During the workshop, the team will look to find a consensus, shared vision or majority opinion from the stakeholders. The result from the workshop will be reported to the relevant authorities of the city of Fort Lauderdale. The list of stakeholders which will be part of these assessments will be discussed and defined with the point of contact.

The main topics that will be covered are

- Current city emissions, highlighting main emission sources
- BAU emission scenario results
- Emission reduction scenario transition elements, indicators and assumptions
- Emission reduction scenario results

Product: Workshop report

A2.4 Policy and investment gaps and opportunities for Phnom Penh

Once modeling and comparative assessments are made, the team will identify and prioritize the definite policies and investments to be included in the Net Zero Plan. To prioritize the interventions, the team will use a multicriteria analysis based on the modeling results, the feasibility and the stakeholder's opinions, to identify the investment projects and policies that should be a priority for the institutions in Fort Lauderdale for low carbon and resilient growth. The criteria that could be followed (these will be defined with the city team) might

be GHG emissions mitigation potential, reduction of exposure to climate risks, implementation costs, running costs, energy efficiency potential, and accessibility to city services.

Once Actions have been selected ClimateOS will be used to quantify the Climate Actions Plan's estimated emission reductions, implementation costs, climate benefits, and define milestones for each action.

Product: List of policies and investments

Task 3. Drafting of Net Zero Plan

Objective of the task: Develop Fort Lauderdale's 2050 Net Zero Plan

Participants: CAPSUS team

Description: Based on the results of the modeling exercise done in the previous task, the assessments, results, and stakeholder engagement, during this task the team will draft the Net Zero Plan.

Deliverable 4: A Net Zero Plan for Fort Lauderdale City with its setup in the ClimateView platform.

Deliverable 5: A summary technical briefing describing the preliminary analytical outputs. A PowerPoint presentation describing the outputs of the project, such as the modeling results.

A3.1 Developing the Net Zero Plan

CAPSUS will use the modeling results and the final list of policies and investments to draft Fort Lauderdale's Net Zero Plan. The work of this activity will focus on implementing modifications directly related to the work carried out, such as the priority actions and the urban growth scenarios. The modifications of the plan will describe the priority policies and investments and a recommended timeline for their implementation (immediate, short, medium and long term). The package of new urban interventions will be evaluated as a group based on the indicators defined during the inception phase, such as total energy consumption, renewable energy consumption, GHG emissions reductions, improvements in environmental quality, urban risks, the capital investment needed, estimated running costs, alignment with other city strategic priorities, ability of the city to directly influence outcomes. Depending on the chosen interventions the modifications to the plan could include possible financing mechanisms.

CAPSUS will deliver a draft Net Zero Plan for review to Fort Lauderdale, after the comments are received, CAPSUS will adjust and deliver the final net zero plan.

Product B: Net Zero Plan

Task 4. Engage city commissions, City Council, and the community

Objective: Develop a knowledge exchange and participatory strategy to identify opportunities for community engagement with the City's diverse stakeholders.

Objective of the task: Gather comments and ideas for the Plan and for GHG emissions reduction actions, to reach consensus and promote commitment.

Participants: CAPSUS, city commissions, City Council and community representatives.

Description: As part of the previous tasks, the project requires the planning and implementation of a knowledge exchange and participation strategy.

Deliverables:

- **Deliverable 6. Stakeholders map.**
- **Deliverable 7. Knowledge exchange strategy (government).** A strategy to perform meetings and events to engage governmental entities.
- **Deliverable 8. Knowledge exchange strategy (community).** A strategy to perform meetings and events to engage community members.
- **Deliverable 9: Reports of the strategy implementation**

4.1 Diagnosis to define scope and stakeholders map

The team will define specific, measurable, achievable, realistic, and timely goals according to the particular requirements and conditions of the project. To achieve a successful strategy we develop a comprehensive diagnosis to identify Fort Lauderdale's context. This diagnosis will help map target stakeholders and differentiate their background, language, influence, and responsibilities related to the project. By defining and describing those elements, we foresee the opportunities, engagement and communication challenges and gaps, among others. The goal of our data collection is to register and identify quality evidence for further analysis. Data gathering considers a field and online surveys, questionnaires, interviews, workshops for first-hand data, and review of literature in official sites, research publications, and other published documents. This activity occurs at the same time as the Task 1 Baseline Diagnostic Assessment.

4.2 Develop a strategy according to the engagement and participatory objectives.

The team will design a strategy for the delivery of a participatory process and knowledge exchange activities. Part of this process is to define messages, activities, and materials based on the characteristics identified during the diagnosis. All these elements are in conformity with the expected outcomes and the objective of the Net Zero Plan development. The design will consider a variety of interactive and meaningful dynamics, for example, brainstorming, Metaplan, Design Thinking, participatory processes with future

vision settings, citizens consultations, and workshops for knowledge exchange and/or technology transfer. The team will also select suitable channels of communication which should be accessible and inclusive depending on the target audiences (language, knowledge, and role in the project). Activities will be planned to encourage the inclusion and participation of everyone, including women, the elderly, and minority groups. Also, the team will develop attractive, accessible, and editable materials that enhance the communication of target messages. Facilitators are a key element in participatory processes, they provide a significant and inclusive environment to guarantee the facilitation processes to achieve the project's goals.

The strategy design will depend on the results from the diagnosis and stakeholder mapping, and will be delivered in two different deliverables. The first strategy will be focused on governmental stakeholders, and the second will be focused on the community. We propose these two different strategies in order to establish the best communication and scope of the activities that can be done with each of the groups. Here are part of the activities to be included and a proposal of the format and possible methods:

	Type of event	Target audience(s)	Format	Objective(s)	Proposed Method(s)
1	Meeting	City council & City commissions	Online	Gather information for the diagnosis and stakeholder mapping	Stakeholder mapping template to be completed during the sessions
2	Meeting	City council	In-person	Gain input and feedback	Metaplan
3	Meeting	City council	In-person	Provide an draft update and gather feedback	6-3-5 Technique & Digital tool (CollabData) to comment on the draft
4	Gather information / consultation	Key stakeholders (based on the stakeholders map)	Online	Foster engagement and share information about the Action Plan purposes and	Digital surveys, and interviews

				benefits	
5	Workshop	Community stakeholders (based on the stakeholders map)	In-person	Foster engagement, presentation of the project and initial data gathering	Metaplan
6	Working session	Key stakeholders (defined with focal point)	In-person	Presentation of the results of the current status of the city and the scenarios	Presentation and feedback instrument
7	Workshop	Key stakeholders (defined with focal point)	Online	Presentation of the community engagement plan	Presentation and digital survey
8	Meeting	City council	In-person	Final presentation of the Net Zero Plan	Presentation and feedback instrument
9	Event	Community stakeholders	In-person	Final presentation of the Net Zero Plan	Working tables with a digital tool (CollabData) to gather information

This table presents some of the activities to be included in the strategy, please note this will be defined once the team has finalized the diagnosis and stakeholder mapping.

Community outreach will have a plan that will be socialized with key stakeholders, this will include additional workshops or events and a set of collateral materials that will be presented and validated during a meeting (row 7 of the table). The set of materials will include but are not limited to innovative brochures, publications, email blasts, web-based announcements, and social media posts.

To gather information from the community and stakeholders we propose the use of a digital tool developed by CAPSUS called CollabData, this tool allows to gather information digitally in surveys or questionnaires as a google form will do, but also it allows the user to

read a document, for example, the Net Zero Plan and make comments on specific paragraphs or pages of the document. These comments are categorized according to the criteria set by the task team, this could be a resourceful tool to prioritize comments and ideas from the community.

As seen in the table there are different methods proposed for these meetings, workshops, and working sessions. Here is a brief description of the Metaplan and 6-3-5 Technique:

Metaplan is recommended for generating opinions, and reaching a common understanding of a problem or possible solution. This technique reaches consensus by building a conversation around written answers or ideas about a problem or goal on whiteboards with sticky notes. The Metaplan activities include describing an objective for the session, encouraging ideas through triggering questions; writing, discussing, sorting and prioritizing ideas. The development requires previously prepared visual elements such as whiteboards, sticky notes or cards, images on virtual platforms. Through all the materials, activities and a set of facilitator skills a Metaplan could boost conclusions, time-efficiency and more involvement of a large number of participants in the group debate.

6-3-5 Technique enhances equal participation and fast generation of ideas in a short period of time, which prevents falling into group-think consensus. The activities consider writing from 1 to 3 ideas between 2 and 5 minutes, share that written idea to the nearest person, review other people's ideas and add to them more elements. After 3 to 6 rounds each person shares the ideas verbally or on a board. It is not necessary to organize or cluster ideas, but we draw conclusions of the shared ideas to close the session. The result is a moderate amount of ideas depending if there were 4 or up to 30 participants.

4.2 Implementation and tracking of the expected results. During the implementation of the strategy, all meetings and events will be well documented. Results will be analyzed and assessed based on the objective(s) of the project. The team will organize results and materials from the developed activities, their registries, and related documents. Qualitative, quantitative, and mixed methods will be applied to closely link data and present practical outcomes.

Task 5. Branding Strategy

Objective: Develop a branding strategy for the city's Net Zero Plan to adequately promote the Plan.

Objective of the task: Provide recommendations and branding material for maximizing public engagement with the Net Zero Plan.

Participants: CAPSUS, city commissions, City Council.

Description: To facilitate task 4 and communicate final results the project requires a coherent branding strategy which will be developed by the team.

Deliverables:

- **Deliverable 10. Branding Strategy Report.** A strategy detailing branding recommendations.
- **Deliverable 11. Branding Strategy Materials.** electronic copies of branding materials in multiple formats and variations, specifically tailored for future utilization in outreach materials.

5.1 Diagnosis to design branding strategy

The team will conduct research to develop an initial list of climate change related brands in the city. Once the list has been approved by the city, CAPSUS will analyze their practices and create a short presentation comparing findings with best practices. This will be used as input to develop the Net Zero Plan branding strategy.

5.2 Develop branding materials

Once the branding strategy has been approved, CAPSUS will develop specialized branding material throughout the consultations. These materials will include information developed for the stakeholder workshops and dissemination materials once the final Net Zero Plan is completed.

Schedule

		Months											
#	Deliverables	1	2	3	4	5	6	7	8	9	10	11	12
T-0	Project management	X	X	X	X	X	X	X	X	X	X	X	X
D-1	Deliverable 1: Updated methodology and scope	X											

T-1	Task 1. Baseline diagnostic assessment		X	X	X									
D-2	Deliverable 2: A brief scoping report and presentation (PowerPoint slides and other visual aids) describing the past trajectories of GHG emissions, summarizing the state of knowledge regarding the City's sustainability and resilience growth challenges and opportunities and lessons learned of past and ongoing efforts.				X									
T-2	Task 2. Development of GHG Emission Scenarios					X	X							
D-3	Deliverable 3: Emission Inventory for Fort Lauderdale with projections, and alternative policy scenarios of urban transition. The inventory will also be set on the Climate OS platform.						X							
T-3	Task 3. Drafting of Net Zero Plan							X	X	X	X	X	X	X
D-4	Deliverable 4: A Net Zero Plan for Fort Lauderdale City with its setup in the ClimateView platform.											x		
D-5	Deliverable 5: A summary technical briefing describing the preliminary analytical outputs. A PowerPoint presentation describing the outputs of the project, such as the modeling results.													X
T-4	Task 4. Engage City Commissions, City Council, and the Community	X	X	X	X	X	X	X	X	X	X	X	X	X
D-6	Deliverable 6. Stakeholders map	X												
D-7	Deliverable 7. Knowledge exchange strategy (government)		X											
D-8	Deliverable 8. Knowledge exchange strategy (Community)					X								
D-9	Deliverable 9: Reports of the strategy implementation													X
T-4	Task 5. Branding Strategy	X	X	X	X	X	X	X	X	X	X	X	X	X
D-10	Branding Strategy Report. A strategy detailing branding recommendations.	X												
D-11	Branding Strategy Materials, electronic copies of branding materials in multiple formats and variations, specifically tailored for future utilization in outreach materials.		X			X					X	X	X	

D. References

Air Quality Improvement Program of the Mexico City Metropolitan Area 2021-2030

Contact: Patricia Camacho Rodríguez, pcamachor@sedema.cdmx.gob.mx

Web link:

<http://www.aire.cdmx.gob.mx/descargas/publicaciones/flippingbook/proaire2021-2030/pdf/ProAireZMVM2021-2030-VersionCompleta.pdf>

Cincinnati's pathway to net zero

Contact: Oliver Kroner, oliver.kroner@cincinnati-oh.gov

Web link: <https://app.climateview.global/public/board/44652adb-5dfe-4f3c-8f75-8ce6564256fc>

Social Communication and Participation Strategy for Asunción, Paraguay

Contact: Márcia Casseb, marcias@iadb.org

Web link: <https://capsus.mx/en/expertise/dissemination-capacity/>

Technical Guidance in the Development of Climate Action Plans

Contact: Eugenia García, egarcia@iuc-na.eu

Web link:

Nayarit Action plan:

https://mycovenant.eumayors.eu/storage/web/mc_covenant/documents/8/9vI0ID8k-nEGhX-Esm_GB8l0dsEiK4T.pdf

Zapopan Action Plan:

<https://servicios.zapopan.gob.mx:8000/wwwportal/publicfiles/2021-08/PMACCCzapopanVL.pdf>

Chihuahua Action Plan: https://chihuahua.gob.mx/sites/default/atach2/anexo/anexo_09-2021_acuerdo_010-2021_plan_de_accion_climatica_municipio_de_juarez_2020-2030.pdf

E. Minority/Women (M/WBE) Participation

CAPSUS has developed its gender, diversity and inclusion policy to build a fair and inclusive business environment that protects our team and contributes to the construction of a fair society and business culture. The policy aims at contributing to fight against discrimination and unequal employment opportunities based on gender, sexual orientation, religion, belief, nationality, culture or various visible and non-visible factors that form a person's identity. By strengthening the role and participation of each member, we promote their visibility and growth as individuals in and outside the workplace.

CAPSUS acts on 3 pillars that promote social plurality within our team, clients, and affiliated partners.

Non-discrimination, harassment or violence. We are committed to detecting, preventing and eliminating any negative impact on human rights inside and outside of the organization. We believe in building a positive, fair and safe environment with zero tolerance for discrimination, segregation and violence (sexual, psychological, physical, emotional, among others).

Gender equality. We promote gender equality by empowering those whose opportunities are diminished by stereotypes that hold back their development. We seek a gender balance within our work team so that the voices, rights and needs of each group are represented.

Sexual diversity and inclusion. We are committed to an inclusive environment in all aspects that allows us to give visibility without prejudice to each group, facilitating free expression and demonstration in the workplace. We respect the free will, identity and individuality of each person, as well as their need for confidentiality and / or privacy.

Recruitment process

As part of our efforts to build a more diverse, fair and inclusive work environment we have developed a methodology to decrease biases that may influence the recruitment and hire process of new team members such as: gender, gender identity and expression, sexual orientation, age, cultural background, disability or visible features that are part of a person's identity.

Currently 56% of all team members are women and 60% of the leadership and decision-making positions are held by women.

Recognition

In 2023 CAPSUS was recognized for the second time as one of the "Best LGBTQ+ Workplaces 2023" in Mexico by the Human Rights Campaign Foundation.

F. Subcontractors

It is clarified that the company ClimateReview will be used as subcontractors.

About Climate View:

Climate View is a Swedish climate tech company, with offices in San Francisco, California, that accelerates cities' transition to zero carbon economies. We combine data and systemic analysis to enable cities to plan, manage and fund the transition to net zero. Together with pioneering cities across the globe, the interdisciplinary ClimateView team developed ClimateOS - the leading and most innovative platform for municipal climate action. This platform builds on a new theory of change for climate action, one being used by the Swedish Government and cities across Europe and North America to overcome the #1 barrier to climate action: connecting CO2 to \$\$.

ClimateView is formed by a multidisciplinary core team composed of more than 70 people and a group of strategic allies. Our team comprises software developers, engineers, economists, administrators, capacity-building experts, and project managers.

Climate OS: Climate OS tool is a decision-making platform for an entire city organization. It creates an easy-to-understand, data-driven climate action plan that attracts grants and funding. The ClimateOS platform supports cities, regions and national governments in all important stages of planning and implementing a climate action plan, including the prioritization of climate investment plans. For further information about Climate OS and its functionalities, please visit [Climate OS features](#)

Annex 1. Institutional CV

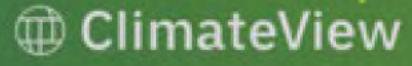
Please, find CAPSUS's institutional CV in this link.

CAPSUS Institutional CV - <https://drive.google.com/file/d/1SkZ6UkLZNS-gSLIV6krKHsi1Hb1AjT2V/view>

Climate View Brochure - https://drive.google.com/file/d/16_Z9HfC1ZppZUOXHKTbnV2PIz0hteCpq/view

CAPSUS Web-Site - <https://capsus.mx/en/>

Climate View Web-Site - <https://www.climateview.global/>



Financial Proposal

Net Zero Plan

Fort Lauderdale City

2023

2. Table of contents

- 3. Summary of Costs
- 4. Costs
- 5. Proposed schedule of payment

3. Summary of Costs

SUMMARY OF COSTS AND DELIVERABLES		
Deliverable	Time input in Person/Month	Total Amount
Deliverable 1. Updated methodology and scope	7.000	\$7,300.00
Deliverable 2: A brief scoping report	10.00	\$7,750.00
Deliverable 3: Development of GHG Emission Scenarios	29.00	\$24,200.00
Deliverable 4: A Net Zero Plan for Fort Lauderdale	33.00	\$24,000.00
Deliverable 5: A summary technical brief	6.00	\$5,000.00
Deliverable 6. Stakeholders map	5.50	\$4,500.00
Deliverable 7. Knowledge exchange strategy (government)	26.00	\$21,800.00
Deliverable 8. Knowledge exchange strategy (Community)	28.00	\$23,600.00
Deliverable 9: A strategy to perform meetings and events	10.00	\$8,000.00
Deliverable 10: Branding Strategy Report.	8.50	\$5,300.00
Deliverable 11: Branding Strategy Materials	17.00	\$9,000.00
Total Remuneration		\$140,450.00
Other expenditures: ClimateOS annual fee*		\$15,000.00
TOTAL SERVICES		\$140,450.00

Our cost doesn't include taxes. The final paid amount will include the required taxes. ClimatOS has an annual user fee, CAPSUS will cover the cost for the first year after the project is completed.

4. Costs

DETAIL OF COSTS AND DELIVERABLES			
Name Key Experts / Position	Person-day Remuneration Rate (USD)	Time Input in Person-day (USD)	Total amount (USD)
Deliverable 1: Updated methodology and scope			
<i>Guillermo Velasco</i>	1000.00	3.000	\$3,000.00
<i>Andrea González</i>	800.00	3.000	\$2,400.00
<i>Daniela Evia</i>	800.00	0.000	\$0.00
<i>Ricardo Ochoa</i>	1000.00	0.000	\$0.00
<i>Louise David</i>	1000.00	0.000	\$0.00
<i>Margaret Scott</i>	750.00	0.000	\$0.00
<i>Antares Velázquez</i>	400.00	1.000	\$400.00
<i>Juan Manuel Gómez</i>	750.00	2.000	\$1,500.00
Total D-1		7.000	\$7,300.00
Deliverable 2: A brief scoping report			
<i>Guillermo Velasco</i>	1000.00	1.00	\$1,000.00
<i>Andrea González</i>	800.00	2.00	\$1,600.00
<i>Daniela Evia</i>	800.00	0.00	\$0.00
<i>Ricardo Ochoa</i>	1000.00	1.00	\$1,000.00
<i>Louise David</i>	1000.00	0.00	\$0.00
<i>Margaret Scott</i>	750.00	5.00	\$3,750.00
<i>Antares Velázquez</i>	400.00	1.00	\$400.00
Total D-2		10.00	\$7,750.00
Deliverable 3: Development of GHG Emission Scenarios			
<i>Guillermo Velasco</i>	1000.00	3.00	\$3,000.00
<i>Andrea González</i>	800.00	8.00	\$6,400.00
<i>Daniela Evia</i>	800.00	0.00	\$0.00
<i>Ricardo Ochoa</i>	1000.00	8.00	\$8,000.00
<i>Louise David</i>	1000.00	0.00	\$0.00
<i>Margaret Scott</i>	750.00	8.00	\$6,000.00
<i>Antares Velázquez</i>	400.00	2.00	\$800.00
Total D-3		29.00	\$24,200.00
Deliverable 4: A Net Zero Plan for Fort Lauderdale			

<i>Guillermo Velasco</i>	1000.00	2.00	\$2,000.00
<i>Andrea González</i>	800.00	8.00	\$6,400.00
<i>Daniela Evia</i>	800.00	0.00	\$0.00
<i>Ricardo Ochoa</i>	1000.00	6.00	\$6,000.00
<i>Louise David</i>	1000.00	0.00	\$0.00
<i>Margaret Scott</i>	750.00	8.00	\$6,000.00
<i>Antares Velázquez</i>	400.00	9.00	\$3,600.00
Total D-4		33.00	\$24,000.00
Deliverable 5: A summary technical brief			
<i>Guillermo Velasco</i>	1000.00	1.00	\$1,000.00
<i>Andrea González</i>	800.00	2.00	\$1,600.00
<i>Daniela Evia</i>	800.00	0.00	\$0.00
<i>Ricardo Ochoa</i>	1000.00	2.00	\$2,000.00
<i>Louise David</i>	1000.00	0.00	\$0.00
<i>Margaret Scott</i>	750.00	0.00	\$0.00
<i>Antares Velázquez</i>	400.00	1.00	\$400.00
Total D-5		6.00	\$5,000.00
Deliverable 6. Stakeholders map			
<i>Guillermo Velasco</i>	1000.00	1.00	\$1,000.00
<i>Andrea González</i>	800.00	0.00	\$0.00
<i>Daniela Evia</i>	800.00	2.00	\$1,600.00
<i>Ricardo Ochoa</i>	1000.00	0.00	\$0.00
<i>Louise David</i>	1000.00	1.50	\$1,500.00
<i>Margaret Scott</i>	750.00	0.00	\$0.00
<i>Antares Velázquez</i>	400.00	1.00	\$400.00
Total D-6		5.50	\$4,500.00
Deliverable 7. Knowledge exchange strategy (government)			
<i>Guillermo Velasco</i>	1000.00	4.00	\$4,000.00
<i>Andrea González</i>	800.00	0.00	\$0.00
<i>Daniela Evia</i>	800.00	12.00	\$9,600.00
<i>Ricardo Ochoa</i>	1000.00	0.00	\$0.00
<i>Louise David</i>	1000.00	7.00	\$7,000.00
<i>Margaret Scott</i>	750.00	0.00	\$0.00
<i>Antares Velázquez</i>	400.00	3.00	\$1,200.00
Total D-7		26.00	\$21,800.00
Deliverable 8. Knowledge exchange strategy (Community)			

<i>Guillermo Velasco</i>	1000.00	4.00	\$4,000.00
<i>Andrea González</i>	800.00	0.00	\$0.00
<i>Daniela Evia</i>	800.00	16.00	\$12,800.00
<i>Ricardo Ochoa</i>	1000.00	0.00	\$0.00
<i>Louise David</i>	1000.00	6.00	\$6,000.00
<i>Margaret Scott</i>	750.00	0.00	\$0.00
<i>Antares Velázquez</i>	400.00	2.00	\$800.00
Total D-8		28.00	\$23,600.00
Deliverable 9: A strategy to perform meetings and events			
<i>Guillermo Velasco</i>	1000.00	2.00	\$2,000.00
<i>Andrea González</i>	800.00	0.00	\$0.00
<i>Daniela Evia</i>	800.00	4.00	\$3,200.00
<i>Ricardo Ochoa</i>	1000.00	0.00	\$0.00
<i>Louise David</i>	1000.00	2.00	\$2,000.00
<i>Margaret Scott</i>	750.00	0.00	\$0.00
<i>Antares Velázquez</i>	400.00	2.00	\$800.00
Total D-9		10.00	\$8,000.00
Deliverable 10: Branding Strategy Report.			
<i>Guillermo Velasco</i>	1000.00	0.5	\$500.00
<i>Andrea González</i>	800.00	2	\$1,600.00
<i>Daniela Evia</i>	800.00	2	\$1,600.00
<i>Antares Velázquez</i>	400.00	4	\$1,600.00
Total D-10		8.50	\$5,300.00
Deliverable 11: Branding Strategy Materials			
<i>Guillermo Velasco</i>	1000.00	1	\$1,000.00
<i>Andrea González</i>	800.00	2	\$1,600.00
<i>Daniela Evia</i>	800.00	2	\$1,600.00
<i>Antares Velázquez</i>	400.00	12	\$4,800.00
Total D-11		17.00	\$9,000.00
<i>Climate OS*</i>	1	15000	\$15,000.00
TOTAL COSTS			\$140,450.00

5. Proposed schedule of payment

We propose 2 different schedules for payments:

- Per deliverables
- Monthly

We are open to discuss any of the two schedule options presented.

Our costs doesn't include taxes. The final paid amount will include the required taxes.

Per deliverable

Description of Deliverable	Month	Contract Currency (USD)
Deliverable 1. Updated methodology and scope	1	\$7,300.00
Deliverable 2: A brief scoping report	4	\$7,750.00
Deliverable 3: Development of GHG Emission Scenarios	6	\$24,200.00
Deliverable 4: A Net Zero Plan for Fort Lauderdale	10	\$24,000.00
Deliverable 5: A summary technical brief	12	\$5,000.00
Deliverable 6. Stakeholders map	1	\$4,500.00
Deliverable 7. Knowledge exchange strategy (government)	2	\$21,800.00
Deliverable 8. Knowledge exchange strategy (Community)	5	\$23,600.00
Deliverable 9: A strategy to perform meetings and events	12	\$8,000.00
Deliverable 10: Branding Strategy Report.	2	\$5,300.00
Deliverable 11: Branding Strategy Materials	12	\$9,000.00
TOTAL before taxes		\$140,450.00

Per month

Month of payment	Amount (USD)
1	\$11,704.17
2	\$11,704.17
3	\$11,704.17
4	\$11,704.17
5	\$11,704.17
6	\$11,704.17
7	\$11,704.17
8	\$11,704.17
9	\$11,704.17
10	\$11,704.17
11	\$11,704.17
12	\$11,704.17
Total before tax	\$140,450.00



NON-COLLUSION STATEMENT

By signing this offer, the vendor/contractor certifies that this offer is made independently and *free* from collusion. Vendor shall disclose below any City of Fort Lauderdale, FL officer or employee, or any relative of any such officer or employee who is an officer or director of, or has a material interest in, the vendor's business, who is in a position to influence this procurement.

Any City of Fort Lauderdale, FL officer or employee who has any input into the writing of specifications or requirements, solicitation of offers, decision to award, evaluation of offers, or any other activity pertinent to this procurement is presumed, for purposes hereof, to be in a position to influence this procurement.

For purposes hereof, a person has a material interest if they directly or indirectly own more than 5 percent of the total assets or capital stock of any business entity, or if they otherwise stand to personally gain if the contract is awarded to this vendor.

In accordance with City of Fort Lauderdale, FL Policy and Standards Manual, 6.10.8.3,

3.3. City employees may not contract with the City through any corporation or business entity in which they or their immediate family members hold a controlling financial interest (e.g., ownership of five (5) percent or more).

3.4. Immediate family members (spouse, parents, and children) are also prohibited from contracting with the City subject to the same general rules.

Failure of a vendor to disclose any relationship described herein shall be reason for debarment in accordance with the provisions of the City Procurement Code.

NAME

RELATIONSHIPS

_____	_____
_____	_____
_____	_____
_____	_____

In the event the vendor does not indicate any names, the City shall interpret this to mean that the vendor has indicated that no such relationships exist.

Authorized Signature

Guillermo Velasco

Name (Printed)

General Manager

Title

06/12/2023

Date



**CONTRACTOR'S CERTIFICATE OF COMPLIANCE WITH
NON-DISCRIMINATION PROVISIONS OF THE CONTRACT**

The completed and signed form should be returned with the Contractor's submittal. If not provided with submittal, the Contractor must submit within three business days of City's request. Contractor may be deemed non-responsive for failure to fully comply within stated timeframes.

Pursuant to City Ordinance Sec. 2-17(a)(i)(ii), bidders must certify compliance with the Non-Discrimination provision of the ordinance.

- A. Contractors doing business with the City shall not discriminate against their employees based on the employee's race, color, religion, gender (including identity or expression), marital status, sexual orientation, national origin, age, disability, or any other protected classification as defined by applicable law.

Contracts. Every Contract exceeding \$100,000, or otherwise exempt from this section shall contain language that obligates the Contractor to comply with the applicable provisions of this section.

The Contract shall include provisions for the following:

- (i) The Contractor certifies and represents that it will comply with this section during the entire term of the contract.
- (ii) The failure of the Contractor to comply with this section shall be deemed to be a material breach of the contract, entitling the City to pursue any remedy stated below or any remedy provided under applicable law.

A handwritten signature in black ink, appearing to be 'G Velasco', enclosed within a hand-drawn oval.

Authorized Signature

Guillermo Velasco

Print Name and Title

06/12/2023

Date



CONTRACT PAYMENT METHOD

The City of Fort Lauderdale has implemented a Procurement Card (P-Card) program which changes how payments are remitted to its vendors. The City has transitioned from traditional paper checks to credit card payments via MasterCard or Visa as part of this program.

This allows you as a vendor of the City of Fort Lauderdale to receive your payments fast and safely. No more waiting for checks to be printed and mailed.

In accordance with the contract, payments on this contract will be made utilizing the City’s P-Card (MasterCard or Visa). Accordingly, bidders must presently have the ability to accept the credit card or take whatever steps necessary to implement acceptance of a card before the start of the contract term, or contract award by the City.

All costs associated with the Contractor’s participation in this purchasing program shall be borne by the Contractor. The City reserves the right to revise this program as necessary.

By signing below, you agree with these terms.

Please indicate which credit card payment you prefer:

___ MasterCard 

___ Visa

Capsus LLC

Company Name

Guillermo Velasco

Name (Printed)

Signature

General Manager

Title

06/12/2023

Date



LOCAL BUSINESS PREFERENCE

Section 2-199.2, Code of Ordinances of the City of Fort Lauderdale, (Ordinance No. C-12-04), provides for a local business preference.

In order to be considered for a local business preference, a bidder must include the Local Business Preference Certification Statement of this ITB, as applicable to the local business preference class claimed **at the time of bid submittal**.

Upon formal request of the City, based on the application of a Local Business Preference the Bidder shall, within ten (10) calendar days, submit the following documentation to the Local Business Preference Class claimed:

- A) Copy of City of Fort Lauderdale current year business tax receipt, **or** Broward County current year business tax receipt, **and**
- B) List of the names of all employees of the bidder and evidence of employees' residence within the geographic bounds of the City of Fort Lauderdale or Broward County, as the case may be, such as current Florida driver license, residential utility bill (water, electric, telephone, cable television), or other type of similar documentation acceptable to the City.

Failure to comply at time of bid submittal shall result in the bidder being found ineligible for the local business preference.

THE COMPLETE LOCAL BUSINESS PREFERENCE ORDINANCE MAY BE FOUND ON THE CITY'S WEB SITE AT THE FOLLOWING LINK:

https://library.municode.com/fl/fort_lauderdale/codes/code_of_ordinances?nodeId=COOR_CH2_AD_ARTVFI_DIV2PR_S2-186LOBUPR

Definitions: The term "Business" shall mean a person, firm, corporation or other business entity which is duly licensed and authorized to engage in a particular work in the State of Florida. Business shall be broken down into four (4) types of classes:

1. Class A Business – shall mean any Business that has established and agrees to maintain a permanent place of business located in a non-residential zone and staffed with full-time employees within the limits of the City **and** shall maintain a staffing level of the prime contractor for the proposed work of at least fifty percent (50%) who are residents of the City.
2. Class B Business - shall mean any Business that has established and agrees to maintain a permanent place of business located in a non-residential zone and staffed with full-time employees within the limits of the City **or** shall maintain a staffing level of the prime contractor for the proposed work of at least fifty percent (50%) who are residents of the City.
3. Class C Business - shall mean any Business that has established and agrees to maintain a permanent place of business located in a non-residential zone **and** staffed with full-time employees within the limits of Broward County.
4. Class D Business – shall mean any Business that does not qualify as either a Class A, Class B, or Class C business.



LOCAL BUSINESS PREFERENCE CERTIFICATION STATEMENT

The Business identified below certifies that it qualifies for the local business price preference classification as indicated herein, and further certifies and agrees that it will re-affirm its local preference classification annually no later than thirty (30) calendar days prior to the anniversary of the date of a contract awarded pursuant to this ITB. Violation of the foregoing provision may result in contract termination.

(1) is a Class A Business as defined in City of Fort Lauderdale Ordinance No. C-17-26, Sec.2-186. A copy of the City of Fort Lauderdale current year Business Tax Receipt and a complete list of full-time employees and evidence of their addresses shall be provided within 10 calendar days of a formal request by the City.

Business Name

(2) is a Class B Business as defined in the City of Fort Lauderdale Ordinance No. C-17-26, Sec.2-186. A copy of the Business Tax Receipt or a complete list of full-time employees and evidence of their addresses shall be provided within 10 calendar days of a formal request by the City.

Business Name

(3) is a Class C Business as defined in the City of Fort Lauderdale Ordinance No. C-17-26, Sec.2-186. A copy of the Broward County Business Tax Receipt shall be provided within 10 calendar days of a formal request by the City.

Business Name

(4) requests a Conditional Class A classification as defined in the City of Fort Lauderdale Ordinance No. C-17-26, Sec.2-186. Written certification of intent shall be provided within 10 calendar days of a formal request by the City.

Business Name

(5) requests a Conditional Class B classification as defined in the City of Fort Lauderdale Ordinance No. C-17-26, Sec.2-186. Written certification of intent shall be provided within 10 calendar days of a formal request by the City.

Business Name

(6) is considered a Class D Business as defined in the City of Fort Lauderdale Ordinance No. C-17-26, Sec.2-186 and does not qualify for Local Preference consideration.

CAPSUS LLC

Business Name

BIDDER'S COMPANY: CAPSUS LLC

AUTHORIZED COMPANY PERSON: Guillermo Velasco

June- 06th-2023

PRINT NAME

SIGNATURE

DATE



DISADVANTAGED BUSINESS ENTERPRISE (DBE) PREFERENCE

Section 2-185, Code of Ordinances of the City of Fort Lauderdale, provides for a disadvantaged business preference.

In order to be considered for a DBE Preference, a bidder must include a certification from a government agency, as applicable to the DBE Preference class claimed **at the time of bid submittal**.

Upon formal request of the City, based on the application of a DBE Preference the Bidder shall, within ten (10) calendar days, submit the following documentation to the DBE Class claimed:

A) Copy of City of Fort Lauderdale current year business tax receipt, **or** Broward County current year business tax receipt, **or** State of Florida active registration **and/or**

B) List of the names of all employees of the bidder and evidence of employees' residence within the geographic bounds of the City of Fort Lauderdale or Broward County, as the case may be, such as current Florida driver license, residential utility bill (water, electric, telephone, cable television), or other type of similar documentation acceptable to the City.

Failure to comply at time of bid submittal shall result in the bidder being found ineligible for the disadvantaged business preference.

THE COMPLETE DBE PREFERENCE ORDINANCE MAY BE FOUND ON THE CITY'S WEB SITE AT THE FOLLOWING LINK: <https://www.fortlauderdale.gov/home/showpublisheddocument?id=56883>

Definitions

- a. The term "disadvantaged class 1 enterprise" shall mean any disadvantaged business enterprise that has established and agrees to maintain a permanent place of business located in a non-residential zone, staffed with full-time employees within the limits of the city, and provides supporting documentation of its City of Fort Lauderdale business tax and disadvantaged certification as established in the City's Procurement Manual.
- b. The term "disadvantaged class 2 enterprise" shall mean any disadvantaged business enterprise that has established and agrees to maintain a permanent place of business within the limits of the city with a full-time employees and provides supporting documentation of its City of Fort Lauderdale business tax and disadvantaged certification as established in the City's Procurement Manual.
- c. The term "disadvantaged class 3 enterprise" shall mean any disadvantaged business enterprise that has established and agrees to maintain a permanent place of business located in a non-residential zone, staffed with full-time employees within the limits of the Tri-County area and provides supporting documentation of its City of Fort Lauderdale business tax and disadvantaged certification as established in the City's Procurement Manual.
- d. The term "disadvantaged class 4 enterprise" shall mean any disadvantaged business enterprise that does not qualify as a Class A, Class B, or Class C business, but is located in the State of Florida and provides supporting documentation of its disadvantaged certification as established in the City's Procurement Manual.



DISADVANTAGED BUSINESS ENTERPRISE CERTIFICATION STATEMENT

The Business identified below certifies that it qualifies for the disadvantaged business enterprise price preference classification as indicated herein, and further certifies and agrees that it will re-affirm its preference classification annually no later than thirty (30) calendar days prior to the anniversary of the date of a contract awarded pursuant to this solicitation. Violation of the foregoing provision may result in contract termination.

(1) is a disadvantaged class 1 enterprise as defined in the City of Fort Lauderdale Ordinance Section 2-185 disadvantaged business enterprise that has established and agrees to maintain a permanent place of business located in a non-residential zone, staffed with full-time employees within the limits of the city, and provides supporting documentation of its City of Fort Lauderdale business tax and disadvantaged certification as established in the City's Procurement Manual.

Business Name

(2) is a disadvantaged class 2 enterprise as defined in the City of Fort Lauderdale Ordinance Section 2-185 disadvantaged business enterprise that has established and agrees to maintain a permanent place of business within the limits of the city with a full-time employee(s) and provides supporting documentation of its City of Fort Lauderdale business tax and disadvantaged certification as established in the City's Procurement Manual.

Business Name

(3) is a disadvantaged class 3 enterprise as defined in the City of Fort Lauderdale Ordinance Section 2-185 disadvantaged business enterprise that has established and agrees to maintain a permanent place of business located in a non-residential zone, staffed with full-time employees within the limits of the Tri-County area and provides supporting documentation of its City of Fort Lauderdale business tax and disadvantaged certification as established in the City's Procurement Manual.

Business Name

(4) is a disadvantaged class 4 enterprise as defined in the City of Fort Lauderdale Ordinance Section 2-185 disadvantaged business enterprise that does not qualify as a Class A, Class B, or Class C business, but is located in the State of Florida and provides supporting documentation of its disadvantaged certification as established in the City's Procurement Manual.

Business Name

(5) is not considered a Disadvantaged Enterprise Business as defined in the City of Fort Lauderdale Ordinance Sec.2-185 and does not qualify for DBE Preference consideration.

CAPSUS LLC

Business Name

BIDDER'S COMPANY: **CAPSUS LLC**

AUTHORIZED COMPANY PERSON: **Guillermo Velasco**

PRINT NAME

SIGNATURE

June-6th-2023

DATE



E-VERIFY AFFIRMATION STATEMENT

Solicitation/Bid /Contract No: _____ **Event 95**

Project Description:

The City of Fort Lauderdale, Florida (City) is seeking qualified, experienced, and licensed firm(s) to prepare a Net Zero Plan for the City, in accordance with the terms, conditions, and specifications contained in this Request for Proposals (RFP).

Contractor/Proposer/Bidder acknowledges and agrees to utilize the U.S. Department of Homeland Security's E-Verify System to verify the employment eligibility of,

- A. all persons employed by Contractor/Proposer/Bidder to perform employment duties within Florida during the term of the Contract, and,
- B. all persons (including subcontractors/vendors) assigned by Contractor/Proposer/Bidder to perform work pursuant to the Contract.

The Contractor/Proposer/Bidder acknowledges and agrees that use of the U.S. Department of Homeland Security's E-Verify System during the term of the Contract is a condition of the Contract.

Contractor/Proposer/ Bidder Company Name: **Capsus LLC**

Authorized Company Person's Signature: **Guillermo Velasco**

Authorized Company Person's Title: **General Manager**

Date: 06/12/2023

CITY OF FORT LAUDERDALE BID/PROPOSAL CERTIFICATION

Please Note: It is the sole responsibility of the bidder/proposer to ensure that their response is submitted electronically through the [City's on-line strategic sourcing platform](#) prior to the bid opening date and time listed. Paper bid submittals will not be accepted. All fields below must be completed. If the field does not apply to you, please note N/A in that field.

If you are a foreign corporation, you may be required to obtain a certificate of authority from the department of state, in accordance with Florida Statute §607.1501 (visit <http://www.dos.state.fl.us/>).

Company: (Legal Registration) CAPSUS LLC EIN (Optional): 804140810

Address: 5718 Westheimer Road, Suite 1000, Houston, Texas, USA.

City: HOUSTON State: TEXAS Zip: 5718

Telephone No.: +832 737 08 34 FAX No.: ----- Email: guillermo.velasco@capsus.mx

Delivery: Calendar days after receipt of Purchase Order (section 1.02 of General Conditions): 12 months of work

Total Bid Discount (section 1.05 of General Conditions): -----

Check box if your firm qualifies for DBE (section 1.09 of General Conditions):

ADDENDUM ACKNOWLEDGEMENT - Proposer acknowledges that the following addenda have been received and are included in the proposal:

<u>Addendum No.</u>	<u>Date Issued</u>	<u>Addendum No.</u>	<u>Date Issued</u>	<u>Addendum No.</u>	<u>Date Issued</u>	<u>Addendum No.</u>	<u>Date Issued</u>
_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____

VARIANCES: If you take exception or have variances to any term, condition, specification, scope of service, or requirement in this competitive solicitation you must specify such exception or variance in the space provided below or reference in the space provided below all variances contained on other pages within your response. Additional pages may be attached if necessary. No exceptions or variances will be deemed to be part of the response submitted unless such is listed and contained in the space provided below. The City does not, by virtue of submitting a variance, necessarily accept any variances. If no statement is contained in the below space, it is hereby implied that your response is in full compliance with this competitive solicitation. If you do not have variances, simply mark N/A.

The below signatory hereby agrees to furnish the following article(s) or services at the price(s) and terms stated subject to all instructions, conditions, specifications addenda, legal advertisement, and conditions contained in the bid/proposal. I have read all attachments including the specifications and fully understand what is required. By submitting this signed proposal, I will accept a contract if approved by the City and such acceptance covers all terms, conditions, and specifications of this bid/proposal. The below signatory also hereby agrees, by virtue of submitting or attempting to submit a response, that in no event shall the City's liability for respondent's direct, indirect, incidental, consequential, special or exemplary damages, expenses, or lost profits arising out of this competitive solicitation process, including but not limited to public advertisement, bid conferences, site visits, evaluations, oral presentations, or award proceedings exceed the amount of Five Hundred Dollars (\$500.00). This limitation shall not apply to claims arising under any provision of indemnification or the City's protest ordinance contained in this competitive solicitation.

Submitted by:

Guillermo Velasco

Name (printed)

06/12/2023

Date



Signature

General Manager

Title



City of Fort Lauderdale • Procurement Services Division
100 N. Andrews Avenue, Suite 619 • Fort Lauderdale, Florida 33301
954-828-5933 • Fax 954-828-5576 • purchase@fortlauderdale.gov

ADDENDUM NO. 1

RFP: Event 95
TITLE: Net Zero Plan for the City of Fort Lauderdale

ISSUED: June 9, 2023


This addendum is being issued to provide additional information to help aid proposers in providing their most accurate Statement of Work.

1. The attached report from 2014 is the most current information we could find that lists the City owned and operated buildings including their square footage.

All other terms, conditions, and specifications remain unchanged.

Laurie Platkin
Senior Procurement Specialist

Company Name: CAPSUS LLC
(please print)

Bidder's Signature: 

Date: June 13, 2023

Facility FCIs (Part 1 of 2)

Department	Asset Name	Size	Year Built	Backlog Total	Current Replacement Value	FCI	% of Total Backlog
Parks & Rec	Bayview Park - Concession Bldg.	1,600 SF	2006	\$0	\$234,500	0.00	83%
Parks & Rec	Beach Community Center	12,573 SF	2001	\$0	\$2,087,900	0.00	
Parks & Rec	Beach Maintenance Building	8,244 SF	1987	\$0	\$728,200	0.00	
Sustainability And Development	Building Services Center	43,000 SF	2005	\$0	\$9,270,000	0.00	
Parks & Rec	Carter Park - Annex/Library	1,818 SF	1986	\$0	\$350,000	0.00	
Parks & Rec	Coast Guard Auxiliary	2,400 SF	1967	\$0	\$215,800	0.00	
Parks & Rec	Croissant Park - Community Center	5,354 SF	2001	\$0	\$749,000	0.00	
Fort Lauderdale Executive Airport	Executive Airport - Administration Building	10,000 SF	2002	\$0	\$1,530,400	0.00	
Fire Department	Fire Station No. 29	10,291 SF	2010	\$0	\$2,283,500	0.00	
Fire Department	Fire Station No. 35	12,207 SF	2012	\$0	\$2,528,000	0.00	
Fire Department	Fire Station No. 46	10,817 SF	2013	\$0	\$2,165,300	0.00	
Fire Department	Fire Station No. 47	15,391 SF	2008	\$0	\$3,334,100	0.00	
Fire Department	Fire Station No. 49	12,170 SF	2010	\$0	\$2,700,437	0.00	
Public Works	Fiveash Wtp - Ammonia Bldg	2,500 SF	2006	\$0	\$524,500	0.00	
Public Works	Fiveash Wtp - Microwave Bldg.	504 SF	1970	\$0	\$36,068	0.00	
Parks & Rec	Floyd Hull Press/Concess/Restrm East	1,983 SF	2011	\$0	\$298,600	0.00	
Parks & Rec	Floyd Hull Restrm/Concession West	1,983 SF	2011	\$0	\$298,600	0.00	
Public Works	G. T. L - Generator Bldg.	1,125 SF	1986	\$0	\$479,300	0.00	
Public Works	G. T. L - Sludge Pump House #2	1,600 SF	1986	\$0	\$408,100	0.00	
Parks & Rec	Holiday Park - Concession Phase 2	2,210 SF	2000	\$0	\$267,100	0.00	
Parks & Rec	Hort Community Center	1,989 SF	2012	\$0	\$415,600	0.00	
Parks & Rec	Lauderdale Manors Park - Pool Bldg.	955 SF	2001	\$0	\$74,600	0.00	
Parks & Rec	Lauderdale Manors Park - Rec. Center	4,399 SF	2001	\$0	\$667,000	0.00	
Parks & Rec	Palm Aire Village Park - Restrooms	1,080 SF	2002	\$0	\$163,300	0.00	
Transportation & Mobility	Parking Administration Bldg.	14,449 SF	1960	\$0	\$1,330,400	0.00	
Public Works	Parks Comp., Elec. Dist.	886 SF	1964	\$0	\$110,500	0.00	
Public Works	Parks Comp., Fuel	15,768 SF	1996	\$0	\$99,200	0.00	
Public Works	Parks Comp., Vehicle Wash	1,000 SF	1996	\$0	\$63,900	0.00	
Public Works	Peele Dixie Mtp Chemical Bldg	5,079 SF	2007	\$0	\$1,117,400	0.00	
Public Works	Peele Dixie Mtp Generator Bldg	1,608 SF	2007	\$0	\$341,300	0.00	
Public Works	Peele Dixie Wtp - Fpl Switchgear House	859 SF	1985	\$0	\$188,000	0.00	
Public Works	Peele Dixie Wtp - Lime House	6,840 SF	1957	\$0	\$2,257,900	0.00	
Police Department	Police Horse Barn - Holiday Park	6,010 SF	1993	\$0	\$536,600	0.00	
Public Works	Prospect Wellfield Generator Building East	2,080 SF	1968	\$0	\$349,500	0.00	
Public Works	Prospect Wellfield Generator Building West	852 SF	1957	\$0	\$143,100	0.00	
Parks & Rec	Riverside Park - Activity Center	2,047 SF	2003	\$0	\$380,100	0.00	
Parks & Rec	Snyder Park - Southlake Family Restroom	100 SF	1972	\$0	\$16,030	0.00	
Parks & Rec	Snyder Park - Train Station	1,173 SF	1958	\$0	\$299,467	0.00	
Risk	Trash Transfer Station - Repump Bldg.	3,600 SF	1971	\$0	\$472,700	0.00	
Public Works	Executive Airport - Repump Station "E"	2,000 SF	2007	\$496	\$258,700	0.00	
Fire Department	Fire Station No. 13	6,100 SF	1972	\$561	\$1,582,300	0.00	
Public Works	Dixie Wellfield Generator Building	1,280 SF	2007	\$585	\$205,184	0.00	
Public Works	Poinciana Park Pump House	2,405 SF	2007	\$692	\$564,500	0.00	
Parks & Rec	Snyder Park - Office/Administration Bldg.	2,464 SF	1973	\$757	\$184,200	0.00	
Public Works	G. T. L - Pretreatment Bldg.	39,000 SF	1980	\$1,743	\$10,195,300	0.00	
Fire Department	Fire Station No. 3	8,742 SF	2010	\$3,842	\$1,873,300	0.00	
Parks & Rec	Parks Comp., Bldg. 3, 4A, 4B, Gen. Services	14,400 SF	1964	\$5,351	\$1,326,700	0.00	
Fire Department	Fire Station No. 53	27,310 SF	2008	\$8,164	\$6,099,400	0.00	
Public Works	Parks Comp., Garage, Fleet, Fire Logistic	35,944 SF	1964	\$14,693	\$3,550,000	0.00	
Public Works	Peele Dixie Mtp Admn / Membrane Bldg	27,173 SF	2007	\$14,775	\$6,086,400	0.00	
Public Works	G. T. L - Effluent Bldg.	25,225 SF	1986	\$30,280	\$7,460,300	0.00	
Transportation & Mobility	Arts & Science Parking Garage	295,920 SF	1990	\$74,134	\$21,500,000	0.00	
Parks & Rec	Carter Park - Concession / Pressbox	2,800 SF	2005	\$2,524	\$377,500	0.01	
Transportation & Mobility	City Hall Garage	456,192 SF	1967	\$150,917	\$21,897,200	0.01	
Transportation & Mobility	City Park Mall - Garage	1,000,000 SF	1985	\$373,738	\$38,600,000	0.01	
Fort Lauderdale Executive Airport	Executive Airport - New Maintenance	7,745 SF	2011	\$5,331	\$658,300	0.01	
Public Works	G. T. L - Dewatering Bldg.	21,150 SF	1986	\$54,413	\$5,647,400	0.01	
Parks & Rec	George English Park - Rec Ctr/Rstrm/Pro Shop	3,149 SF	2006	\$11,234	\$1,329,000	0.01	
Parks & Rec	Holiday Park - Concession Phase 1	2,940 SF	1998	\$1,837	\$269,600	0.01	
Parks & Rec	Holiday Park - Gym & Ranger Station	14,500 SF	1964	\$21,818	\$2,323,000	0.01	
Parks & Rec	Parks Comp., Bldg. 2, Parks Office	3,231 SF	1964	\$4,123	\$313,300	0.01	
Public Works	G. T. L - Mechanical Shop	720 SF	1986	\$1,224	\$83,000	0.02	
Parks & Rec	Holiday Park - Social Center	8,299 SF	1965	\$11,507	\$760,000	0.02	
Public Works	Las Olas Repump Station D-37 House	1,800 SF	1950	\$6,738	\$379,500	0.02	
Police Department	Police Harbor Patrol & Bathrooms	1,478 SF	1974	\$4,445	\$183,100	0.02	
Police Department	Police Organized Crime	8,458 SF	1954	\$14,325	\$648,900	0.02	
Parks & Rec	Warfield Park - Recreation Center	3,750 SF	2000	\$15,875	\$679,100	0.02	
Public Works	Fiveash Wtp - Maintenance Shop	2,463 SF	1957	\$13,353	\$394,819	0.03	



Facility FCIs (Part 2 of 2)

Department	Asset Name	Size	Year Built	Backlog Total	Current Replacement Value	FCI	% of Total Backlog	
Parks & Rec	Floyd Hull Madera Tyrell Bldg	1,273 SF	1997	\$7,684	\$296,991	0.03	83%	
Public Works	G. T. L - Sludge Pump Station No.3	10,520 SF	1986	\$63,424	\$2,347,500	0.03		
Parks & Rec	Mills Pond Park - Restroom/Concession	1,280 SF	2000	\$4,175	\$152,700	0.03		
Parks & Rec	Osswald Restroom (East)	750 SF	1991	\$3,181	\$126,800	0.03		
Parks & Rec	Osswald Restroom (West)	750 SF	1991	\$4,130	\$126,900	0.03		
Police Department	Police Jail	26,979 SF	1982	\$143,066	\$4,619,900	0.03		
Parks & Rec	Riverland Park Activity Center	3,380 SF	2004	\$42,800	\$1,453,500	0.03		
Parks & Rec	Snyder Park - Caldwell Pavilion / Restrooms	4,898 SF	1989	\$13,024	\$406,900	0.03		
Parks & Rec	Sunset Memorial Gardens - Admin Bdg.	2,475 SF	2006	\$16,949	\$577,418	0.03		
Risk	Trash Transfer Station - Office / Storage Bldg.	12,625 SF	1971	\$36,895	\$1,505,000	0.03		
Parks & Rec	Bass Park - Rec. Center	2,442 SF	1991	\$24,822	\$594,100	0.04		
Public Works	Central Maintenance Rear Building	6,300 SF	1968	\$16,676	\$419,000	0.04		
Fort Lauderdale Executive Airport	Executive Airport - Elect. Vault	791 SF	1984	\$5,976	\$159,500	0.04		
Fire Department	Fire Station / Administration / No. 2	30,900 SF	2002	\$305,000	\$7,023,100	0.04		
Public Works	G. T. L - Administration Bldg.	7,530 SF	1986	\$53,187	\$1,525,600	0.04		
Public Works	G. T. L - Lox Plant	2,200 SF	1986	\$12,763	\$352,660	0.04		
Parks & Rec	Osswald Park Rec. Center	6,000 SF	1991	\$30,650	\$765,600	0.04		
Parks & Rec	Parks Comp., Bldg. 5, 7, Radio & Facility Mgr	1,961 SF	1964	\$10,743	\$243,900	0.04		
Total Good (FCI Between .01 and .05)		2,378,236 SF		\$1,644,620	\$197,643,074			
Parks & Rec	Floyd Hull Stadium Sky Box	2,010 SF	1990	\$17,416	\$349,950	0.05		4%
Information Technology/Records	Records Center - Print Shop	2,735 SF	1948	\$14,660	\$282,200	0.05		
Parks & Rec	George English Park - Storage/Electrical Rm	1,020 SF	2005	\$9,255	\$163,506	0.06		
Parks & Rec	Cooley/S Landing Restroom	612 SF	1992	\$5,734	\$77,300	0.07		
Parks & Rec	Mills Pond Park - Recreation Office	4,318 SF	1987	\$41,050	\$604,600	0.07		
Parks & Rec	Osswald Old Library	6,000 SF	1991	\$48,658	\$666,100	0.07		
Parks & Rec	Parks Comp., Bldg. 1, Parks Maintenance	2,842 SF	1964	\$21,809	\$327,300	0.07		
Public Works	Parks Comp., Bldg. 6, Vehicle Write Up	500 SF	1988	\$4,179	\$59,400	0.07		
Parks & Rec	Carter Park - Social Center	4,323 SF	1968	\$20,720	\$277,050	0.08		
Parks & Rec	Holiday Park - Activity Center	22,496 SF	1950	\$151,737	\$1,990,500	0.08		
Public Works	Fiveash Wtp - Fp&L Substation	1,372 SF	1970	\$17,522	\$228,100	0.08		
Public Works	Public Works Admin Bldg.	24,588 SF	1985	\$349,014	\$4,499,604	0.08		
Parks & Rec	Beach Restroom	1,290 SF	2002	\$18,267	\$195,000	0.09		
Parks & Rec	Floyd Hull Morton Act. Ctr & Concession	6,350 SF	1986	\$94,140	\$1,045,600	0.09		
Transportation & Mobility	City Park Mall - Shops	25,500 SF	1985	\$232,754	\$2,728,500	0.09		
Total Fair (FCI Between .05 and .10)		105,956 SF		\$1,046,915	\$13,494,710			
Parks & Rec	Carter Park - Pool Offices (3)	423 SF	1967	\$10,224	\$98,686	0.10	14%	
Parks & Rec	Cooley/S Landing Admin. / Bath House	1,900 SF	1992	\$25,712	\$258,100	0.10		
Parks & Rec	Floranada Park - Restrooms	1,300 SF	1962	\$5,319	\$53,300	0.10		
Parks & Rec	Floyd Hull Electrical Bldg	423 SF	1968	\$7,029	\$67,807	0.10		
Parks & Rec	Holiday Park - Tennis Center	2,200 SF	1997	\$58,463	\$560,700	0.10		
Public Works	Fiveash Wtp - Fuel Station	2,100 SF	1984	\$41,679	\$438,480	0.10		
Parks & Rec	Bass Park - Pool House	1,684 SF	1975	\$22,436	\$192,400	0.12		
Parks & Rec	Esplanade Restroom	2,145 SF	1991	\$36,410	\$270,900	0.13		
Parks & Rec	Carter Park - Gym	13,139 SF	1968	\$244,553	\$1,746,800	0.14		
Parks & Rec	Carter Park -Aquatic Complex/Maint Build.	8,000 SF	2004	\$43,053	\$283,000	0.15		
Parks & Rec	Parks Comp., Parks & Rec. Admin.	6,242 SF	1964	\$128,674	\$871,400	0.15		
Parks & Rec	Holiday Park - War Memorial Auditorium	39,954 SF	1948	\$921,804	\$5,677,200	0.16		
Public Works	Central Maintenance Shop	13,100 SF	1950	\$124,846	\$786,500	0.16		
Parks & Rec	Carter Park - Recreation Center	2,140 SF	1957	\$48,002	\$284,000	0.17		
Fire Department	Fire Prevention Bureau	4,100 SF	1980	\$106,807	\$588,900	0.18		
Administration	City Hall	83,276 SF	1967	\$2,235,118	\$11,931,200	0.19		
Public Works	Fertilizer Plant - Admin. Bldg.	2,376 SF	1986	\$57,094	\$263,800	0.22		
Public Works	Fertilizer Plant - Maintenance Shop	3,150 SF	1986	\$40,398	\$187,300	0.22		
Parks & Rec	Holiday Park - Press Box	1,194 SF	1998	\$28,285	\$129,600	0.22		
Parks & Rec	Floyd Hull Football And Cheerleader Bldg	1,600 SF	1968	\$21,421	\$91,400	0.23		
Police Department	Police Station	88,607 SF	1958	\$2,890,149	\$12,850,600	0.23		
Parks & Rec	Mizell Center	30,676 SF	1979	\$698,672	\$2,810,000	0.25		
Parks & Rec	Las Olas Marina Comfort Station	3,000 SF	1998	\$78,299	\$287,600	0.27		
Public Works	G. T. L - Sludge Pump Station No.1	2,160 SF	1986	\$141,917	\$474,500	0.30		
Fort Lauderdale Executive Airport	Executive Airport - Maintenance Building "E"	1,656 SF	1976	\$60,408	\$176,900	0.34		
Parks & Rec	Hardy Park - Tennis Center	1,280 SF	1938	\$54,430	\$152,100	0.36		
Fire Department	Fire Station No. 88	3,049 SF	1988	\$119,311	\$283,500	0.42		
Public Works	Hardy Park - Pump House	560 SF	1930	\$15,059	\$31,500	0.48		
Public Works	Fiveash Wtp - Administration Bldg.	75,382 SF	1970	\$675,829	\$240,900	2.81		
Total Poor (FCI Above .10)		396,816 SF		\$8,941,401	\$42,089,073			
		2,881,008 SF		\$11,632,936	\$253,226,857	0.05		

Fire Department Average Weighted Age

Department Code	Asset Name	Size	Chronological Age	Square Foot Age
FIRE	Fire Prevention Bureau	4,100 SF	34	139,400
FIRE	Fire Station / Administration / No. 2	30,900 SF	12	370,800
FIRE	Fire Station No. 13	6,100 SF	42	256,200
FIRE	Fire Station No. 29	10,291 SF	4	41,164
FIRE	Fire Station No. 3	8,742 SF	4	34,968
FIRE	Fire Station No. 35	12,207 SF	2	24,414
FIRE	Fire Station No. 46	10,817 SF	1	10,817
FIRE	Fire Station No. 47	15,391 SF	6	92,346
FIRE	Fire Station No. 49	12,170 SF	4	48,680
FIRE	Fire Station No. 53	27,310 SF	6	163,860
FIRE	Fire Station No. 88	3,049 SF	26	79,274
Total		141,077		1,261,923
Average Weighted Age			8.9	

Executive Airport Average Weighted Age

Department Code	Asset Name	Size	Chronological Age	Square Foot Age
FXE	Executive Airport - Administration Building	10,000 SF	12	120,000
FXE	Executive Airport - Elect. Vault	791 SF	30	23,730
FXE	Executive Airport - Maintenance Building "E"	1,656 SF	38	62,928
FXE	Executive Airport - New Maintenance	7,745 SF	3	23,235
Total		20,192		229,893
Average Weighted Age			11.39	

Police Department Average Weighted Age

Department Code	Asset Name	Size	Chronological Age	Square Foot Age
POLICE	Police Harbor Patrol & Bathrooms	1,478 SF	40	59,120
POLICE	Police Horse Barn - Holiday Park	6,010 SF	21	126,210
POLICE	Police Jail	26,979 SF	32	863,328
POLICE	Police Organized Crime	8,458 SF	60	507,480
POLICE	Police Station	88,607 SF	56	4,961,992
Total		131,532		2,052,704
Average Weighted Age			15.6	

Transportation and Mobility Average Weighted Age

Department Code	Asset Name	Size	Chronological Age	Square Foot Age
T&M	Arts & Science Parking Garage	295,920 SF	24	7,102,080
T&M	City Hall Garage	456,192 SF	47	21,441,024
T&M	City Park Mall - Garage	1,000,000 SF	29	29,000,000
T&M	City Park Mall - Shops	25,500 SF	29	739,500
T&M	Parking Administration Bldg.	14,449 SF	54	780,246
Total		1,792,061		59,062,850
Average Weighted Age			33.0	

Public Works Average Weighted Age

Department Code	Asset Name	Size	Chronological Age	Square Foot Age
PW	Central Maintenance Rear Building	6,300 SF	46	289,800
PW	Central Maintenance Shop	13,100 SF	64	838,400
PW	Dixie Wellfield Generator Building	1,280 SF	7	8,960
PW	Executive Airport - Repump Station "E"	2,000 SF	7	14,000
PW	Fertilizer Plant - Admin. Bldg.	2,376 SF	28	66,528
PW	Fertilizer Plant - Maintenance Shop	3,150 SF	28	88,200
PW	Fiveash WTP - Administration Bldg.	75,382 SF	44	3,316,808
PW	Fiveash WTP - Ammonia Bldg	2,500 SF	8	20,000
PW	Fiveash WTP - Fp&L Substation	1,372 SF	44	60,368
PW	Fiveash WTP - Fuel Station	2,100 SF	30	63,000
PW	Fiveash WTP - Maintenance Shop	2,463 SF	57	140,391
PW	Fiveash WTP - Microwave Bldg.	504 SF	44	22,176
PW	G. T. L - Administration Bldg.	7,530 SF	28	210,840
PW	G. T. L - Dewatering Bldg.	21,150 SF	28	592,200
PW	G. T. L - Effluent Bldg.	25,225 SF	28	706,300
PW	G. T. L - Generator Bldg.	1,125 SF	28	31,500
PW	G. T. L - Lox Plant	2,200 SF	28	61,600
PW	G. T. L - Mechanical Shop	720 SF	28	20,160
PW	G. T. L - Pretreatment Bldg.	39,000 SF	34	1,326,000
PW	G. T. L - Sludge Pump House #2	1,600 SF	28	44,800
PW	G. T. L - Sludge Pump Station No.1	2,160 SF	28	60,480
PW	G. T. L - Sludge Pump Station No.3	10,520 SF	28	294,560
PW	Hardy Park - Pump House	560 SF	84	47,040
PW	Las Olas Repump Station D-37 House	1,800 SF	64	115,200
PW	Parks Comp., Bldg. 6, Vehicle Write Up	500 SF	26	13,000
PW	Parks Comp., Elec. Dist.	886 SF	50	44,300
PW	Parks Comp., Fuel	15,768 SF	18	283,824
PW	Parks Comp., Garage, Fleet, Fire Logistic	35,944 SF	50	1,797,200
PW	Parks Comp., Vehicle Wash	1,000 SF	18	18,000
PW	Peele Dixie MTP Admn / Membrane Bldg	27,173 SF	7	190,211
PW	Peele Dixie MTP Chemical Bldg	5,079 SF	7	35,553
PW	Peele Dixie MTP Generator Bldg	1,608 SF	7	11,256
PW	Peele Dixie WTP - Fpl Switchgear House	859 SF	29	24,911
PW	Peele Dixie WTP - Lime House	6,840 SF	57	389,880
PW	Poinciana Park Pump House	2,405 SF	7	16,835
PW	Prospect Wellfield Generator Building East	2,080 SF	46	95,680
PW	Prospect Wellfield Generator Building West	852 SF	57	48,564
PW	Public Works Admin Bldg.	24,588 SF	29	713,052
Total		351,699		12,121,577
Average Weighted Age			34.5	

Parks and Recreation Average Weighted Age

Department Code	Asset Name	Size	Chronological Age	Square Foot Age
PR	Bass Park - Pool House	1,684 SF	39	65,676
PR	Bass Park - Rec. Center	2,442 SF	23	56,166
PR	Bayview Park - Concession Bldg.	1,600 SF	8	12,800
PR	Beach Community Center	12,573 SF	13	163,449
PR	Beach Maintenance Building	8,244 SF	27	222,588
PR	Beach Restroom	1,290 SF	12	15,480
PR	Carter Park - Annex/Library	1,818 SF	28	50,904
PR	Carter Park - Concession / Pressbox	2,800 SF	9	25,200
PR	Carter Park - Gym	13,139 SF	46	604,394
PR	Carter Park - Pool Offices (3)	423 SF	UNK	
PR	Carter Park - Recreation Center	2,140 SF	57	121,980
PR	Carter Park - Social Center	4,323 SF	46	198,858
PR	Carter Park - Aquatic Complex/Maint Build.	8,000 SF	10	80,000
PR	Coast Guard Auxiliary	2,400 SF	47	112,800
PR	Cooley'S Landing Admin. / Bath House	1,900 SF	22	41,800
PR	Cooley'S Landing Restroom	612 SF	22	13,464
PR	Croissant Park - Community Center	5,354 SF	13	69,602
PR	Esplanade Restroom	2,145 SF	23	49,335
PR	Floranada Park - Restrooms	1,300 SF	52	67,600
PR	Floyd Hull Electrical Bldg	423 SF	46	19,458
PR	Floyd Hull Football And Cheerleader Bldg	1,600 SF	46	73,600
PR	Floyd Hull Madera Tyrell Bldg	1,273 SF	17	21,641
PR	Floyd Hull Morton Act. Ctr & Concession	6,350 SF	28	177,800
PR	Floyd Hull Press/Concess/Restrm East	1,983 SF	3	5,949
PR	Floyd Hull Restrm/Concession West	1,983 SF	3	5,949
PR	Floyd Hull Stadium Sky Box	2,010 SF	24	48,240
PR	George English Park - Rec Ctr/Rstrm/Pro Shop	3,149 SF	8	25,192
PR	George English Park - Storage/Electrical Rm	1,020 SF	9	9,180
PR	Hardy Park - Tennis Center	1,280 SF	76	97,280
PR	Holiday Park - Activity Center	22,496 SF	64	1,439,744
PR	Holiday Park - Concession Phase 1	2,940 SF	16	47,040
PR	Holiday Park - Concession Phase 2	2,210 SF	14	30,940
PR	Holiday Park - Gym & Ranger Station	14,500 SF	50	725,000
PR	Holiday Park - Press Box	1,194 SF	16	19,104
PR	Holiday Park - Social Center	8,299 SF	49	406,651
PR	Holiday Park - Tennis Center	2,200 SF	17	37,400
PR	Holiday Park - War Memorial Auditorium	39,954 SF	66	2,636,964
PR	Hortt Community Center	1,989 SF	2	3,978
PR	Las Olas Marina Comfort Station	3,000 SF	16	48,000
PR	Lauderdale Manors Park - Pool Bldg.	955 SF	13	12,415
PR	Lauderdale Manors Park - Rec. Center	4,399 SF	13	57,187
PR	Mills Pond Park - Recreation Office	4,318 SF	27	116,586
PR	Mills Pond Park - Restroom/Concession	1,280 SF	14	17,920
PR	Mizell Center	30,676 SF	35	1,073,660
PR	Osswald Old Library	6,000 SF	23	138,000
PR	Osswald Park Rec. Center	6,000 SF	23	138,000
PR	Osswald Restroom (East)	750 SF	23	17,250
PR	Osswald Restroom (West)	750 SF	23	17,250
PR	Palm Aire Village Park - Restrooms	1,080 SF	12	12,960
PR	Parks Comp., Bldg. 1, Parks Maintenance	2,842 SF	50	142,100
PR	Parks Comp., Bldg. 2, Parks Office	3,231 SF	50	161,550
PR	Parks Comp., Bldg. 3, 4A, 4B, Gen. Services	14,400 SF	50	720,000
PR	Parks Comp., Bldg. 5, 7, Radio & Facility Mgr	1,961 SF	50	98,050
PR	Parks Comp., Parks & Rec. Admin.	6,242 SF	50	312,100
PR	Riverland Park Activity Center	3,380 SF	10	33,800
PR	Riverside Park - Activity Center	2,047 SF	11	22,517
PR	Snyder Park - Caldwell Pavilion / Restrooms	4,898 SF	25	122,450
PR	Snyder Park - Office/Administration Bldg.	2,464 SF	41	101,024
PR	Snyder Park - Southlake Family Restroom	100 SF	42	4,200
PR	Snyder Park - Train Station	1,173 SF	56	65,688
PR	Sunset Memorial Gardens - Admin Bdg.	2,475 SF	8	19,800
PR	Warfield Park - Recreation Center	3,750 SF	14	52,500
Total		298,788		11,308,213
Average Weighted Age			37.8	

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type. See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. CAPSUS LLC	
2 Business name/disregarded entity name, if different from above CAPSUS	
3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.	
<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate	
<input checked="" type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ P Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.	
<input type="checkbox"/> Other (see instructions) ▶	
4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>	5 Address (number, street, and apt. or suite no.) See instructions. 5718 Westheimer Suite 1000
6 City, state, and ZIP code Houston, Texas, 77057	Requester's name and address (optional)
7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.


Social security number	
[] [] [] [] - [] [] - [] [] [] []	
OR	
Employer identification number	
8 7 - 1 6 3 0 4 0 3	

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here Signature of U.S. person ▶ 

Date ▶ **24 August 2022**

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.



Franchise Tax Account Status

As of : 06/29/2023 13:47:19

This page is valid for most business transactions but is not sufficient for filings with the Secretary of State

CAPSUS, LLC	
Texas Taxpayer Number	32080049086
Mailing Address	5718 WESTHEIMER RD STE 1000 HOUSTON, TX 77057-9903
Right to Transact Business in Texas	ACTIVE
State of Formation	TX
Effective SOS Registration Date	07/07/2021
Texas SOS File Number	0804140810
Registered Agent Name	CESAR IGNACIO VELASCO
Registered Office Street Address	136 S. HOLLY STREET GEORGETOWN, TX 78626



ACUSE DE RECIBO DE DOCUMENTACIÓN CONTRACTUAL

CAPSUS SC
BOULEVARD MANUEL AVILA CAMACHO 118 2401
LOMAS DE CHAPULTEPEC I SECCION MIGUEL HIDALGO CIUDAD DE MEXICO 11000

SE HACE CONSTAR LA ENTREGA POR AIG SEGUROS MÉXICO S.A. DE C.V., DE LA DOCUMENTACIÓN CONTRACTUAL (CARÁTULA DE PÓLIZA, CONDICIONES GENERALES, ESPECIALES, PARTICULARES O ESPECIFICACIONES, ENDOSOS, RECIBOS DE PAGO DE PRIMAS O AVISOS DE COBRO Y FOLLETO) DEL CONTRATO DE SEGURO QUE DESCRIBE A CONTINUACIÓN:

NOMBRE DEL PRODUCTO	:	RCP - SEGURO RESPONSABILIDAD CIVIL PROFESIONAL
TIPO DE MOVIMIENTO	:	PÓLIZA NUEVA
OFICINA	:	056 GUADALAJARA (ER)
NÚMERO DE PÓLIZA	:	56-RCP-020000000-01
ENDOSO	:	0
ORDEN DE TRABAJO DEL AGENTE	:	
FOLIO AIG	:	
AGENTE	:	79302 BLACK TOWER GROUP, AGENTE DE SEGUROS Y DE FIANZAS, SA DE CV
PROMOTOR	:	

LA INFORMACIÓN CONTENIDA EN LA DOCUMENTACIÓN CONTRACTUAL QUE SE ENTREGA, ES DEL USO EXCLUSIVO A LA PERSONA A LA CUAL SE DIRIGE Y AQUELLOS AUTORIZADOS POR EL ASEGURADO PARA RECIBIRLA. **SI USTED NO ES UN RECEPTOR AUTORIZADO, NO DEBE RECIBIR ESTOS DOCUMENTOS.**

EL ASEGURADO, AL FIRMAR ESTE DOCUMENTO, MANIFIESTA QUE HA LEÍDO Y COMPRENDE EL ALCANCE DEL AVISO DE PRIVACIDAD DE AIG SEGUROS MÉXICO, S.A. DE C.V., QUE ESTÁ DE ACUERDO CON LAS FINALIDADES, POSIBLES TRANSFERENCIAS Y DEMÁS CONDICIONES AHÍ SEÑALADAS, PARA EL TRATAMIENTO DE SUS DATOS PERSONALES. NUESTRO AVISO DE PRIVACIDAD PUEDE SER CONSULTADO EN TODO MOMENTO EN LA PÁGINA DE INTERNET www.aig.com.mx

RECIBÍ DOCUMENTOS

NOMBRE COMPLETO: _____
(Nombres) (Apellido paterno) (Apellido materno)

FECHA: _____ / _____ / _____
(Día) (Mes) (Año)

FIRMA: _____



0002000000



RCP - SEGURO RESPONSABILIDAD CIVIL
PROFESIONAL
CARÁTULA DE PÓLIZA

Table with 6 columns: PRODUCTO, PAQUETE, OFICINA, NÚMERO DE PÓLIZA, SUFIJO, SECUENCIA. Values: RCP, 56, MEXICO, 20000000, 1, 0

DATOS DEL ASEGURADO. Fields: NOMBRE: CAPSUS SC, R.F.C: CAP091117UQ5, DIRECCIÓN: BOULEVARD MANUEL AVILA CAMACHO 118 2401, DELEGACIÓN: MIGUEL HIDALGO, MUNICIPIO: , CÓDIGO POSTAL: 11000, COLONIA: LOMAS DE CHAPULTEPEC I SECCION, CIUDAD: CIUDAD DE MEXICO, ESTADO: CIUDAD DE MEXICO

Table with 4 columns: VIGENCIA DEL SEGURO, DURACIÓN, FORMA DE PAGO, TASA DE FINANCIAMIENTO POR PAGO FRACCIONADO. Values: DESDE LAS 12:00 HORAS DEL 27 FEBRERO 2023, HASTA LAS 12:00 HORAS DEL 27 FEBRERO 2024, 365 DÍAS, CONTADO, 0.00 %

Table with 1 column: MONEDA. Value: NACIONAL

PRIMA Y GASTOS. Table with 2 columns: Description, Amount. Values: PRIMA: \$ 5,008.00, IMPORTE PAGO FRACCIONADO: 0.00, GASTOS DE EXPEDICIÓN: 410.00, TOTAL PRIMA Y GASTOS: 5,418.00, IMPORTE DE I.V.A (16.00%): 866.88, TOTAL A PAGAR: \$ 6,284.88

FECHA DE EXPEDICIÓN: 27 DE FEBRERO DE 2023

Reducción de prima por aplicación (total o parcial) de comisión del agente: \$ 0.00

Agente de Seguro: 79302 BLACK TOWER GROUP, AGENTE DE SEGUROS Y DE FIANZAS, SA DE CV



00020000000

FIRMA AUTORIZADA

Handwritten signature

AIG SEGUROS MÉXICO S.A. DE C.V.

Si el contenido de la póliza o sus modificaciones no concordaren con la oferta, el Asegurado podrá pedir la rectificación correspondiente dentro de los treinta días que sigan al día en que reciba la póliza. Transcurrido este plazo se consideran aceptadas las estipulaciones de la póliza o de sus modificaciones.

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1
ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

SECCIONES Y COBERTURAS AMPARADAS

COBERTURA	SUMA ASEGURADA	SUMA ASEGURADA INFLACIÓN
RESPONSABILIDAD CIVIL PROFESIONAL	\$ 3,000,000.00	\$ 0.00

DESGLOSE DE RIESGOS

ASEGURADO : CAPSUS SC
NÚMERO DE UBICACIÓN : 1
CALLE : BOULEVARD MANUEL AVILA CAMACHO 118
DELEGACIÓN : MIGUEL HIDALGO
COLONIA : LOMAS DE CHAPULTEPEC I SECCION
CIUDAD : CIUDAD DE MEXICO
ESTADO : CIUDAD DE MEXICO
CÓDIGO POSTAL : 11000

UBICACION : 1
 SECCION : RESPONSABILIDAD CIVIL PROFESIONAL

INFLACION: 0.00%

COBERTURA	SUMA ASEGURADA	DEDUCIBLE
ERRORES & OMISIONES	\$3,000,000.00	10 % DE LA PÉRDIDA CON MÍNIMO DE \$20,000.00 MXN PARA TODA Y CADA PÉRDIDA

.. continuación de la carátula

Por medio de la presente manifestación, el Asegurado declara y acepta:

- 1.- ¿El contratante es una empresa controlada por el gobierno? NO
- 2.- ¿El contratante tiene más del 25% de sus ingresos anuales de clientes en Estados Unidos? NO
- 3.- En los últimos 3 años, ¿ha habido quejas o acciones contra el contratante, su empleado o representante del contratante por los servicios prestados? NO
- 4.- ¿El contratante, empleado o representante del prestatario tiene conocimiento de algún reclamo o circunstancia que pueda resultar en un reclamo, acción o investigación contra el contratante empleado o representante del prestatario recurrente de su servicio profesional? NO

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: **56 - RCP - 20000000 - 1**
 ENDOSO: **0**

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

DECLARACIONES....

- 1. Contratante:** CAPSUS SC
- 2. Tipo de Seguro:** Seguro de Responsabilidad Civil Profesional (incluye gastos de defensa).
- 3. Cobertura:** Errores y Omisiones (Incluye Gastos de Defensa)
 - **Servicios Profesionales Cubiertos:** Seguro de Responsabilidad Civil Profesional (incluye gastos de defensa)
 - **Giro:** Servicios de consultoría empresarial - Ventas, Producción, Aseguramiento de Calidad, Asesoramiento Regulatorio, Capacitación, Comunicación, Relaciones Públicas, Economista, Gerente de Eventos, Consultoría de
- 4. Límite de Responsabilidad:** \$3,000,000.00 mxn
- 5. Prima Neta:** \$5,008.00 mxn
- 6. Deducible:** 10 % de la pérdida con mínimo de \$20,000.00 mxn para toda y cada pérdida

7. Extensiones de cobertura:

Pérdida de Documentos	Cubierta	50% del Límite de Responsabilidad
Compensación por Comparecencia en Juicio	Cubierta	100% del Límite de Responsabilidad
Infidelidad de Empleados	No Cubierta	
Propiedad Intelectual	No Cubierta	
Difamación	Cubierta	100% del Límite de Responsabilidad
Nuevas Subsidiarias	Cubierta	20% de los activos del Contratante

** Estos sub-límites forman parte del agregado anual y no operan en adición a éste. **

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1
ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

8. Periodo de Descubrimiento:

60 días	automático – sin costo
12 meses	opcional - pagando 100% de prima adicional
24 meses	opcional - pagando 180% de prima adicional

9. Fecha de Continuidad: 27 de febrero de 2023

10. Fecha de Retroactividad: 27 de febrero de 2023

11. Territorialidad: México

12. Jurisdicción: México

13. Endosos:

Endoso No.1 Cláusula complementaria de agravación del riesgo.

Endoso No.2 Endoso de exclusión por guerra y terrorismo.

Endoso No.3 Endoso de exclusión de asesoría financiera y/o de inversiones.

Endoso No.4 Endoso de exclusión de propiedad intelectual.

Endoso No.5 Endoso de exclusión de infidelidad de empleados.

Endoso No.6 Endoso de exclusión por fluctuación de mercado.

Endoso No.7 Endoso de exclusión de transferencia de fondos.

Endoso No.8 Endoso de exclusión de pérdida consecencial.

Endoso No.9 Endoso de exclusión por falla de seguridad y/o evento de privacidad.

Endoso No.10 Endoso de exclusión de infraestructura de clave pública (PKI) / encriptación.

Endoso No.11 Endoso aclaratorio: Únicamente se cubrirán reclamos procedentes de BBVA México, S.A., Institución de Banca Múltiple, Grupo Financiero BBVA México y/o filiales y/o subsidiarias.

Endoso No.1

Cláusula complementaria de agravación del riesgo.

En caso de que, en el presente o en el futuro, el (los) Contratante(s), Asegurado(s) o Beneficiario(s) realice(n) o se relacione(n) con actividades ilícitas, será considerado como una agravación esencial del riesgo en términos de ley. Por lo anterior, cesarán de pleno derecho las obligaciones de la compañía, si el(los) Contratante(s), Asegurado(s) o Beneficiario(s), en los términos del Artículo 492 de la Ley de Instituciones de Seguros y de Fianzas y sus disposiciones generales, fuere(n) condenado(s) mediante sentencia definitiva que haya causado estado, por cualquier delito vinculado o derivado de lo establecido en los Artículos 139 a 139 Quinquies, 193 a 199, 400 y 400 Bis del Código Penal Federal y/o cualquier artículo relativo a la delincuencia organizada en territorio nacional; dicha sentencia podrá ser emitida por

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

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Los datos personales serán tratados conformidad con lo dispuesto en la Ley Federal de Protección de Datos Personales en Posesión de los Particulares, la reglamentación respectiva y el aviso de privacidad que está a su disposición en la página de Internet <http://aig.com.mx> Por favor consulte el aviso de privacidad antes de proporcionar sus datos.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1
ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

cualquier autoridad competente del fuero local o federal, o legalmente reconocida por el Gobierno Mexicano, o si el nombre del (los) Contratante(s), Asegurado(s) o Beneficiario(s), sus actividades, bienes cubiertos por la póliza o sus nacionalidades es (son) publicado(s) en alguna lista oficial relativa a los delitos vinculados con lo establecido en los artículos antes citados, sea de carácter nacional o extranjera proveniente de un gobierno con el cual el Gobierno Mexicano tenga celebrado algún tratado internacional en la materia antes mencionada, ello en términos de la fracción X disposición Vigésima Novena, fracción V disposición Trigésima Cuarta o Disposición Quincuagésima Sexta de la Resolución por la que se expiden las Disposiciones de Carácter General a que se refiere el artículo 140 de la Ley General de Instituciones y Sociedades Mutualistas de Seguros.

En su caso, las obligaciones del contrato serán restauradas una vez que la Aseguradora tenga conocimiento de que el nombre del (de los) Contratante(s), Asegurado(s) o Beneficiario(s) deje(n) de encontrarse en las listas antes mencionadas.

La Aseguradora consignará ante la autoridad jurisdiccional competente, cualquier cantidad que derivada de este Contrato de Seguro pudiera quedar a favor de la persona o personas a las que se refiere el párrafo anterior, con la finalidad de que dicha autoridad determine el destino de los recursos. Toda cantidad pagada no devengada que sea pagada con posterioridad a la realización de las condiciones previamente señaladas, será consignada a favor de la autoridad correspondiente.

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

Endoso No.2

Endoso de exclusión por guerra y terrorismo.

*El presente endoso, con efectos a partir de las 12:00 horas de la fecha que aparece en la Carátula de la Póliza forma parte del Contrato de Seguro de Responsabilidad Civil Profesional celebrado entre el **Asegurador** y el **Contratante**, con número de póliza que aparece en la Carátula de la Póliza.*

Por virtud del presente endoso, las partes acuerdan en añadir a las condiciones generales lo siguiente:

En el capítulo **1. Definiciones**, se añade la definición siguiente:

Terrorismo

El uso de explosivos, sustancias tóxicas, armas de fuego o por incendio, inundación, o por cualquier otro medio violento, por el que se realicen actos en contra de las personas, las cosas o servicios al público, que produzcan alarma, temor, terror en la población o en un grupo o sector de ella, para perturbar la paz pública o tratar de menoscabar la autoridad del estado o presionar a la autoridad para que tome una determinación.

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

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Los datos personales serán tratados conformidad con lo dispuesto en la Ley Federal de Protección de Datos Personales en Posesión de los Particulares, la reglamentación respectiva y el aviso de privacidad que está a su disposición en la página de Internet <http://aig.com.mx> Por favor consulte el aviso de privacidad antes de proporcionar sus datos.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1
ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

Por virtud del presente endoso queda entendido y convenido que no obstante cualquier otra exclusión de guerra o **Terrorismo** que pueda estar integrada en la póliza; se añade al Capítulo 4. **Exclusiones** de las Condiciones Generales del Contrato de Seguro la siguiente exclusión:

Cualquier Reclamo como consecuencia directa o indirecta, accidentales o intencionales, deliberados o no deliberados, directos o indirectos, próximos o remotos o en todo o en parte causados por cualquiera de los siguientes acontecimientos:

Guerra (declarada o no), invasión, acción bélica en tiempos de paz o de guerra, actos de enemigos extranjeros, hostilidades, guerra civil y/u operaciones similares, incluyendo acción encubierta, combate o defensa en contra del ataque real, impedimento de tal ataque esperado: por gobierno (de derecho o de hecho) o poder usurpado o por cualquier autoridad mantenida o usando el poder militar, naval, fuerza aérea o por un agente de cualquiera de dichos gobiernos, poder autoridad o fuerza.

Motín, conmoción civil asumiendo la proporción de o amotinamiento popular, insurrección, rebelión, revolución, militar o por usurpación de poder, o acción tomada por la autoridad gubernamental en impedirlo, combatirlo o defenderse contra dicha ocurrencia, ataque o destrucción.

Actos de Terrorismo, cometidos por una o varias personas actuando a nombre de o en conexión con cualquier organización.

Secuestro o cualquier ataque ilegal, ilícito o ejercicio injusto del control de cualquier medio de transportación, incluyendo, pero no limitado a aviones, vehículos acuáticos, camiones, trenes, o automóviles, incluyendo cualquier intento de ataque o control, hecho por cualquier persona o personas.

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

Endoso No.3

Endoso de exclusión de asesoría financiera y/o de inversión.

El presente endoso, con efectos a partir de las 12:00 horas de la fecha que aparece en la Carátula de la Póliza forma parte del Contrato de Seguro de Responsabilidad Civil Profesional celebrado entre el **Asegurador** y el **Contratante**, con número de póliza que aparece en la Carátula de la Póliza.

Por virtud del presente endoso, las partes acuerdan en añadir a las condiciones generales lo siguiente:

En el capítulo de **4. Exclusiones** se añade lo siguiente:

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

Página 5 de 12

Los datos personales serán tratados conformidad con lo dispuesto en la Ley Federal de Protección de Datos Personales en Posesión de los Particulares, la reglamentación respectiva y el aviso de privacidad que está a su disposición en la página de Internet <http://aig.com.mx> Por favor consulte el aviso de privacidad antes de proporcionar sus datos.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1
ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

El **Asegurador** no será responsable de hacer ningún pago bajo ninguna cobertura o extensión en relación con cualquier **Reclamo** derivado de, basado en, o atribuible a cualquier falla de inversiones (incluyendo, pero no limitado a valores y/o bienes inmuebles) para realizar de acuerdo con cualquier consultoría o asesoramiento dado por el **Asegurado** o decisión de gestión/administración de fondos tomada por el **Asegurado** y/o cualquier tercero.

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

Endoso No.4

Endoso de exclusión de propiedad intelectual.

El presente endoso, con efectos a partir de las 12:00 horas de la fecha que aparece en la Carátula de la Póliza forma parte del Contrato de Seguro de Responsabilidad Civil Profesional celebrado entre el **Asegurador** y el **Contratante**, con número de póliza que aparece en la Carátula de la Póliza.

Por virtud del presente endoso, las partes acuerdan en añadir a las condiciones generales lo siguiente:

En el capítulo de **4. Exclusiones** se añade lo siguiente:

Propiedad Intelectual

Se entiende y acuerda que la **Asegurador** no será responsable de realizar ningún pago bajo ningún **Reclamo** basado directa o indirectamente en, atribuible a, que surja de, o resulte de, o de cualquier manera relacionada con cualquier violación o infracción o uso de información confidencial, secretos comerciales, marcas comerciales (incluidas las marcas comerciales protegidas por los derechos de derecho consuetudinario), patentes, derechos de autor, derechos de diseño (registrados o no registrados) derechos morales, derechos de la base de datos, sin considerar que tal incumplimiento, infracción o uso no autorizado fue involuntario o no.

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

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Los datos personales serán tratados conformidad con lo dispuesto en la Ley Federal de Protección de Datos Personales en Posesión de los Particulares, la reglamentación respectiva y el aviso de privacidad que está a su disposición en la página de Internet <http://aig.com.mx> Por favor consulte el aviso de privacidad antes de proporcionar sus datos.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1
ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

Endoso No.5

Endoso de exclusión de Infidelidad de Empleados

El presente endoso, con efectos a partir de las 12:00 horas de la fecha que aparece en la Carátula de la Póliza forma parte del Contrato de Seguro de Responsabilidad Civil Profesional celebrado entre el **Asegurador** y el **Contratante**, con número de póliza que aparece en la Carátula de la Póliza.

Por virtud del presente endoso, las partes acuerdan en añadir a las condiciones generales lo siguiente:

En el capítulo de **4. Exclusiones** se añade lo siguiente:

Infidelidad de Empleados

Cualquier Pérdida que surja de, este basado en o sea atribuible a cualquier acto que un juez, jurado u otro tribunal o panel oficial encuentre, o que un Asegurado admita, sea un acto criminal, deshonesto o fraudulento; y en tal caso, se reembolsaría al Asegurador por toda Pérdida pagada en relación con dicha Reclamación bajo la extensión de cobertura de Infidelidad de Empleados.

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

Endoso No.6

Endoso de exclusión por fluctuación de mercado.

El presente endoso, con efectos a partir de las 12:00 horas de la fecha señalada en la Carátula de la Póliza, forma parte del Contrato de Seguro de Responsabilidad Profesional celebrado entre AIG Seguros México, S.A de C.V. y el Asegurado que se menciona en la citada carátula.

Por virtud del presente endoso, las partes acuerdan en añadir a las condiciones generales lo siguiente:

En el capítulo **4. Exclusiones** se añade la exclusión siguiente:

El Asegurador no responderá ante ninguna pérdida correspondiente a una reclamación que alegue, se derive de, se base en, la Fluctuación de mercado, esto es el comportamiento, la disminución o el estancamiento del valor de una inversión o producto, y la depreciación o pérdida de inversiones cuando sean resultado o consecuencia de fluctuaciones de cualquier mercado financiero, bursátil, de "commodities" o de cualquier otro tipo de valores no controlables por el asegurado.

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

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Los datos personales serán tratados conformidad con lo dispuesto en la Ley Federal de Protección de Datos Personales en Posesión de los Particulares, la reglamentación respectiva y el aviso de privacidad que está a su disposición en la página de Internet <http://aig.com.mx> Por favor consulte el aviso de privacidad antes de proporcionar sus datos.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1
ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

Endoso No.7

Endoso de exclusión de transferencia de fondos.

El presente endoso, con efectos a partir de las 12:00 horas de la fecha que aparece en la Carátula de la Póliza forma parte del Contrato de Seguro de Responsabilidad Civil Profesional celebrado entre el **Asegurador** y el **Contratante**, con número de póliza que aparece en la Carátula de la Póliza

Por virtud del presente endoso, las partes acuerdan en añadir a las condiciones generales lo siguiente:

En el capítulo de **4. Exclusiones** se añade lo siguiente:

Se entiende y así se acuerda que el asegurador no será responsable de ninguna reclamación directa o indirectamente basada en, atribuible a, derivada de, o resultante de, o relacionada de alguna forma con transferencias de fondos, dinero, valores o cualquier otro instrumento negociable para o de cualquier banco o institución financiera.

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

Endoso No.8

Endoso de exclusión de pérdidas consecuenciales.

El presente endoso, con efectos a partir de las 12:00 horas de la fecha que aparece en la Carátula de la Póliza forma parte del Contrato de Seguro de Responsabilidad Civil Profesional celebrado entre el **Asegurador** y el **Contratante**, con número de póliza que aparece en la Carátula de la Póliza.

Por virtud del presente endoso, las partes acuerdan en añadir a las condiciones generales lo siguiente:

En el capítulo de **4. Exclusiones** se añade lo siguiente:

Pérdidas Consecuenciales

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

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Los datos personales serán tratados conformidad con lo dispuesto en la Ley Federal de Protección de Datos Personales en Posesión de los Particulares, la reglamentación respectiva y el aviso de privacidad que está a su disposición en la página de Internet <http://aig.com.mx> Por favor consulte el aviso de privacidad antes de proporcionar sus datos.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1
ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

El Asegurador no será responsable de hacer ningún pago bajo cualquier cobertura o extensión en relación con cualquier

Reclamo derivado de, basado en, o atribuible a cualquier pérdida indirecta, económica o consecuente, únicamente cuando el Asegurado no haya excluido dichas pérdidas por contrato en la medida legalmente permitida.

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

Endoso No.9

Endoso de exclusión por falla de seguridad y/o evento de privacidad

El presente endoso, con efectos a partir de las 12:00 horas de la fecha que aparece en la Carátula de la Póliza forma parte del Contrato de Seguro de Responsabilidad Civil Profesional celebrado entre el **Asegurador** y el **Contratante**, con número de póliza que aparece en la Carátula de la Póliza.

Por virtud del presente endoso, las partes acuerdan en modificar las condiciones generales como a continuación:

En el capítulo **4. Exclusiones** se añade la exclusión siguiente:

Cobertura de Sociedad

El Asegurador no será responsable de realizar ningún pago por ninguna Pérdida en relación con cualquier Reclamo realizado contra la Sociedad por una Falla de Seguridad o Evento de Privacidad.

Por virtud del presente endoso, las partes acuerdan en añadir al capítulo **1. Definiciones**, las siguientes:

Sistema Informático:

Cualquier (1) hardware, software o cualquier componente del mismo que esté vinculado a través de una red de dos o más dispositivos accesibles a través de Internet o una red interna o que esté conectado a través del almacenamiento de datos u otros dispositivos periféricos (incluidos, pero no limitados, dispositivos móviles e inalámbricos) y estén bajo la propiedad, operación o control de, o alquilados por, la **Sociedad**; o (2) **Cloud Computing** u otros recursos alojados operados por un proveedor de servicios externo para el uso de, o en nombre de, la **Sociedad**.

Cloud Computing:

Se refiere a cualquier acceso bajo demanda para alojar una infraestructura tecnológica o plataforma informática, incluyendo **Servicios de la Nube** o **Cloud Computing** proporcionados bajo un modelo de Infraestructura como Servicio

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

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Los datos personales serán tratados conformidad con lo dispuesto en la Ley Federal de Protección de Datos Personales en Posesión de los Particulares, la reglamentación respectiva y el aviso de privacidad que está a su disposición en la página de Internet <http://aig.com.mx> Por favor consulte el aviso de privacidad antes de proporcionar sus datos.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1
ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

(IaaS) o plataforma como Servicio (PaaS), ofrecido por una persona física o entidad que no sea propiedad del **Asegurado**, esté operado o controlado por el **Asegurado**.

El **Servicio en la Nube** o **Cloud Computing** no incluye cualquier Servicio en la Nube de Cloud Computing, únicamente un modelo basado en programa como Servicio (SaaS).

Información Confidencial:

Cualquier (1) información relacionada con una persona física identificada o identificable, incluida, entre otras, la información de la que una persona puede ser identificada o contactada de manera única y confiable; o (2) la información de cualquier tercero (incluido cualquier secreto comercial) que no esté disponible para el público en general.

Evento de Privacidad:

Se refiere a cualquier falta de protección de la **Información Confidencial**, incluyendo, sin limitación, lo que podría resultar en (1) la divulgación o apropiación indebida de **Información Confidencial** en el cuidado, custodia o control de la **Sociedad** o por la **Sociedad** es legalmente responsable, o (2) el robo de identidad u otra emulación indebida de la identidad de un individuo o corporación.

Falla de Seguridad:

Hace referencia a cualquier fallo o violación de la seguridad de un **Sistema Informático**, incluido, entre otros, el que resulta o no mitiga cualquier acceso no autorizado, uso no autorizado, ataque de denegación de servicio o recepción o transmisión de un código malicioso. La **Falla de Seguridad** incluye cualquier fallo o violación, resultante del robo de una contraseña o código de acceso por medios electrónicos o no electrónicos.

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

Endoso No.10

Endoso de exclusión de infraestructura de clave pública (PKI) / encriptación.

El presente endoso, con efectos a partir de las 12:00 horas de la fecha que aparece en la Carátula de la Póliza forma parte del Contrato de Seguro de Responsabilidad Civil Profesional celebrado entre el **Asegurador** y el **Contratante**, con número de póliza que aparece en la Carátula de la Póliza.

Por virtud del presente endoso, las partes acuerdan en añadir a las condiciones generales lo siguiente:

En el capítulo de **4. Exclusiones** se añade lo siguiente:

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

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Los datos personales serán tratados conformidad con lo dispuesto en la Ley Federal de Protección de Datos Personales en Posesión de los Particulares, la reglamentación respectiva y el aviso de privacidad que está a su disposición en la página de Internet <http://aig.com.mx> Por favor consulte el aviso de privacidad antes de proporcionar sus datos.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1
ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023 A LAS 12:00 HORAS del 27 de FEBRERO de 2024

Infraestructura de Clave Pública (PKI) / Encriptación.

El Asegurador no será responsable de hacer ningún pago bajo cualquier cobertura o extensión en relación con cualquier Reclamo derivado de, basado en, o atribuible a cualquier Infraestructura de clave pública (PKI) o cualquier medida de seguridad, violación de seguridad o código malicioso relacionado con cualquier Sistema informático.

Las siguientes definiciones se agregan a la política:

Infraestructura de clave pública (PKI)

Se refiere a las políticas, métodos, equipos y procedimientos que incluyen software, hardware y firmware asociados para establecer y administrar un método seguro para el intercambio de información electrónica que implica el uso de autoridades de certificación, certificados digitales, firmas digitales, claves públicas y / o privadas o cualquier otro similar tipo de tecnología.

Sistema informático

Significa cualquier computadora, equipo de procesamiento de datos, medios o parte del mismo, o sistema de almacenamiento y recuperación de datos, o sistema de comunicaciones, red, protocolo o parte del mismo, o dispositivo de almacenamiento, microchip, circuito integrado, sistema de reloj en tiempo real, o dispositivo similar o cualquier software de computadora (incluyendo software de aplicación, sistemas operativos, entornos de ejecución o cumplidores), firmware o microcódigo.

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

Endoso No.11

Endoso aclaratorio: Únicamente se cubrirán reclamos procedentes de BBVA México, S.A., Institución de Banca Múltiple, Grupo Financiero BBVA México y/o filiales y/o subsidiarias.

El presente endoso, con efectos a partir de las 12:00 horas de la fecha que aparece en la Carátula de la Póliza forma parte del Contrato de Seguro de Responsabilidad Civil Profesional celebrado entre el **Asegurador** y el **Contratante**, con número de póliza que aparece en la Carátula de la Póliza.

Por virtud del presente endoso, las partes acuerdan en añadir a las condiciones generales lo siguiente:

La **Aseguradora** únicamente será responsable por cualquier **Reclamo**, cubierto bajo esta póliza, iniciado por o a nombre de BBVA México, S.A., Institución de Banca Múltiple, Grupo Financiero BBVA México y/o filiales y/o subsidiarias.

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

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Los datos personales serán tratados conformidad con lo dispuesto en la Ley Federal de Protección de Datos Personales en Posesión de los Particulares, la reglamentación respectiva y el aviso de privacidad que está a su disposición en la página de Internet <http://aig.com.mx> Por favor consulte el aviso de privacidad antes de proporcionar sus datos.



ESPECIFICACIONES Y/O CONDICIONES PARTICULARES

PÓLIZA: 56 - RCP - 20000000 - 1

ENDOSO: 0

VIGENCIA DEL SEGURO

DE LAS 12:00 HORAS del 27 de FEBRERO de 2023

A LAS 12:00 HORAS del 27 de FEBRERO de 2024

LAS DEMÁS DISPOSICIONES DEL CONTRATO DE SEGURO DEL CUAL ESTE ENDOSO FORMA PARTE PERMANECEN SIN CAMBIO.

AIG Seguros México, S.A. de C.V.

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

Página 12 de 12

Los datos personales serán tratados conformidad con lo dispuesto en la Ley Federal de Protección de Datos Personales en Posesión de los Particulares, la reglamentación respectiva y el aviso de privacidad que está a su disposición en la página de Internet <http://aig.com.mx> Por favor consulte el aviso de privacidad antes de proporcionar sus datos.



Seguro de Responsabilidad Civil Profesional RECIBO DE PAGO DE PRIMAS				SERIE	RECIBO	FECHA LÍMITE DE PAGO		
				A44	708	29/03/2023		
LUGAR Y FECHA DE EXPEDICIÓN		RAMO	SUB-RAMO	OFICINA	NÚMERO			
					PÓLIZA	SUFIJO	ENDOSO	INCISO
GUADALAJARA, 27/02/2023		RESP.CIVIL PROFESION	RCP	56	20000000	1	0	
NOMBRE DEL ASEGURADO, DOMICILIO Y R.F.C.				CONCEPTO		%	IMPORTE	
CAPSUS SC				PRIMA NETA			5,008.00	
BOULEVARD MANUEL AVILA CAMACHO 2401				GASTOS DE EXPEDICIÓN			410.00	
LOMAS DE CHAPULTEPEC I SECCION, MIGUEL HIDALGO				FINANCIAMIENTO DE PAGO FRACCIONADO		0.00	0.00	
CIUDAD DE MEXICO, CIUDAD DE MEXICO 11000				I.V.A.		16.00	866.88	
CAP091117UQ5				SUBTOTAL			6,284.88	
INICIO DE VIGENCIA	NÚMERO SERIE	MONEDA	FORMA DE PAGO	REDUCCIÓN EN PRIMA POR APLICACIÓN (TOTAL O PARCIAL) DE COMISIÓN DEL AGENTE			(0.00)	
27/02/2023	1/1	NACIONAL	CONTADO	I.V.A.		16.00	(0.00)	
FECHA EMISIÓN PÓLIZA/ENDOSO				TOTAL DE PAGO			6,284.88	
27/02/2023	VIGENCIA DE CUOTA DESDE	VIGENCIA DE CUOTA HASTA	SEIS MIL DOSCIENTOS OCHENTA Y CUATRO PESOS 88/100 M.N.					
CLAVE / NOMBRE DEL AGENTE	27/02/2023	27/02/2024						
79302 BLACK TOWER GROUP, AGENTE DE SEGUROS Y DE FIANZAS, SA DE CV								

Pagos efectuados con cheque, se entenderán pagados salvo buen cobro. En caso de pago en parcialidades, el pago hecho con este recibo no libera de la obligación de pago de los anteriores o subsecuentes. No entregue dinero en efectivo a su agente, si no le entrega recibo de esta Aseguradora. Su cheque o transferencia deberá ser a favor de AIG Seguros México, S.A. de C.V. Los pagos que se realicen fuera de los plazos aquí indicados, solo se pueden realizar en Insurgentes Sur, 1136, Col. Del Valle, C.P. 03219, México D.F. o en las oficinas de AIG. No es comprobante fiscal.



56 - RCP - 20000000 - 1 - 0

LUGAR Y FECHA DE EXPEDICIÓN		FECHA LÍMITE DE PAGO	SERIE	RECIBO	MONTO A PAGAR
GUADALAJARA, 27/02/2023		29/03/2023	A44	708	\$ 6,284.88
BANCO	CONVENIO	REFERENCIA	TELÉFONO INTERNET REFERENCIA	01 800 00 11 300 www.aig.com.mx A44000000708343822-38	
BANCOMER	604410	A44000000708343822-38	En caja de AIG.	En cadenas comerciales únicamente antes de la fecha límite de pago: OXXO.	
BANAMEX	818301	818301A44000000708381022-87			
SANTANDER	5372	A44000000708529822-87			

Válido solo si muestra el comprobante de pago con cantidad impresa por la máquina registradora, sello y firma del cajero del banco o Aseguradora. En caso de pagarse por Internet o teléfono, requiere número de confirmación. **En las cadenas comerciales únicamente se aceptará el pago presentando este recibo.**



54493000007080202303290006284882

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del día 31 de julio de 2012, con el número CNSF-S0012-0315-2012/CONDUSEF-002455-01.

Folleto

Seguro de Responsabilidad Civil Profesional

Apreciable Contratante, Asegurado o Beneficiario:

Queremos agradecer su interés en nuestra compañía y darle la más cordial bienvenida para formar parte de nuestro selecto grupo de asegurados.

A continuación, le damos a conocer sus derechos antes y durante la contratación del seguro:

- a) Solicitar a los agentes de seguro, la identificación que los acredite como tales;
- b) Durante la vigencia de su póliza, solicitar se le informe el importe de la comisión o compensación directa que le corresponda a los agentes de seguro; y
- c) Recibir toda información que le permita conocer las condiciones generales del contrato de seguro, incluyendo el alcance real de la cobertura contratada, la forma de conservarla, así como de las formas de terminación del contrato.

Por el seguro, la Aseguradora pagará, en nombre de cualquiera de los asegurados, la pérdida por un reclamo debido a algún acto profesional incorrecto del asegurado (es decir un incumplimiento de obligaciones o deberes, error u omisión, declaración inexacta o incierta, o incumplimiento del deber de confidencialidad), únicamente en el ejercicio de los servicios profesionales del asegurado que indique la carátula de su póliza.

El seguro es de responsabilidad, en términos de lo dispuesto en el inciso b) del artículo 145 bis de la Ley Sobre el Contrato de Seguro, por lo que la limitación temporal de la cobertura será oponible tanto al asegurado como al tercero dañado, aun cuando desconozcan el derecho constituido a su favor por la existencia del seguro, la ocurrencia del hecho generador de la responsabilidad o la materialización del daño. Las coberturas son aplicables a reclamos o investigaciones presentados por primera vez contra cualquier asegurado, que surja por primera vez, durante la vigencia del seguro y comunicados a la Aseguradora en la forma indicada en las condiciones generales del seguro.

El seguro no cubre actos que se consideren delitos por los Códigos Penales que sean aplicables, ni lesiones o muerte derivados de los actos profesionales incorrectos (excepto si hubiere expresamente contratado la cobertura de difamación) ni consecuencias en materia laboral. El seguro tampoco cubre años derivados por contaminación o por responsabilidades previstas en un contrato que excedan a las responsabilidades que la ley le impone en ejercicio de su profesión.

Si la reclamación o pérdida se deriva de servicios profesionales prestados en Canadá o los Estados Unidos de América, sus estados, localidades, territorios o posesiones, no están cubiertos por el seguro.

Para cualquier pérdida usted deberá pagar el deducible que corresponda conforme a lo indicado en la carátula de su póliza.

En caso de ser legalmente permitido, el asegurado podrá dar por terminado su seguro, dando aviso por escrito a la Aseguradora. En este caso, la terminación anticipada surtirá efectos el día en que se presente el aviso a la Aseguradora. La Aseguradora tendrá derecho a la parte de la prima que corresponda al tiempo durante el cual la póliza hubiere estado en vigor. Los costos de adquisición no son reembolsables.

Al ocurrir algún siniestro que pudiera dar lugar a indemnización conforme a su contrato de seguro, tan pronto como el asegurado o el beneficiario, en su caso, tengan conocimiento de la realización del siniestro y del derecho constituido a su favor, deberán ponerlo en conocimiento de la Aseguradora en un plazo máximo de cinco días para el aviso, que deberá ser por escrito, salvo caso fortuito o de fuerza mayor, en que el asegurado podrá comunicarlo a la Aseguradora tan pronto

desaparezca el impedimento. Cuando el asegurado o el beneficiario no cumplan con la obligación anterior, la Aseguradora podrá reducir la prestación debida hasta la suma que habría importado si el aviso se hubiere dado oportunamente.

El Asegurado deberá comprobar la exactitud de su reclamación y del monto que reclama. La Aseguradora tendrá el derecho de exigir del Asegurado o beneficiario toda clase de información y documentos que sobre los hechos relacionados con el siniestro sean necesarios y por los cuales puedan determinarse las circunstancias de su realización, el monto a indemnizar y las consecuencias del mismo.

En caso de ocurrir un siniestro cubierto por su seguro, sus derechos son los siguientes:

a) Recibir el pago de las prestaciones procedentes en función a la suma asegurada, aunque la prima del contrato de seguro no se encuentre pagada, siempre y cuando no se haya vencido el período de gracia para el pago de la prima de seguro. En este caso, la Aseguradora deducirá de la indemnización debida el total de la prima pendiente o las fracciones de ésta no liquidadas del riesgo afectado, hasta completar la prima correspondiente al periodo del seguro contratado.

Es importe aclarar que, si no hubiese sido pagada la prima o la fracción de ella, en los casos de pago en parcialidades, dentro del término de 30 días naturales siguientes a la fecha de su vencimiento, los efectos del contrato de seguro cesarán automáticamente a las doce horas del último día de ese plazo. El depósito de la prima o fracción de ella fuera de los plazos estipulados anteriormente, no se considerará una aceptación incondicional de pago, por lo que, para que dicho depósito unilateral sea considerado como pago, estará sujeto a la condición de que el asegurado declare por escrito a la Aseguradora que, durante el periodo que dejó de pagar en tiempo la prima, no ocurrió siniestro alguno y a que la Aseguradora acepte dicho pago en forma expresa.

Cualquier pago de prima a la Aseguradora, deberá ser hecho en el domicilio de ésta, contra entrega del recibo correspondiente. Esta disposición no se entenderá novada en ningún caso, salvo en el supuesto de que el pago se haga mediante cargo automático en cuenta bancaria o tarjeta de crédito. El estado de cuenta en donde aparezca dicho cargo hecho por la Aseguradora, hará prueba del pago. En caso de que dicho cargo no pueda realizarse por causas imputables al asegurado, el seguro cesará sus efectos una vez transcurrido el periodo de gracia.

Por lo que se refiere a los pagos extemporáneos, entendidos como los pagos realizados fuera del periodo de gracia, éstos siempre deberán realizarse, sin excepción, en el domicilio de la Aseguradora.

b) En los seguros de daños toda indemnización que la Aseguradora pague, reduce en igual cantidad la suma asegurada, pero ésta puede ser reinstalada, previa aceptación de la Aseguradora y a solicitud por escrito del asegurado, quien deberá de pagar la prima correspondiente.

c) Usted puede cobrar una indemnización por mora a la Aseguradora en caso de falta de pago oportuno de las sumas aseguradas, que sean procedentes conforme a las condiciones previstas en su contrato de seguro, siempre que la Aseguradora haya recibido los documentos e información suficiente que le permitan conocer el fundamento de la reclamación.

d) En caso de controversia, usted o sus beneficiarios podrán hacer valer sus derechos ante la Unidad Especializada de la Aseguradora y se le dará contestación por escrito dentro de un plazo que no exceda de treinta días hábiles, contados a partir de la fecha de su recepción.

También, a su elección, podrá presentar su reclamación ante la Comisión Nacional para la Protección y Defensa de los Usuarios de Servicios Financieros (CONDUSEF), dentro del término de dos años, contados a partir de que se presente el hecho que le dio origen, a partir de la negativa de la

Aseguradora a satisfacer sus pretensiones o las de su beneficiario o, en caso de que se trate de reclamaciones por servicios no solicitados, a partir de que tuvo conocimiento del mismo.

Después de un procedimiento de conciliación ante la CONDUSEF, en caso de que las partes no se sometan al arbitraje de la misma, se dejarán a salvo sus derechos para que los hagan valer ante los tribunales competentes o en la vía que proceda y usted puede solicitar a la CONDUSEF, la emisión de un dictamen técnico, siempre que del expediente se desprendan elementos que a juicio de la CONDUSEF permitan suponer la procedencia de lo reclamado y que se trate de asuntos de cuantías inferiores a seis millones de unidades de inversión (considerando suerte principal y sus accesorios).

Este folleto es explicativo y solo contiene algunas de las condiciones previstas en su seguro.

AIG Seguros México, S.A. de C.V.

En cumplimiento a lo dispuesto en el artículo 202 de la Ley de Instituciones de Seguros y de Fianzas, la documentación contractual y la nota técnica que integran este producto de seguro, quedaron registradas ante la Comisión Nacional de Seguros y Fianzas, a partir del 31 de marzo de 2015, con el número RESP-S0012-0199-2015.